

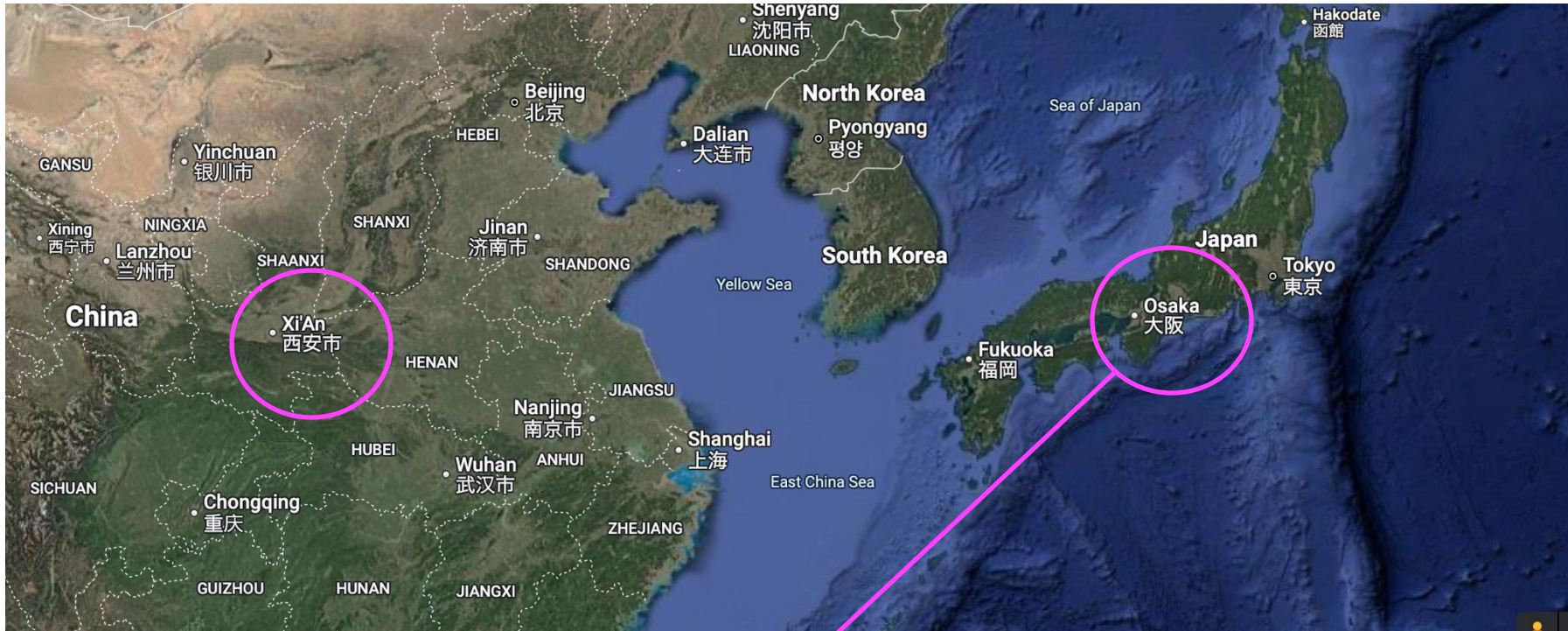
Bootcamp for Supervisory Control of Discrete-Event Systems with Hands-On Python Software Tool

Kai Cai

caikai.org

Osaka Metropolitan University

Xi'an, 2024.11.07



OMU in Numbers

16,000

**Full-time
Students**

1,400

**Full-time
Faculty Members**

12

**Undergraduate
Schools**

15

**Graduate
Schools**

現代システム科学研究科	文学研究科	法学研究科	法学研究科 法曹養成専攻(法科大学院)	経済学研究科	
経営学研究科	都市経営研究科(社会人大学院)	情報学研究科	理学研究科	工学研究科	農学研究科
獣医学研究科	医学研究科	リハビリテーション学研究科	看護学研究科	生活科学研究科	
創薬科学研究科(仮称・設置構想中)					

PhD program

➤ All in English

➤ Scholarship opportunities (100,000~20,000JPY/month)

➤ Two calls per year

Application Deadline

1. **early December**

2. early June

Online Interview

mid February

late August

Program Start

April 1

October 1

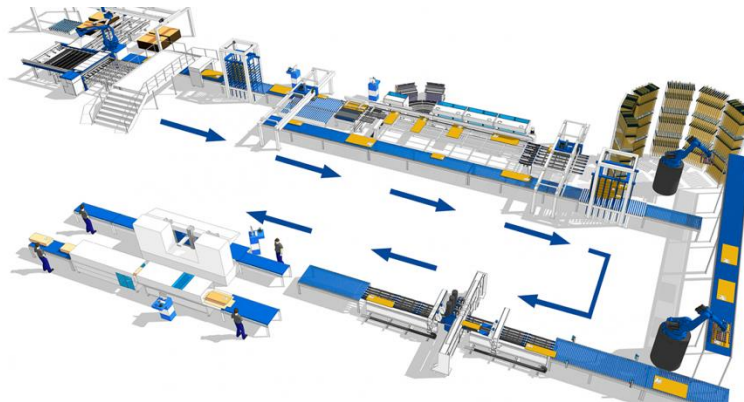
Questions

- Supervisory control theory?
→ this talk
- Control theory?
→ similarities & differences
- Murray Wonham?
→ creator

What is discrete-event systems (DES)?

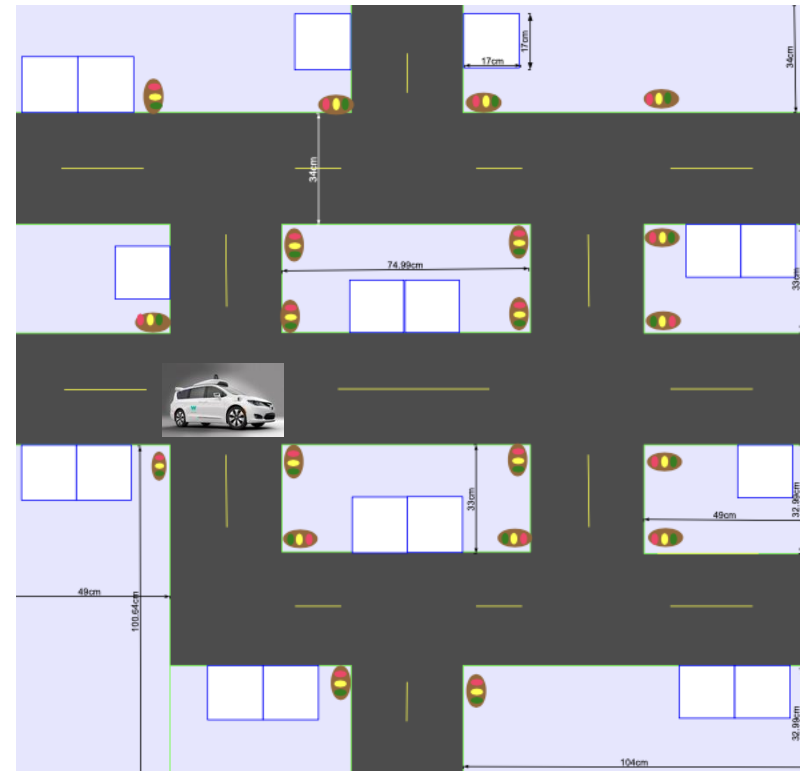
- Dynamic system with
 - Discrete state set
 - Discrete and event-driven state transitions

- Examples:



Why Supervisory Control of DES?

- Hybrid control
- Computers/networks
- Multi-agent path planning



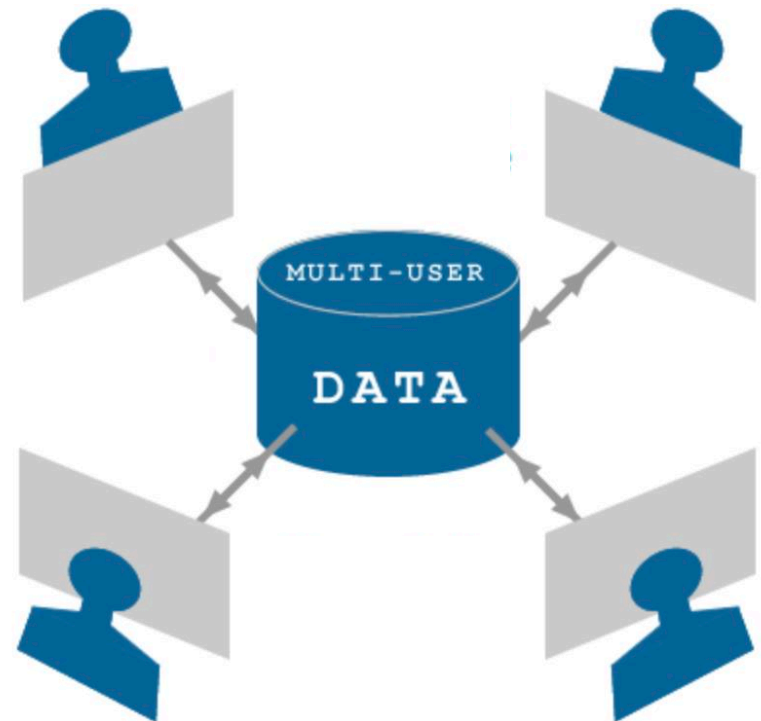
$$\dot{x} = v \sin(\theta)$$

$$\dot{y} = v \cos(\theta)$$

$$\dot{\theta} = \omega$$

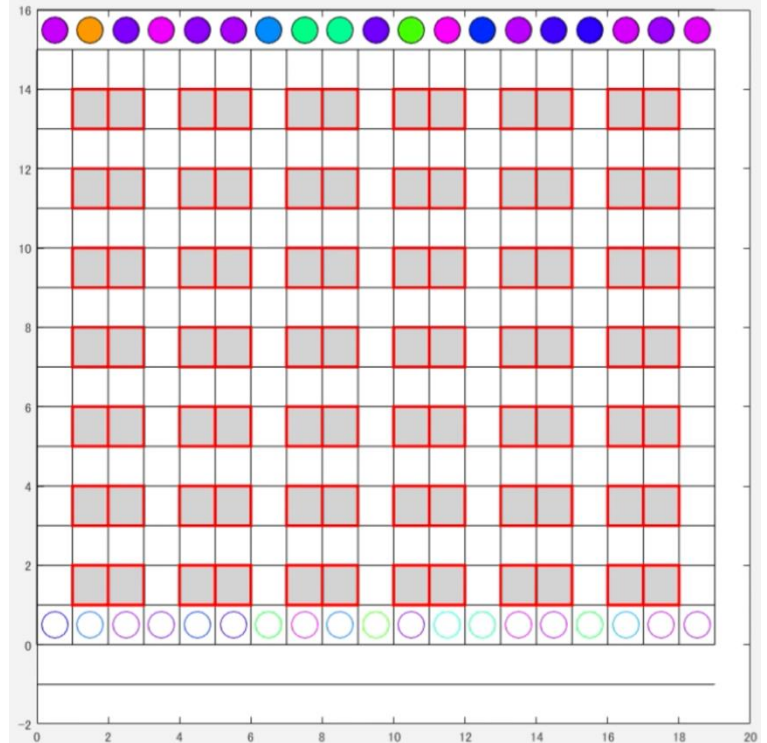
Why Supervisory Control of DES?

- Hybrid control
- Computer/networks
- Multi-agent path planning



Why Supervisory Control of DES?

- Hybrid control
- Computer/networks
- Multi-agent path planning



Content

1. Create an automaton
2. Properties of automaton
3. Synchronous product of automata
4. Feedback control loop
5. Controllability
6. Optimal supervisory control design

DES model

DES control

Create an automaton

A printer

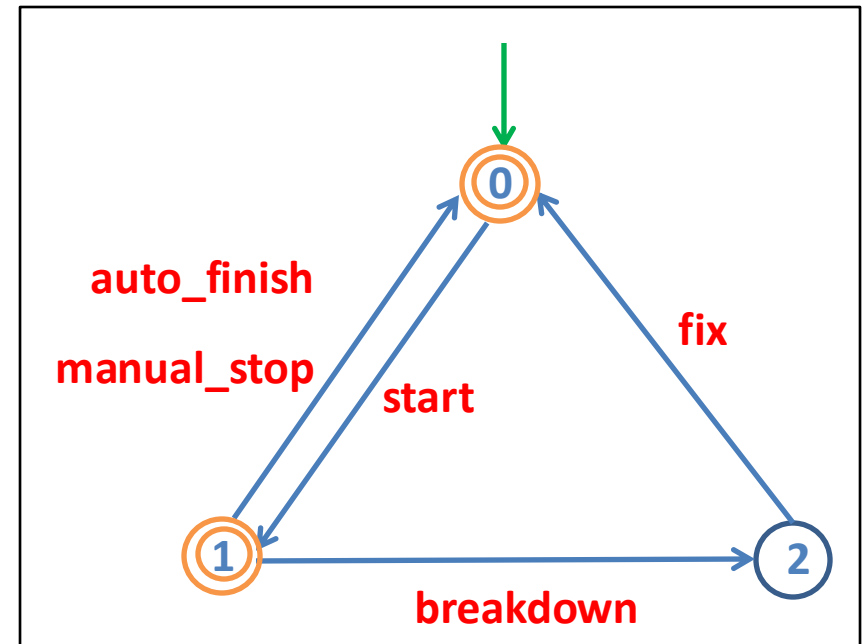


States:

IDLE: 0 (initial state) (marker state)

WORKING: 1 (marker state)

BROKEN: 2



Events:

start (send printing job)

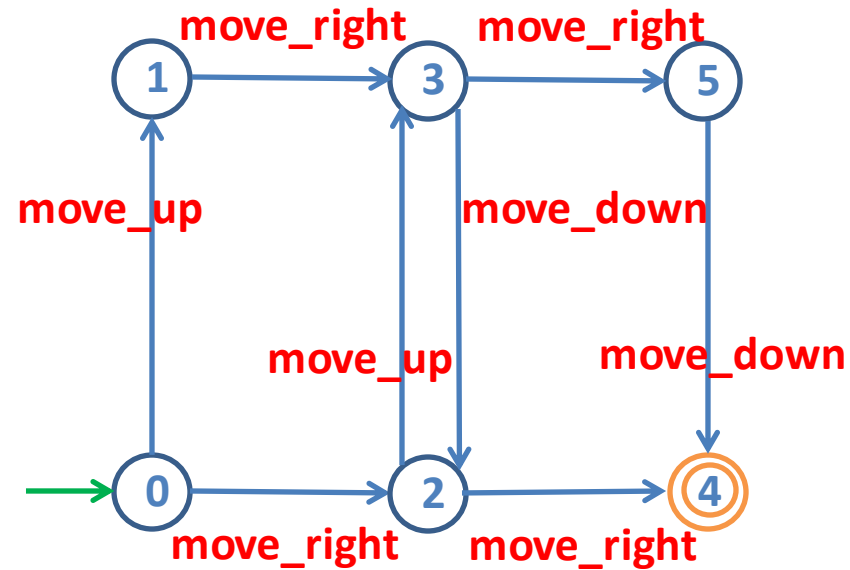
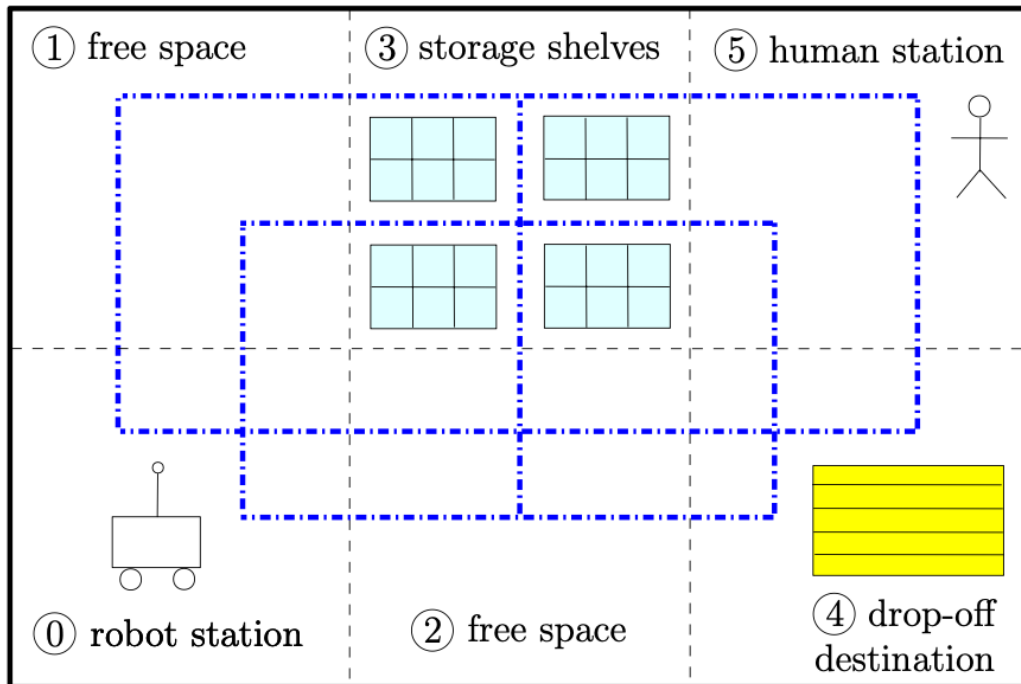
auto_finish (printing job finished successfully)

manual_stop (stop printing due to unintended problem)

breakdown (paper jam)

fix (jammed paper removed)

A warehouse robot



DES model: automaton

A finite-state **automaton** \mathbf{G} is a five tuple

$\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$, where

Q : finite state set (states in Q : locations or activities
having **duration** in time)

Σ : finite event set (events in Σ : occurring **instantaneously**)

δ : finite transition set

$$\delta \subseteq \{(q, \sigma, q') \mid q, q' \in Q, \sigma \in \Sigma\}$$

$q_0 \in Q$: initial state

$Q_m \subseteq Q$: marker state set

A printer

PRINTER = $(Q, \Sigma, \delta, q_0, Q_m)$

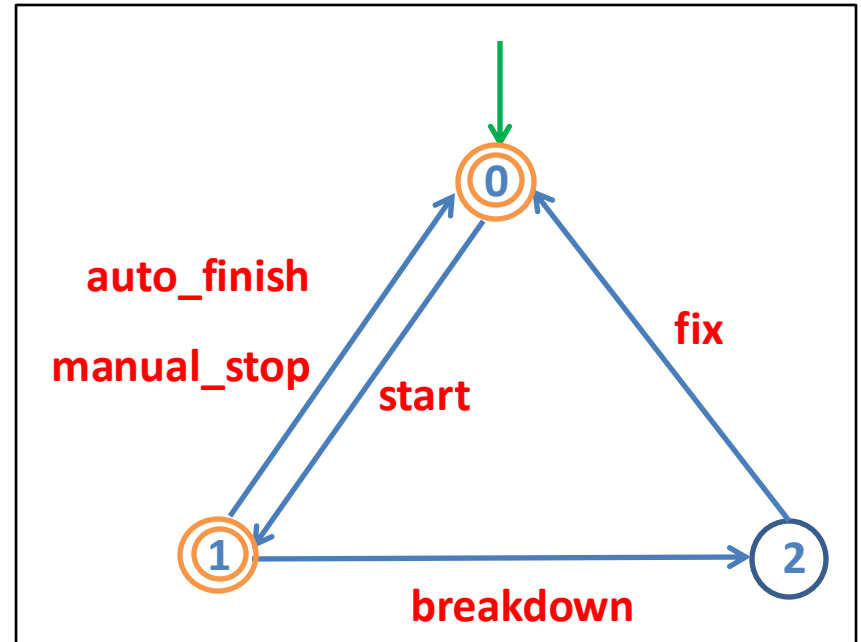
Q

q_0

Q_m

Σ

δ



A warehouse robot

ROBOT = $(Q, \Sigma, \delta, q_0, Q_m)$

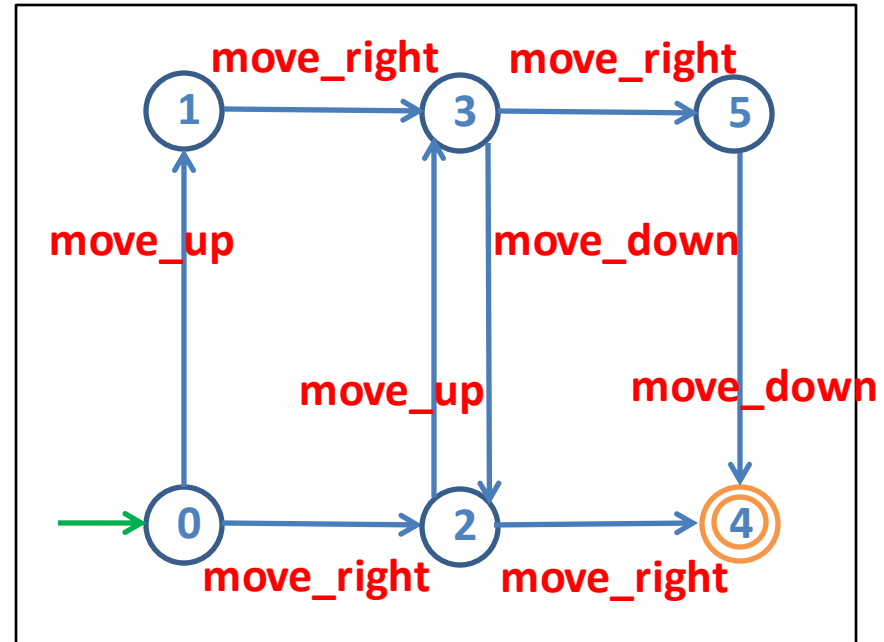
Q

q_0

Q_m

Σ

δ



PyTCT

PyTCT

- Download → install → local use

<https://omuc.ai.github.io/PyTCT-docs/>

- Download-free, install-free → browser-based online use

<https://jupyter.caikai.org>

PyTCT

 jupyterhub

Sign in with keycloak

PyTCT

SYSTEMS CONTROL GROUP SSO

English ▾

Sign in to your account

Username or email

Password

[Forgot Password?](#)

Sign In

New user? [Register](#)

PyTCT

SYSTEMS CONTROL GROUP SSO

English ▾

Register

First name

Last name

Email

Username

Password



Confirm password



[« Back to Login](#)

Register

PyTCT

SYSTEMS CONTROL GROUP SSO

English ▾

Email verification

 **You need to verify your email address to activate your account.**

An email with instructions to verify your email address has been sent to your address linzy@sustech.edu.cn.

Haven't received a verification code in your email?
[Click here](#) to re-send the email.

PyTCT

 jupyterhub

Sign in with keycloak


PyTCT

SYSTEMS CONTROL GROUP SSO

English ▾


Sign in to your account

Username or email

Password
 

[Forgot Password?](#)

New user? [Register](#)



PyTCT

Server Options

Minimal environment

A profile with minimal Python configured.

Datascience environment

A profile with data science libraries already installed.

Deep Learning environment

A profile with GPU enabled for deep learning.

Start

PyTCT

File Edit View Run Kernel Git Tabs Settings Help

Filter files by name

/

Name	Last Modified
explore	18 days ago
InviteSCDES	11 days ago
lost+found	2 months ago
animation.gif	18 days ago
explore_simu...	18 days ago
Untitled.ipynb	18 days ago

Launcher

Notebook

- Python 3 (ipykernel)
- VS Code [↗]

Console

- Python 3 (ipykernel)

Other

- Terminal
- Text File
- Markdown File
- Python File
- Show Contextual Help

PyTCT import and initialization

```
1 import pytct #import pytct package
2
3 pytct.init('xxx') #create a working folder
```

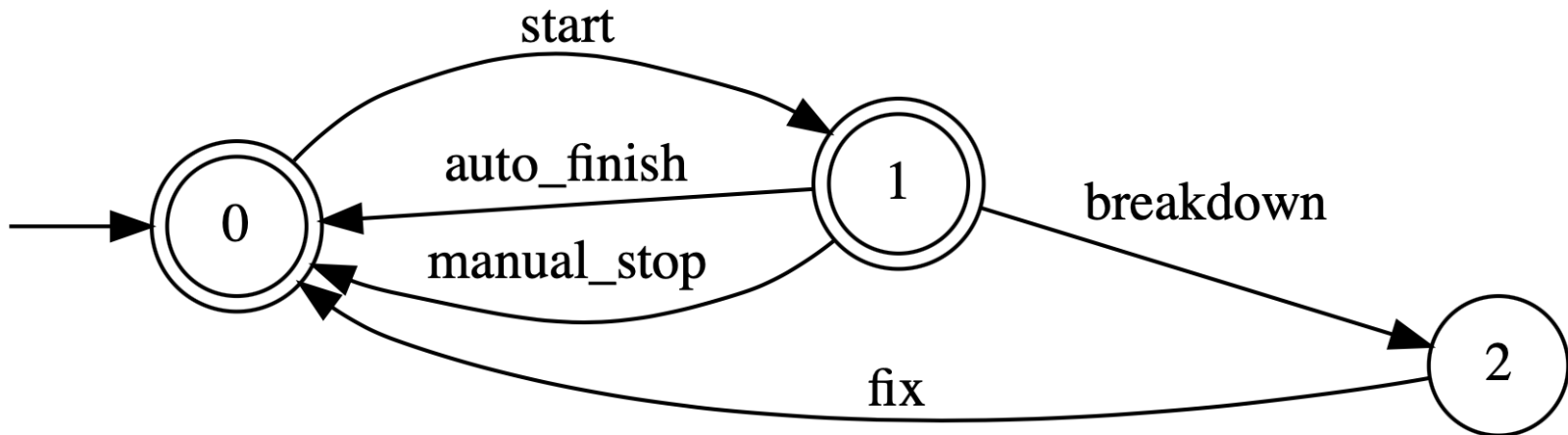
```
1 pytct.init('xxx', overwrite=True)
2 #allow overwriting a created working folder
```

PyTCT create

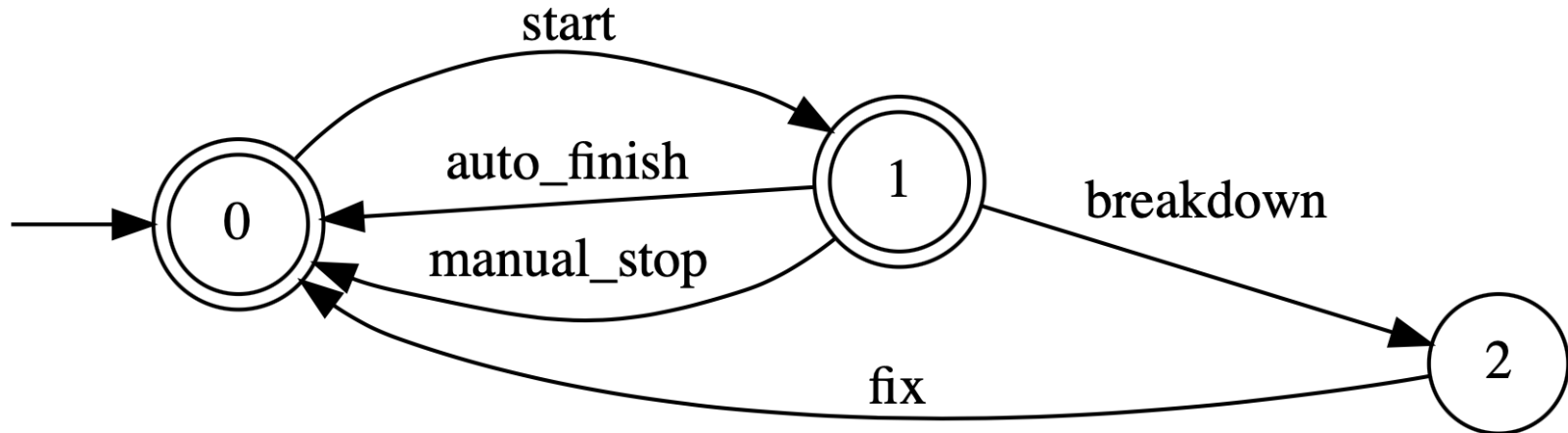
```
1 statenum=3 #number of states
2 #states are sequentially labeled 0,1,...,statenum
3 #initial state is labeled 0
4
5 trans=[(0, 'start', 1),
6         (1, 'auto_finish', 0),
7         (1, 'manual_stop', 0),
8         (1, 'breakdown', 2),
9         (2, 'fix', 0)] # set of transitions
10 #each triple is (exit state, event label, entering state)
11
12 marker = [0,1] #set of marker states
13
14 pytct.create('PRINTER', statenum, trans, marker)
```

PyTCT display_automaton

```
1 pytct.display_automaton('PRINTER')  
2 #plot PRINTER.DES
```

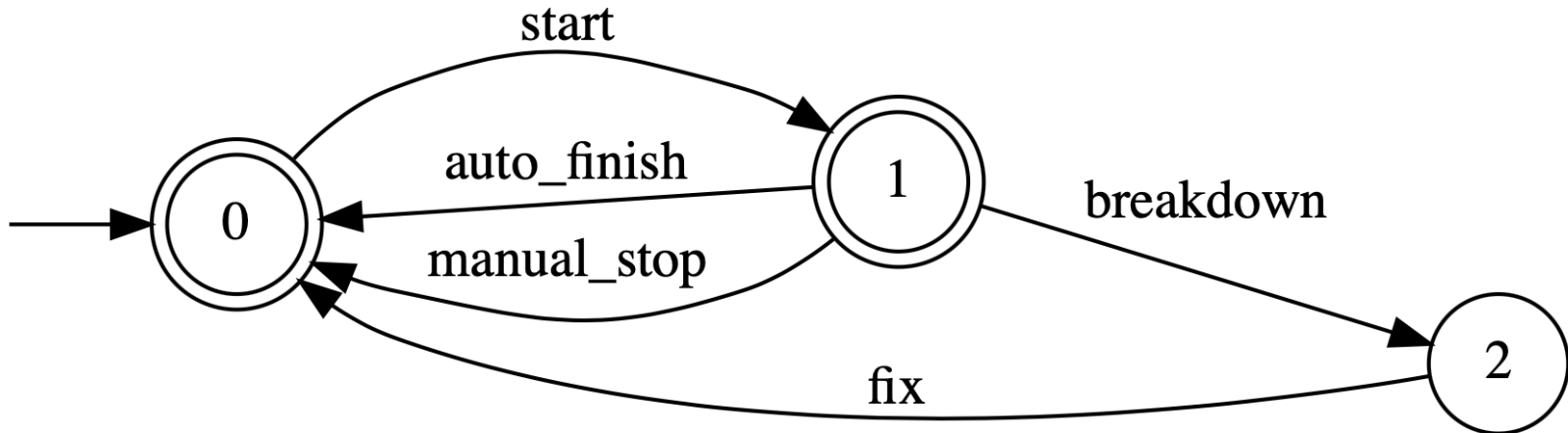


PyTCT information extraction



```
1 pytct.statenum('A') #state number of A.DES
2
3 pytct.events('A') #events of A.DES
4
5 pytct.trans('A') #state transitions of A.DES
6
7 pytct.marker('A') #marker states of A.DES
```

PyTCT information extraction



```
pytct.statenum('PRINTER')  
3
```

```
pytct.events('PRINTER')  
['manual_stop', 'breakdown', 'auto_finish', 'fix', 'start']
```

```
pytct.trans('PRINTER')  
[(0, 'start', 1),  
 (1, 'auto_finish', 0),  
 (1, 'manual_stop', 0),  
 (1, 'breakdown', 2),  
 (2, 'fix', 0)]
```

```
pytct.marker('PRINTER')  
[0, 1]
```

State transition as function

State transition function

Let $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ be an automaton.

δ : finite transition set

$$\delta \subseteq \{(q, \sigma, q') \mid q, q' \in Q, \sigma \in \Sigma\}$$

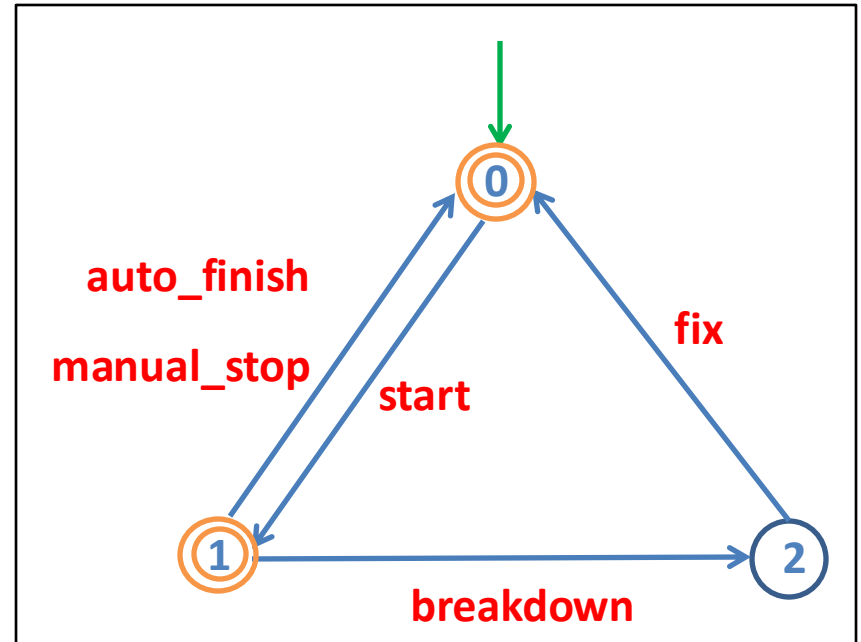
is viewed as a function $\delta : Q \times \Sigma \rightarrow Q$

- domain: $Q \times \Sigma$
- δ is *partial*: not all pairs (q, σ) are defined
- write $\delta(q, \sigma)!$ to mean σ is defined at q ,
and $\neg\delta(q, \sigma)!$ otherwise

A printer

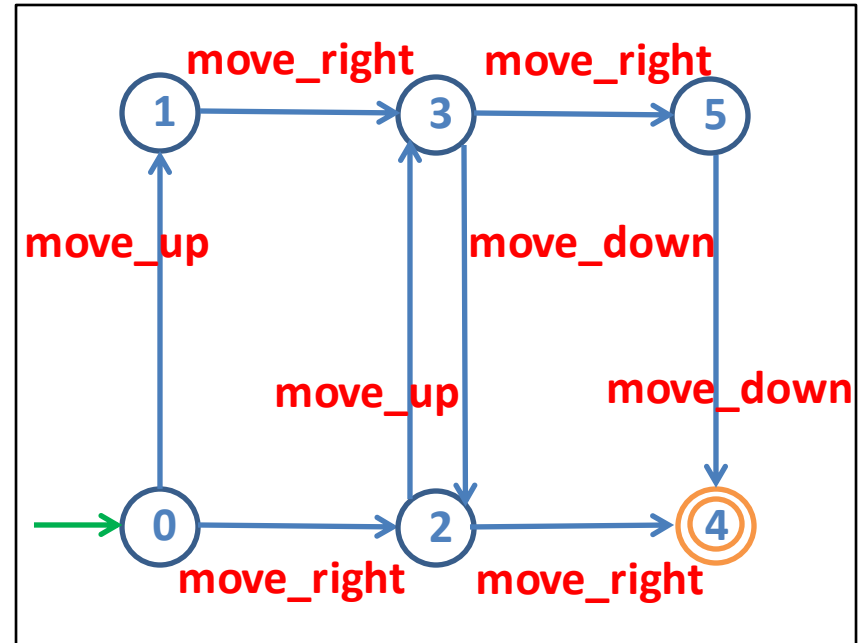
$$\text{PRINTER} = (Q, \Sigma, \delta, q_0, Q_m)$$

$$\delta : Q \times \Sigma \rightarrow Q$$



A warehouse robot

ROBOT = $(Q, \Sigma, \delta, q_0, Q_m)$



$\delta : Q \times \Sigma \rightarrow Q$

Multi-step transition

Function δ can be ‘composed’ to describe **multi-step** transition

$$\text{e.g. } \delta(q_1, \sigma_1) \neq \delta(q_1, \sigma_1) = q_2$$

$$\delta(q_2, \sigma_2) \neq \delta(q_2, \sigma_2) = q_3$$

$$\delta(q_3, \sigma_3) \neq \delta(q_3, \sigma_3) = q_4$$

\Rightarrow 3-step transition:

$$\delta(\delta(\delta(q_1, \sigma_1), \sigma_2), \sigma_3) = q_4$$

$$\hat{\delta}(q_1, \underline{\sigma_1\sigma_2\sigma_3}) = q_4 \text{ (compactly)}$$

a string on Σ

Strings

A (finite) **string** on Σ is a finite sequence of events from Σ :

$$s = \sigma_1 \sigma_2 \cdots \sigma_k, \quad \sigma_i \in \Sigma, \quad i = 1, \dots, k$$

Denote by Σ^+ the set of all strings on Σ

Strings

Let ϵ be the **empty string** ($\epsilon \notin \Sigma^+$)

Write $\Sigma^* = \{\epsilon\} \dot{\cup} \Sigma^+$ (“Kleene star”)

State transition function

Let's extend δ to $\hat{\delta} : Q \times \Sigma^* \rightarrow Q$

$$\hat{\delta}(q, \epsilon) = q, \quad q \in Q$$

$$\hat{\delta}(q, \sigma) = \delta(q, \sigma), \quad q \in Q, \sigma \in \Sigma$$

$$\hat{\delta}(q, s\sigma) = \delta(\hat{\delta}(q, s), \sigma), \quad q \in Q, s \in \Sigma^*, \sigma \in \Sigma$$

Henceforth drop $\hat{\delta}$ and just write δ .

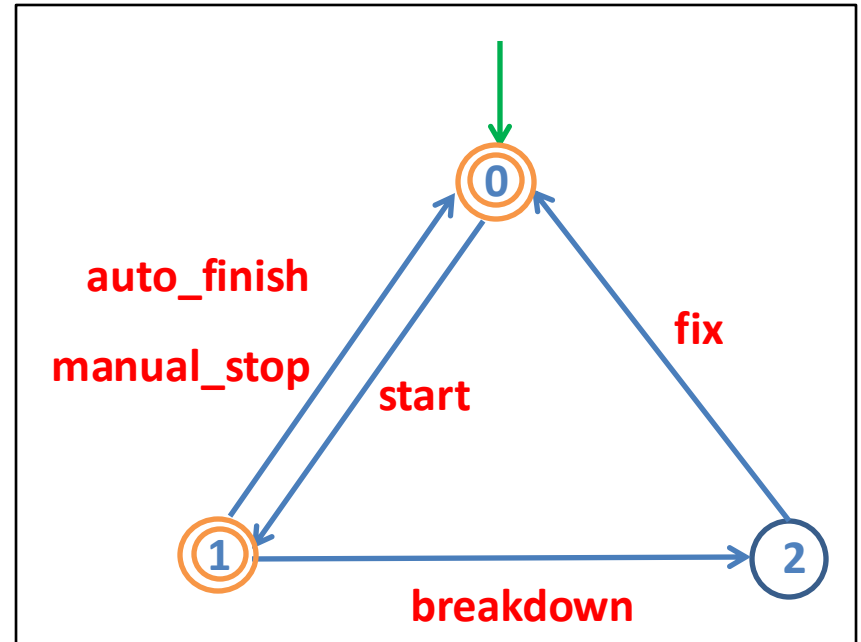
Also write $\delta(q, s)!$ to mean $s \in \Sigma^*$ is defined at $q \in Q$.

Note: $\delta(q, \epsilon)!$ for every state $q \in Q$.

A printer

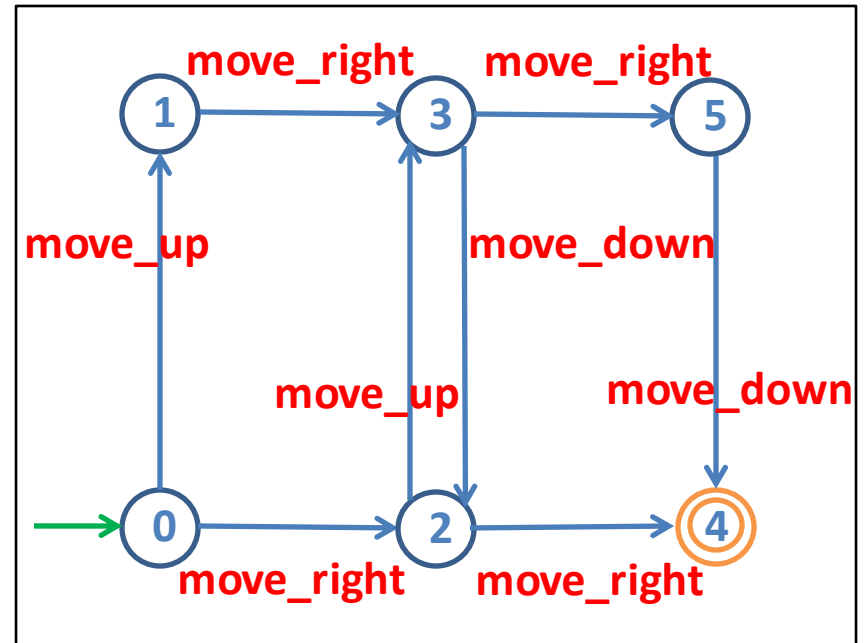
PRINTER = $(Q, \Sigma, \delta, q_0, Q_m)$

$\delta : Q \times \Sigma^* \rightarrow Q$



A warehouse robot

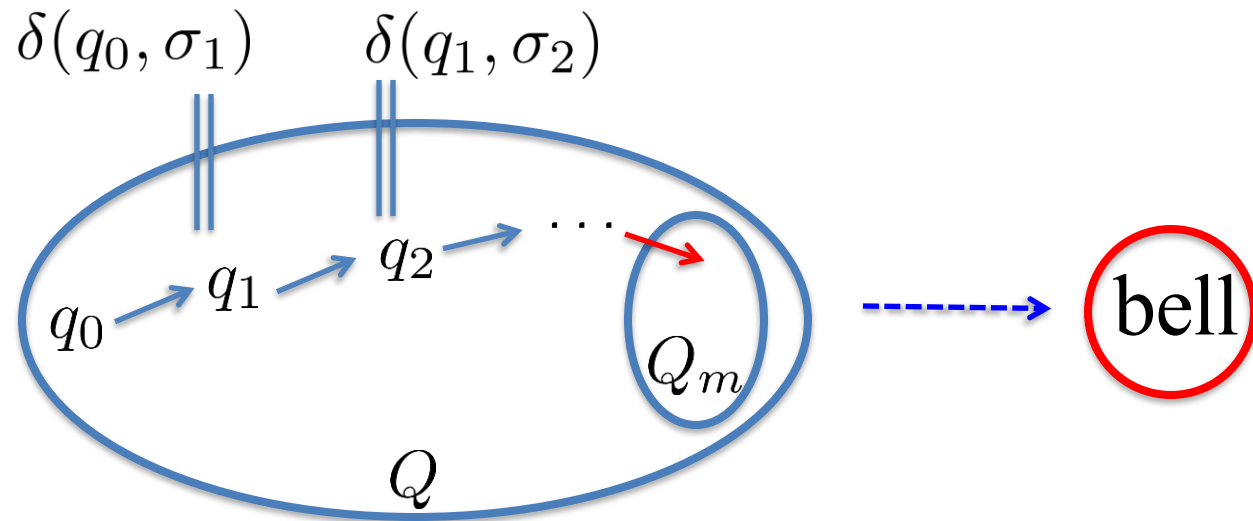
ROBOT = $(Q, \Sigma, \delta, q_0, Q_m)$



$\delta : Q \times \Sigma^* \rightarrow Q$

Automaton as dynamic system

Automaton $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ is a dynamic system



Internal state
transitions

Beeps when a
transition enters
a marker state

Automaton as dynamic system

Automaton $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ is a dynamic system

A string starting from q_0 is a *trajectory* of \mathbf{G}

Set of all trajectories of \mathbf{G} :

$$\underline{L(\mathbf{G}) := \{s \in \Sigma^* \mid \delta(q_0, s)!\}} \subseteq \Sigma^*$$

a language on Σ

$L(\mathbf{G})$ is closed behavior of \mathbf{G}

Automaton as dynamic system

Automaton $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ is a dynamic system

A string starting from q_0 is a *trajectory* of \mathbf{G}

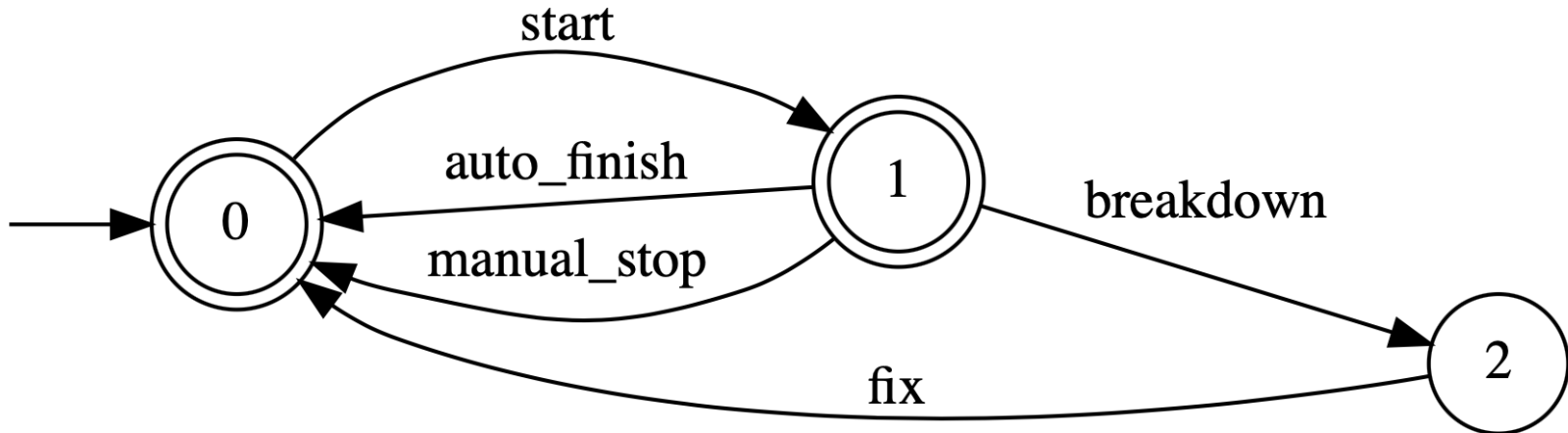
Set of ‘good’ trajectories of \mathbf{G} :

$$\underline{L_m(\mathbf{G}) := \{s \in L(\mathbf{G}) \mid \delta(q_0, s) \in Q_m\} \subseteq \Sigma^*}$$

also language on Σ

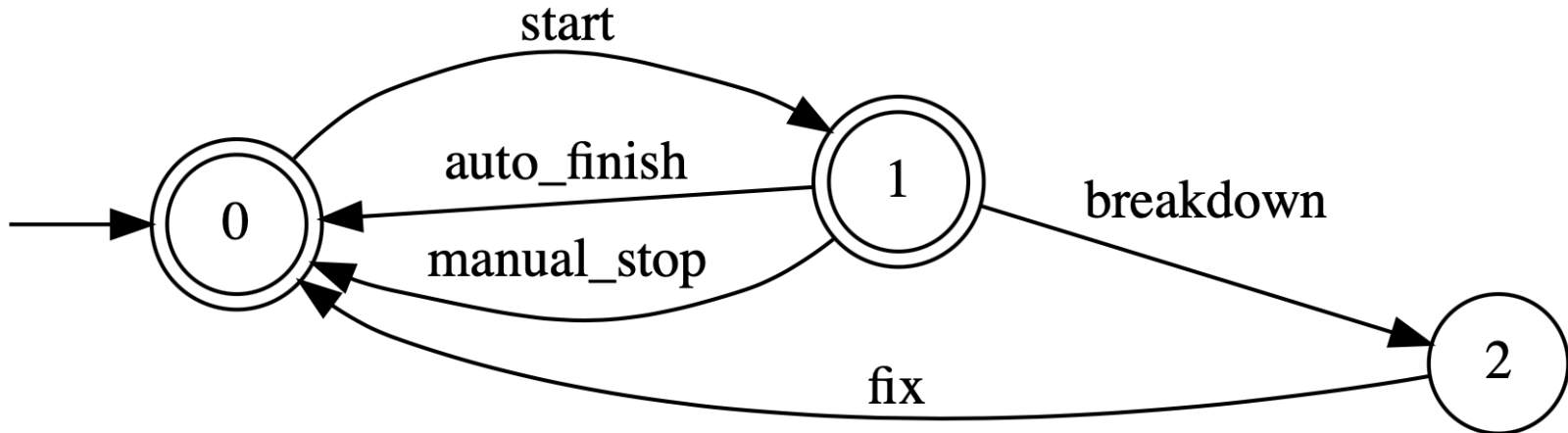
$L_m(\mathbf{G})$ is marked behavior of \mathbf{G}

PyTCT simulate



```
1 pytct.simulate_automaton('PRINTER', ['start', 'breakdown', 'fix'])  
2 #simulate PRINTER.DES with a string
```

PyTCT sample



```
1 pytct.sample_automaton('PRINTER',5)
2 #sample PRINTER.DES with a string of length 5
```

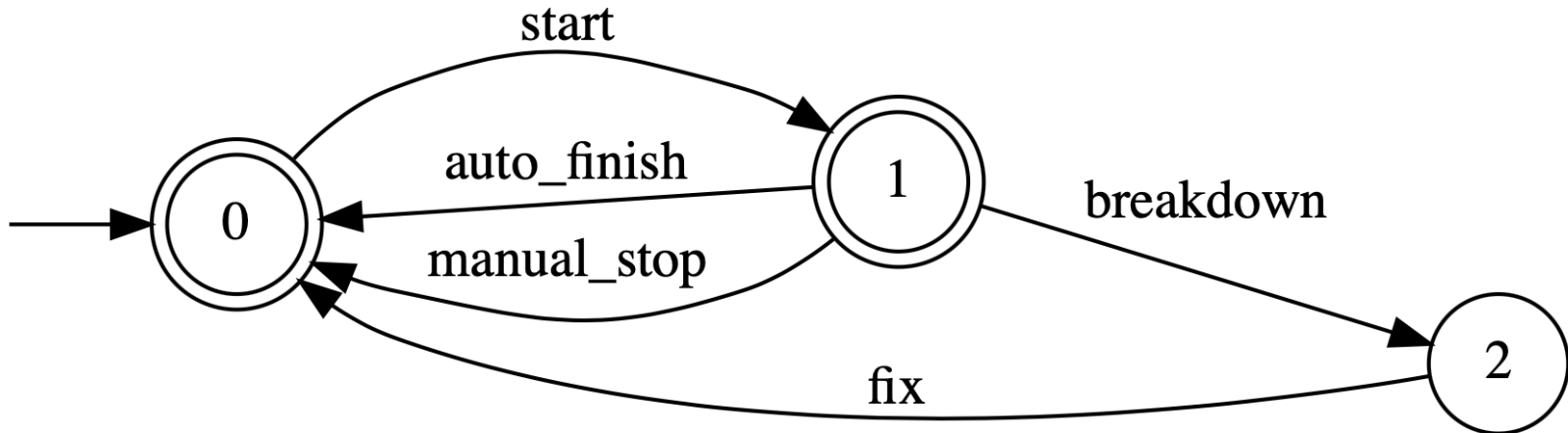
```
pytct.sample_automaton('PRINTER',5)
```

```
[0, 1, 2, 0, 1, 0]
```

```
pytct.sample_automaton('PRINTER',5)
```

```
[0, 1, 0, 1, 0, 1]
```

PyTCT sample

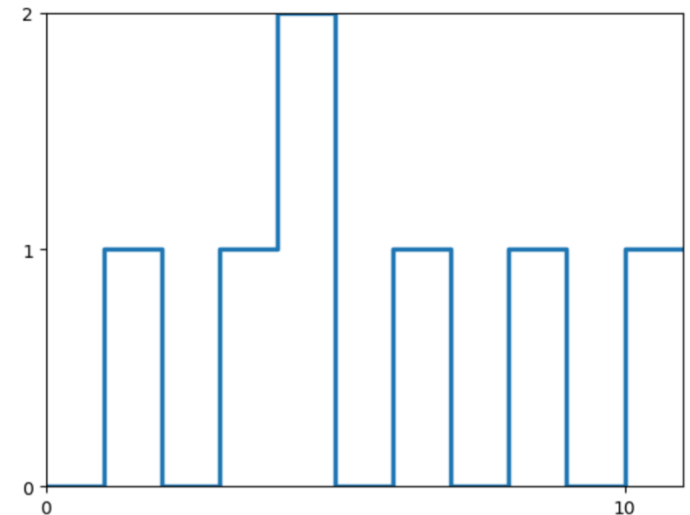


```
import matplotlib.pyplot as plt
import numpy as np

# create x, y data
y = [0] + pytct.sample_automaton('PRINTER', 10, strict=False)
# strict = False: ignore error if length of sampled string
# is shorter than specified due to blocking
x = np.arange(len(y))

# plot
fig, ax = plt.subplots()
ax.step(x, y, linewidth=2.5)
ax.set(xlim=(0, max(x)), xticks=np.arange(0, max(x), 10),
        ylim=(0, max(y)), yticks=np.arange(0, max(y)+1))

plt.show()
```



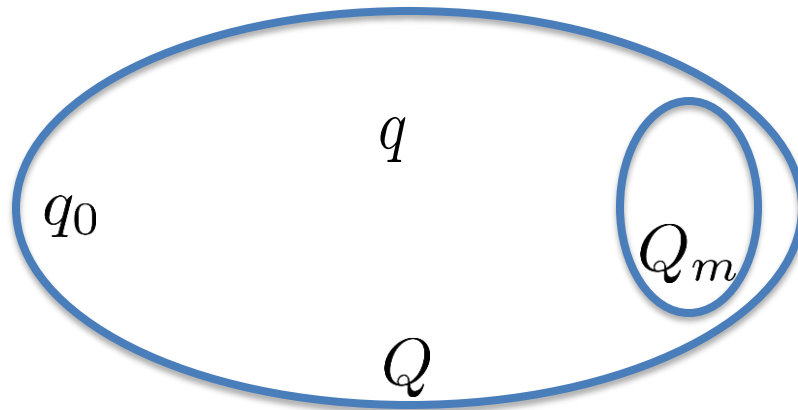
(“Datascience” environment)

Properties of an automaton

Reachable

Let $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ be an automaton.

A state $q \in Q$ is **reachable** if $(\exists s \in \Sigma^*)\delta(q_0, s) = q$.

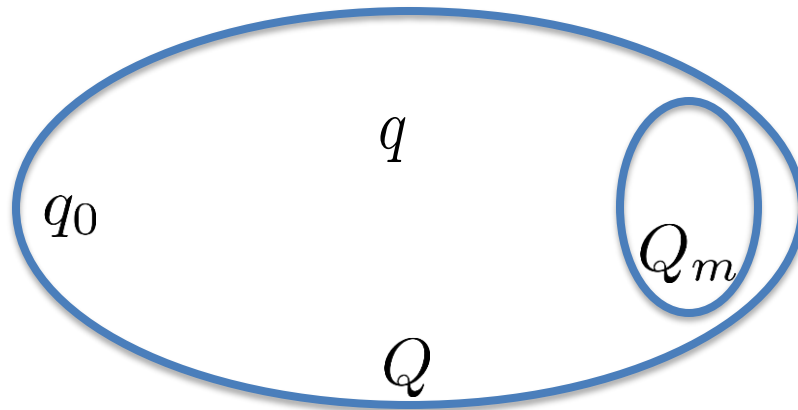


\mathbf{G} is **reachable** if every state $q \in Q$ is reachable.

Coreachable

Let $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ be an automaton.

A state $q \in Q$ is **coreachable** if $(\exists s \in \Sigma^*) \delta(q, s) \in Q_m$.



\mathbf{G} is **coreachable** if every state $q \in Q$ is coreachable.

Trim

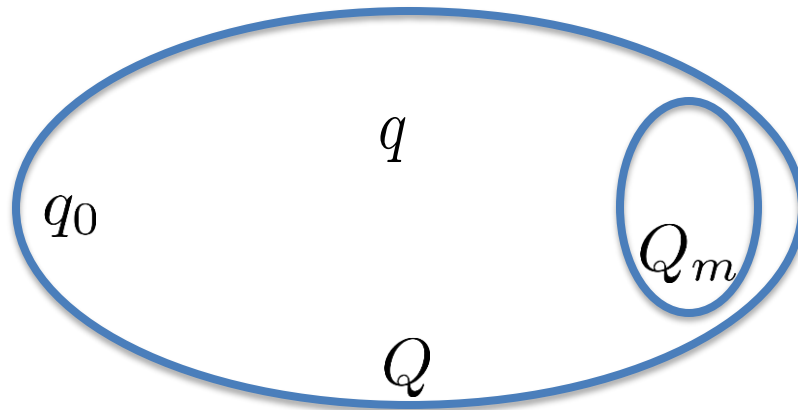
Let $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ be an automaton.

\mathbf{G} is **trim** if it is both reachable and coreachable.

Nonblocking

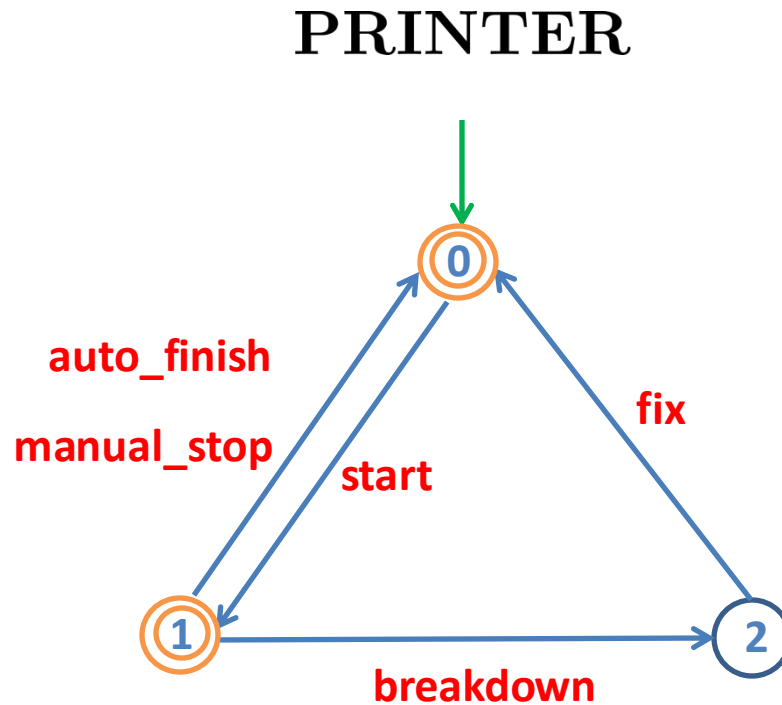
Let $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$ be an automaton.

\mathbf{G} is **nonblocking** if $(\forall q \in Q) q$ is reachable $\Rightarrow q$ is coreachable.



If \mathbf{G} is trim, then \mathbf{G} is nonblocking;
but reverse is false (why?)

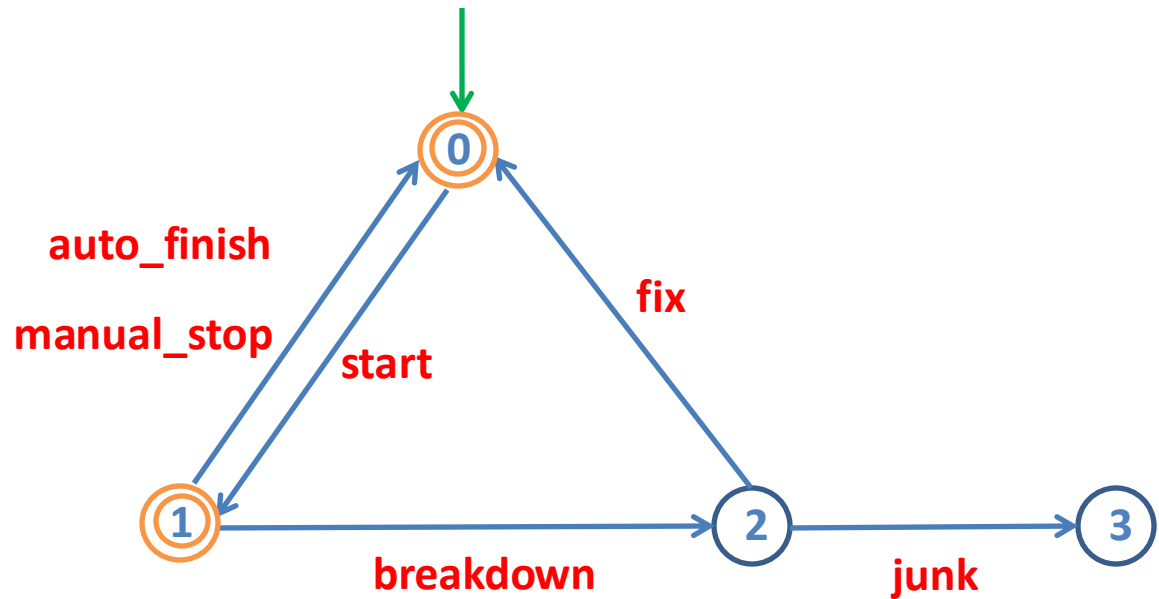
A printer



Is **PRINTER** reachable, coreachable, trim, nonblocking?

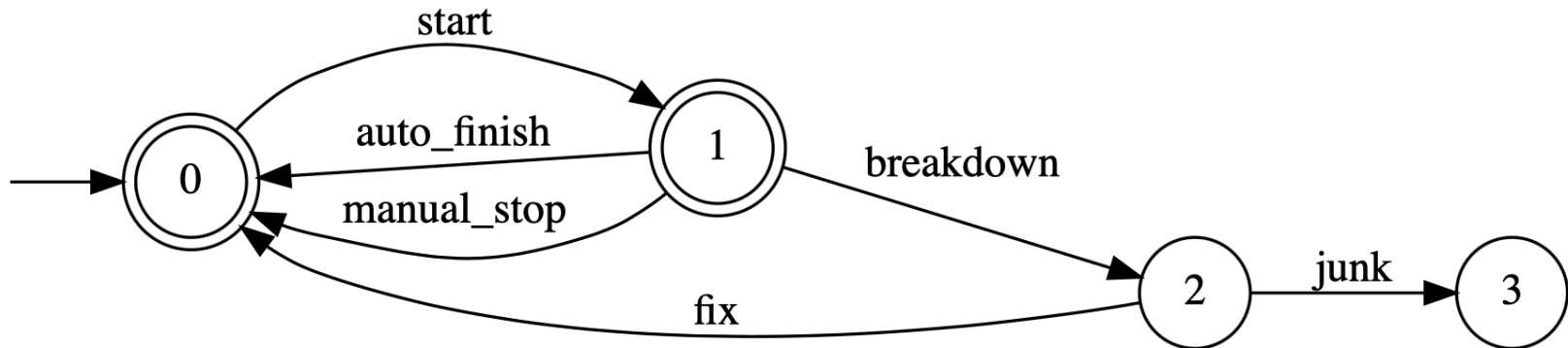
A printer

PRINTER_JUNK



Is **PRINTER** reachable, coreachable, trim, nonblocking?

PyTCT reachable



```
pytct.is_reachable('PRINTER_JUNK')
```

```
True
```

```
pytct.is_reachable('PRINTER_JUNK', 3)
```

```
True
```

```
# 0(initial state) -> 3
```

```
pytct.reachable_string('PRINTER_JUNK', 3)
```

```
['start', 'breakdown', 'junk']
```

```
for i in range(statum):
```

```
    print(f"state {i}: reachable -> {pytct.is_reachable('PRINTER_JUNK', i)}")
```

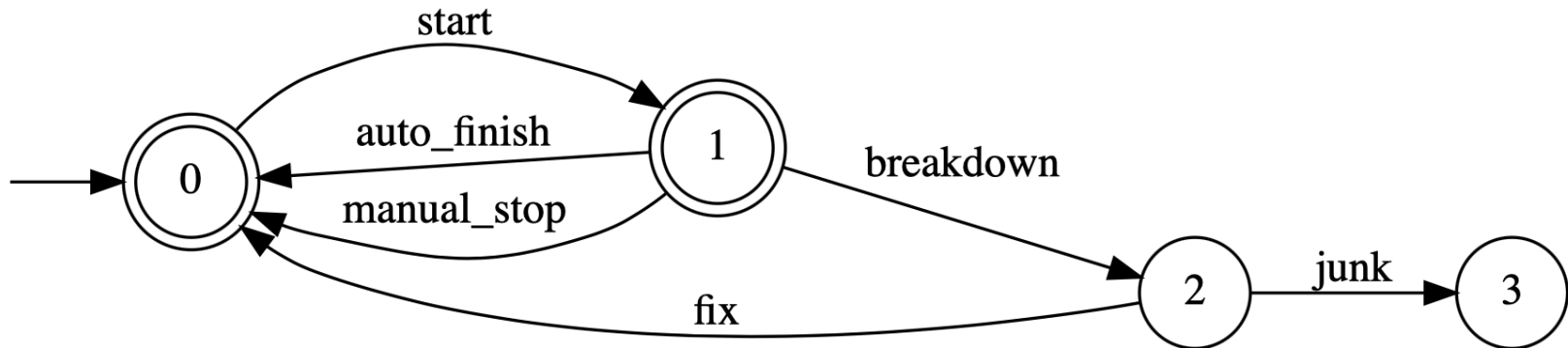
```
state 0: reachable -> True
```

```
state 1: reachable -> True
```

```
state 2: reachable -> True
```

```
state 3: reachable -> True
```

PyTCT coreachable



```
pytct.is_coreachable('PRINTER_JUNK')
```

```
False
```

```
pytct.is_coreachable('PRINTER_JUNK', 3)
```

```
False
```

```
pytct.is_coreachable('PRINTER_JUNK', 2)
```

```
True
```

```
pytct.coreachable_string('PRINTER_JUNK', 2)
```

```
['fix']
```

```
for i in range(statenum):
```

```
    print(f"state {i}: coreachable -> {pytct.is_coreachable('PRINTER_JUNK', i)}")
```

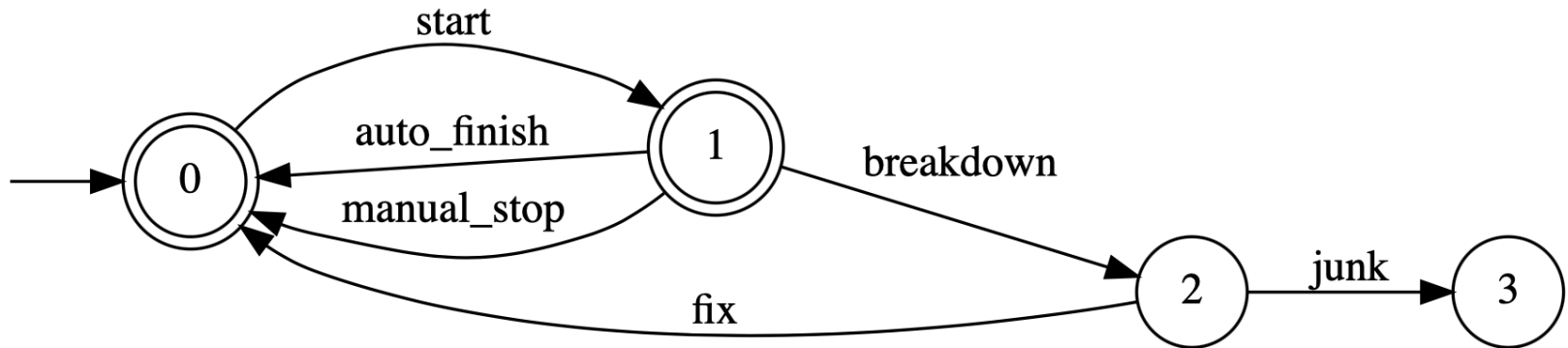
```
state 0: coreachable -> True
```

```
state 1: coreachable -> True
```

```
state 2: coreachable -> True
```

```
state 3: coreachable -> False
```

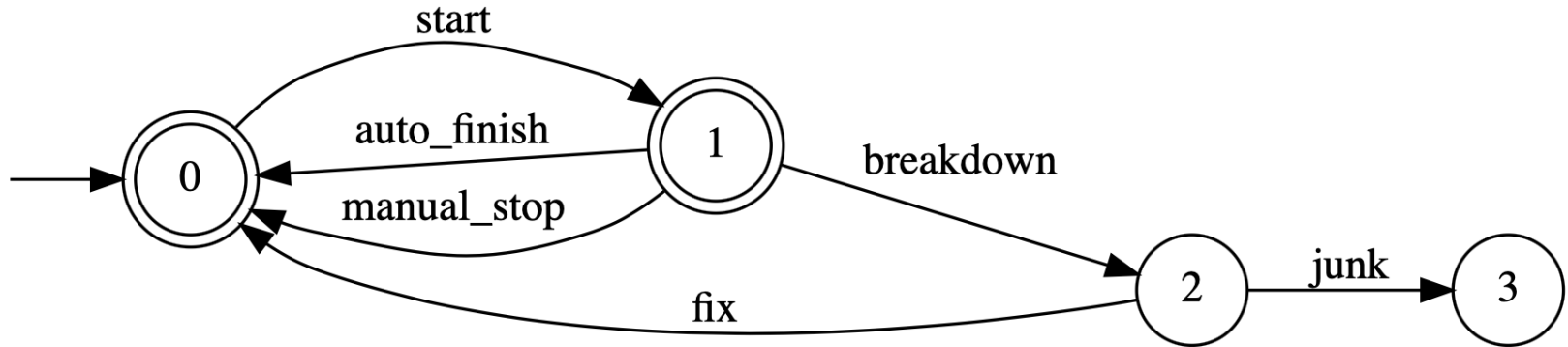

PyTCT shortest path



```
pytct.shortest_string('PRINTER_JUNK', 1, 3)
```

```
['breakdown', 'junk']
```

PyTCT nonblocking



```
pytct.is_nonblocking('PRINTER_JUNK')
```

```
False
```

```
pytct.blocking_states('PRINTER_JUNK')
```

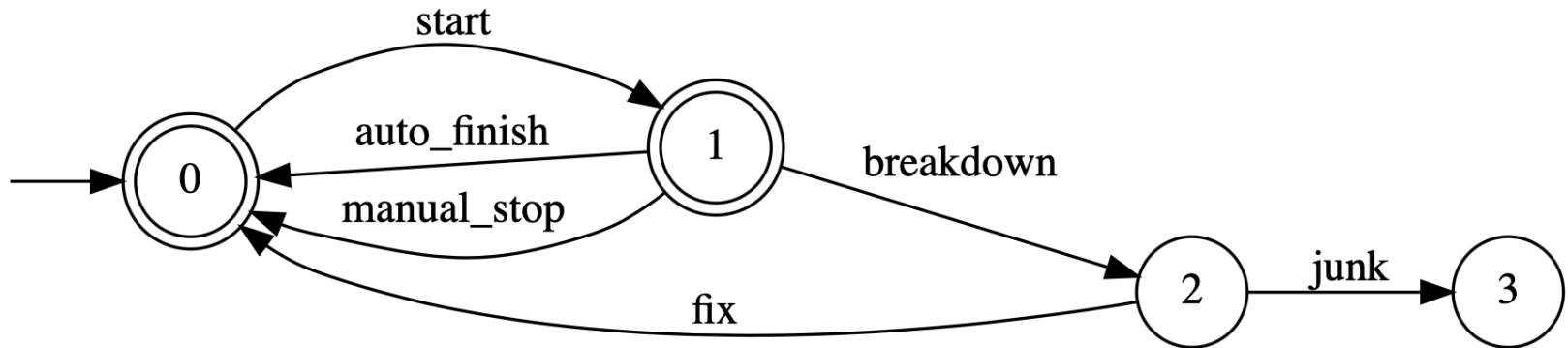
```
[3]
```

```
# 0(initial state) -> 3
```

```
pytct.reachable_string('PRINTER_JUNK', 3)
```

```
['start', 'breakdown', 'junk']
```

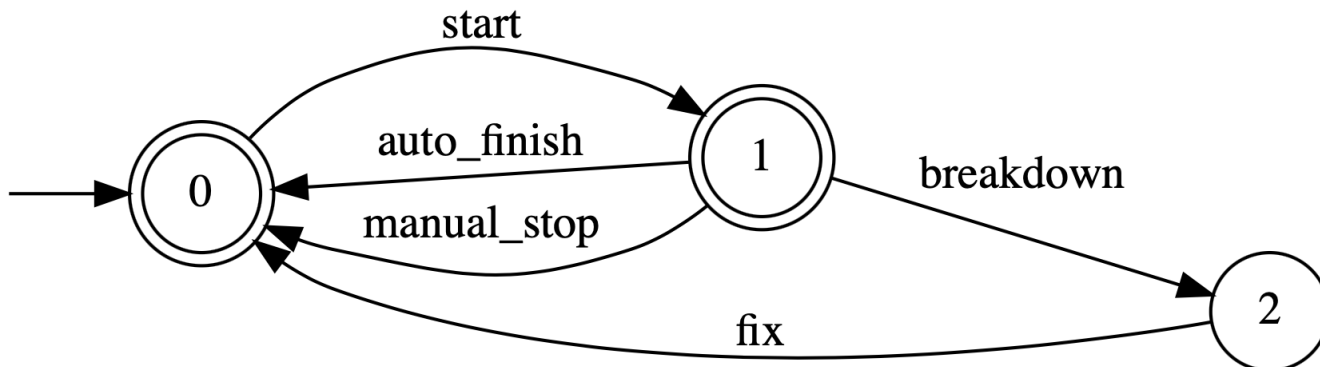
PyTCT trim



```
pytct.is_trim('PRINTER_JUNK')
```

False

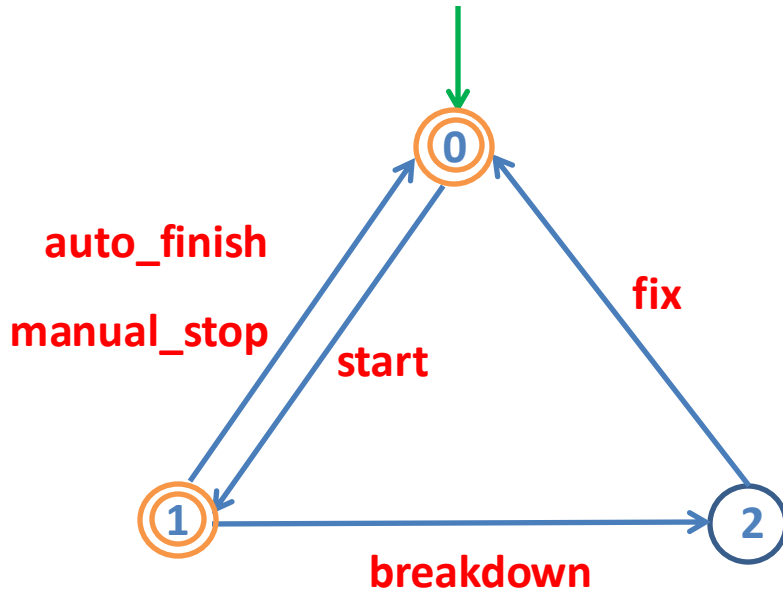
```
pytct.trim('PRINTER_TRIM', 'PRINTER_JUNK')  
pytct.display_automaton('PRINTER_TRIM')
```



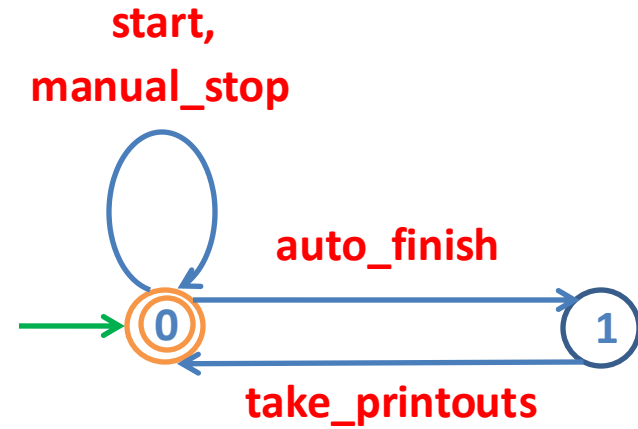
Synchronous product of
automata

Printer and User

PRINTER

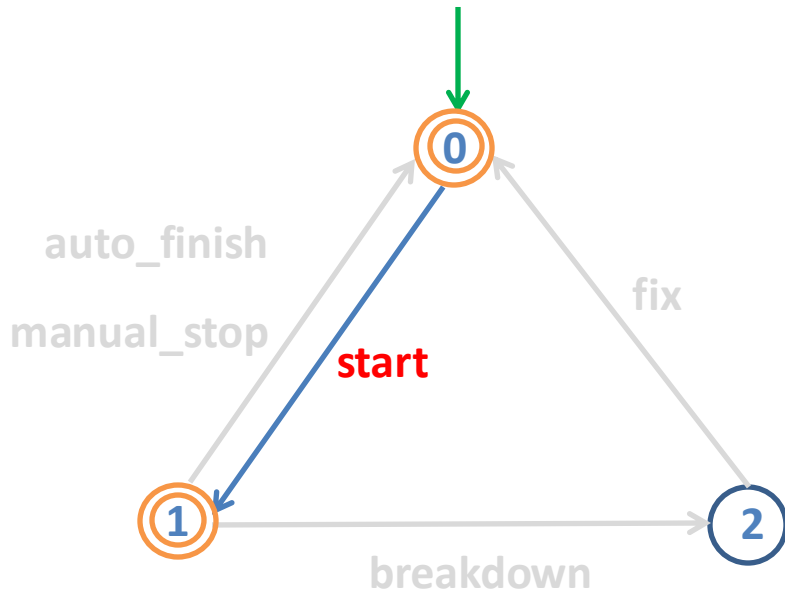


USER



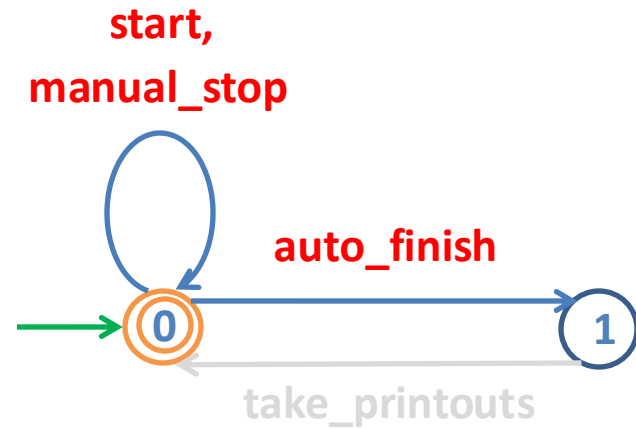
Printer and User

PRINTER



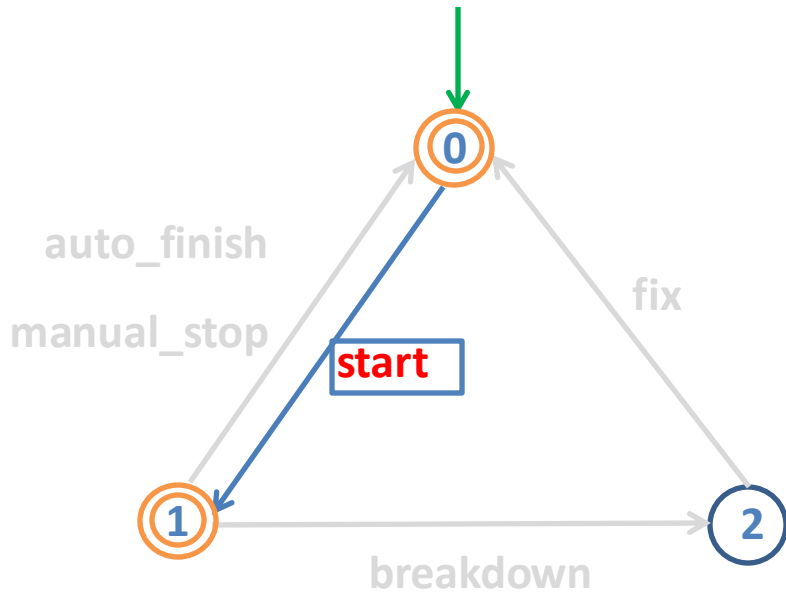
→ (0,0)

USER

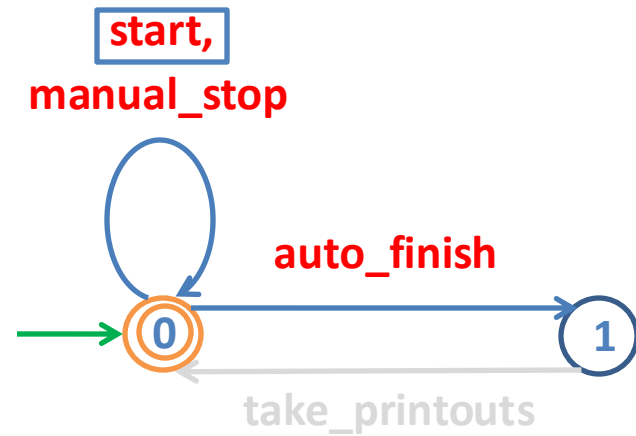


Printer and User

PRINTER



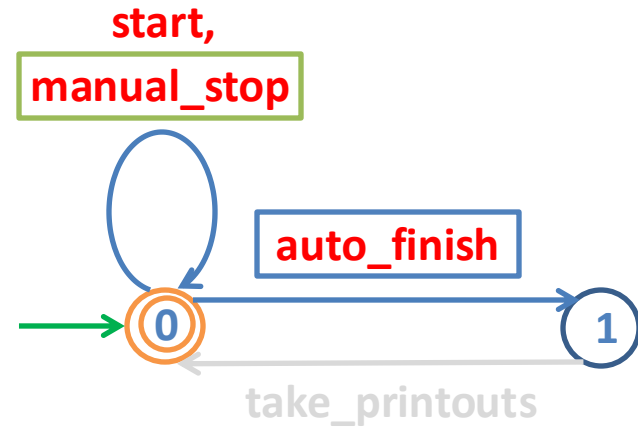
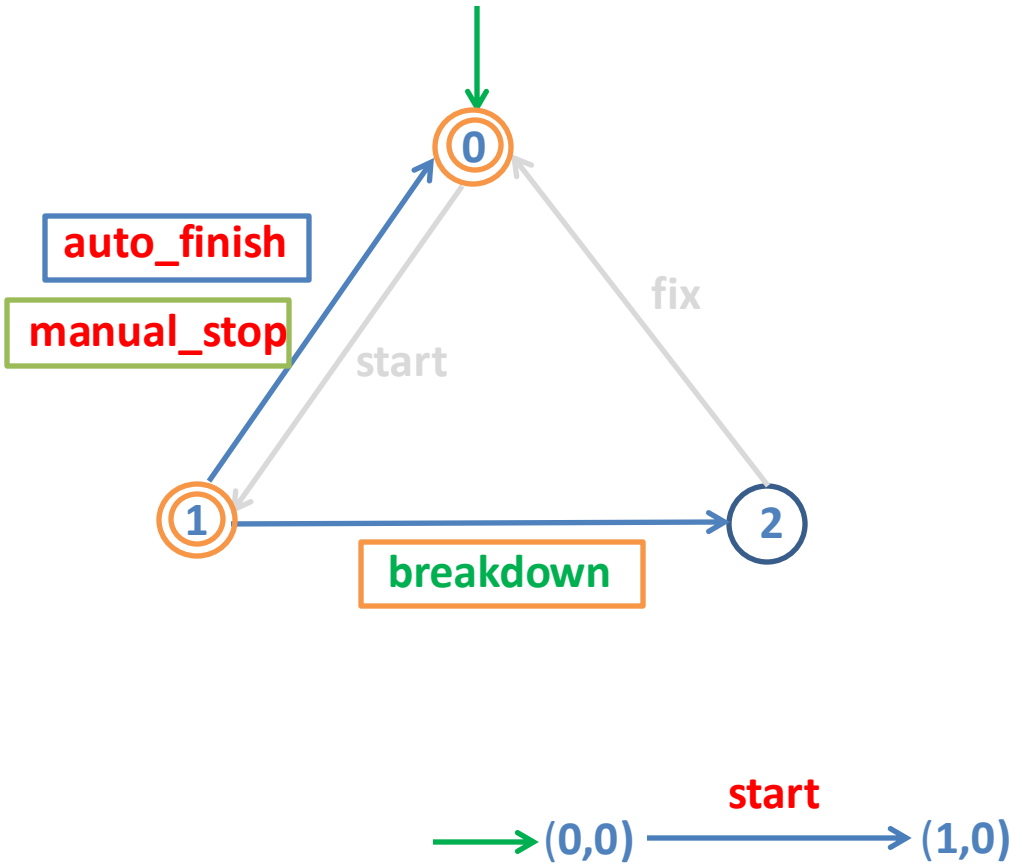
USER



Printer and User

PRINTER

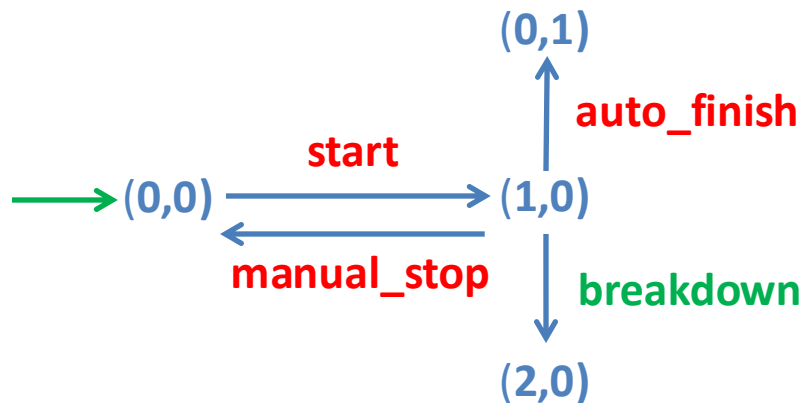
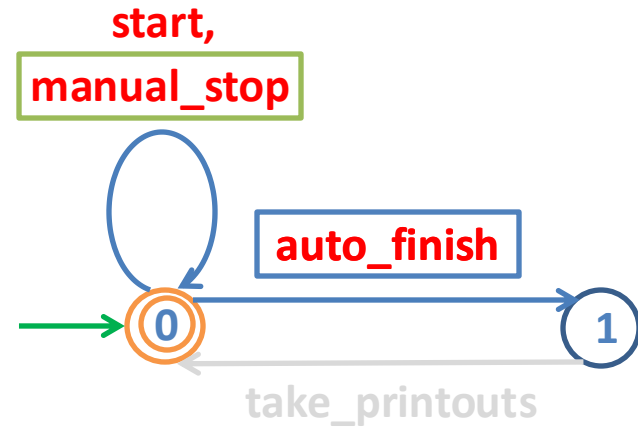
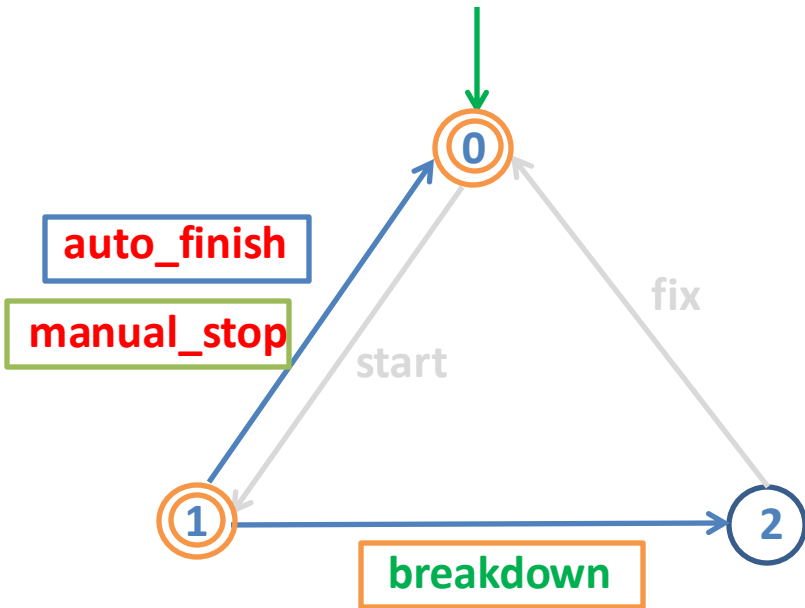
USER



Printer and User

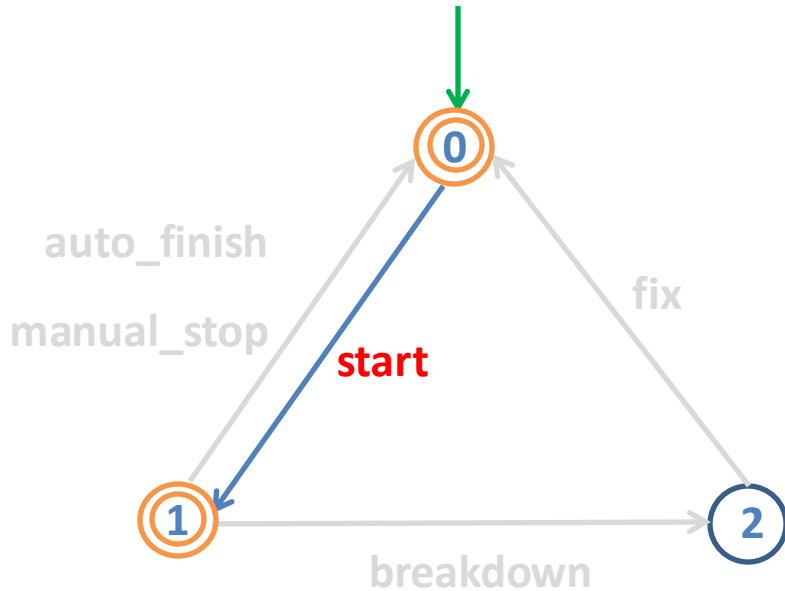
PRINTER

USER

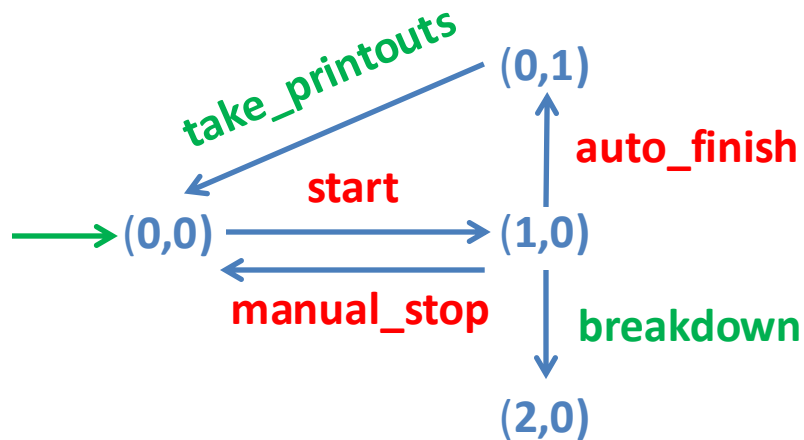
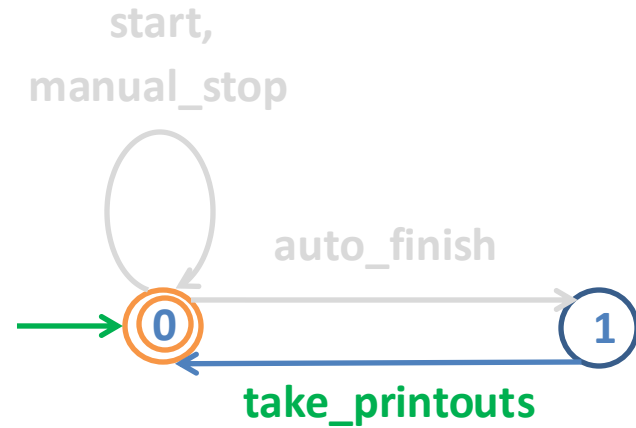


Printer and User

PRINTER

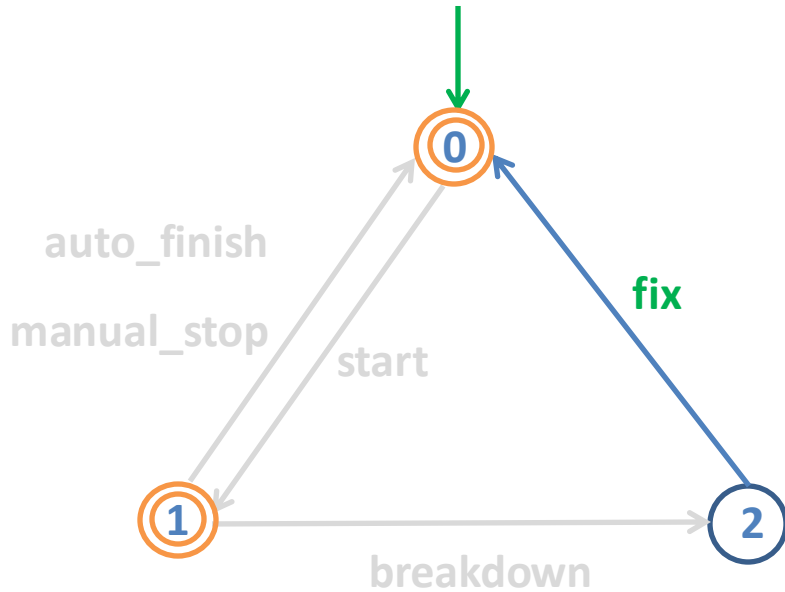


USER

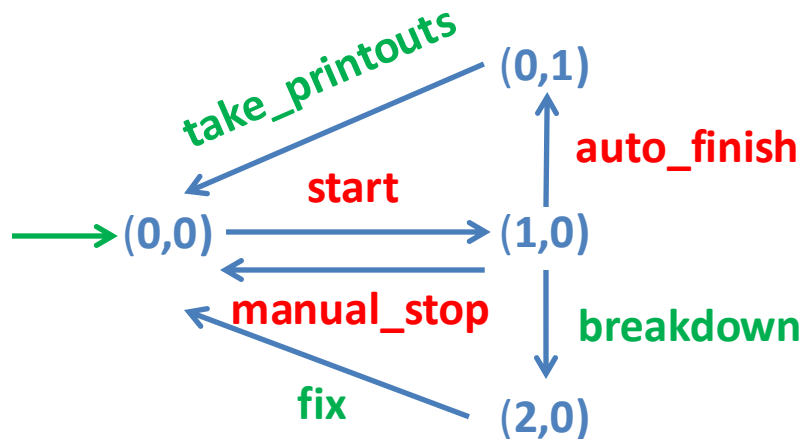
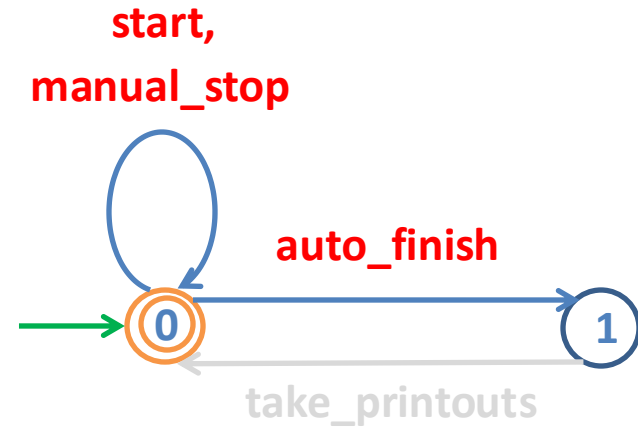


Printer and User

PRINTER



USER



Synchronous product: definition

Let $\mathbf{G}_1 = (Q_1, \Sigma_1, \delta_1, q_{0,1}, Q_{m,1})$
and $\mathbf{G}_2 = (Q_2, \Sigma_2, \delta_2, q_{0,2}, Q_{m,2})$ be two automata

The **synchronous product** of \mathbf{G}_1 and \mathbf{G}_2 , written $\mathbf{G}_1 \times \mathbf{G}_2$,
is a new automaton $\mathbf{G} = (Q, \Sigma, \delta, q_0, Q_m)$

where $Q = Q_1 \times Q_2 = \{(q_1, q_2) \mid q_1 \in Q_1 \ \& \ q_2 \in Q_2\}$,

$\Sigma = \Sigma_1 \cup \Sigma_2$, $q_0 = (q_{0,1}, q_{0,2})$, $Q_m = Q_{m,1} \times Q_{m,2}$

$\delta : (Q_1 \times Q_2) \times \Sigma \rightarrow (Q_1 \times Q_2)$

Synchronous product: definition

$$\delta : (Q_1 \times Q_2) \times \Sigma \rightarrow (Q_1 \times Q_2)$$

Case 1: $\sigma \in \Sigma_1 \setminus \Sigma_2$ and $\delta_1(q_1, \sigma)$!

$$\delta((q_1, q_2), \sigma) = (\delta_1(q_1, \sigma), q_2)$$

Case 2: $\sigma \in \Sigma_2 \setminus \Sigma_1$ and $\delta_2(q_2, \sigma)$!

$$\delta((q_1, q_2), \sigma) = (q_1, \delta_2(q_2, \sigma))$$

Case 3: $\sigma \in \Sigma_1 \cap \Sigma_2$, $\delta_1(q_1, \sigma)$!, and $\delta_2(q_2, \sigma)$!

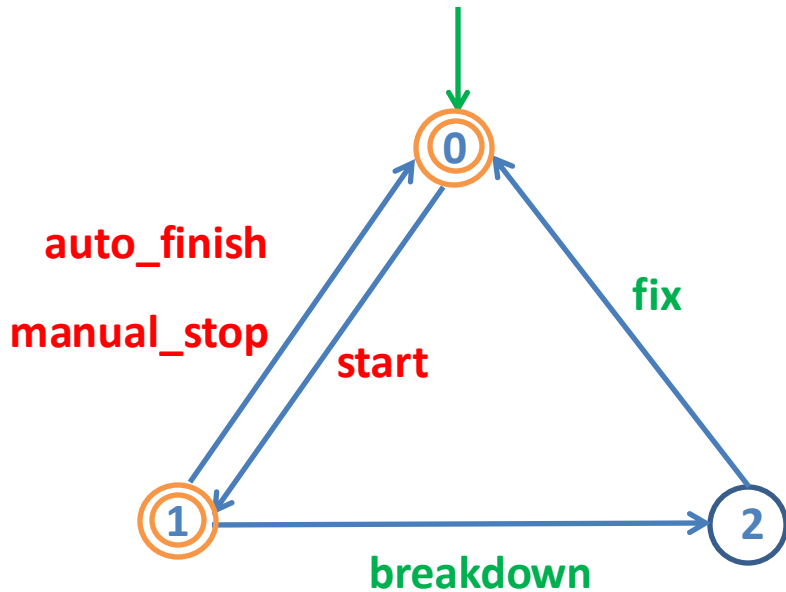
$$\delta((q_1, q_2), \sigma) = (\delta_1(q_1, \sigma), \delta_2(q_2, \sigma))$$

Case 4: otherwise

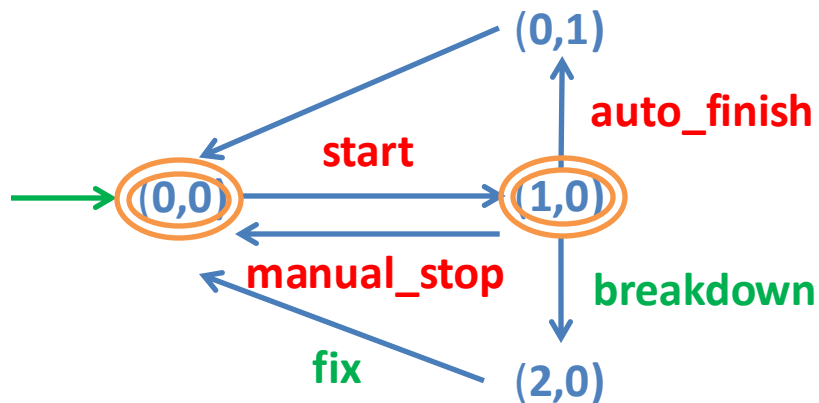
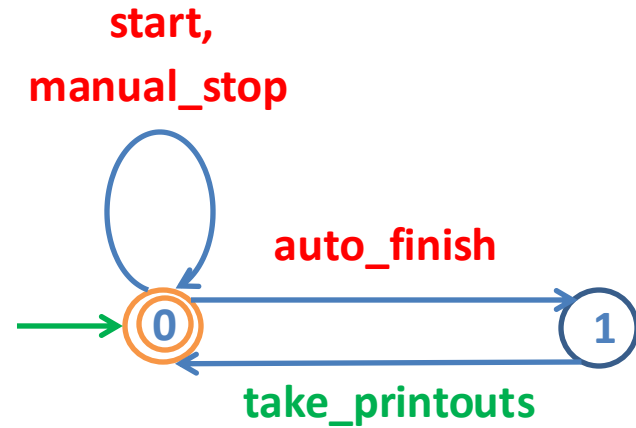
$$\neg \delta((q_1, q_2), \sigma)!$$

Printer and User

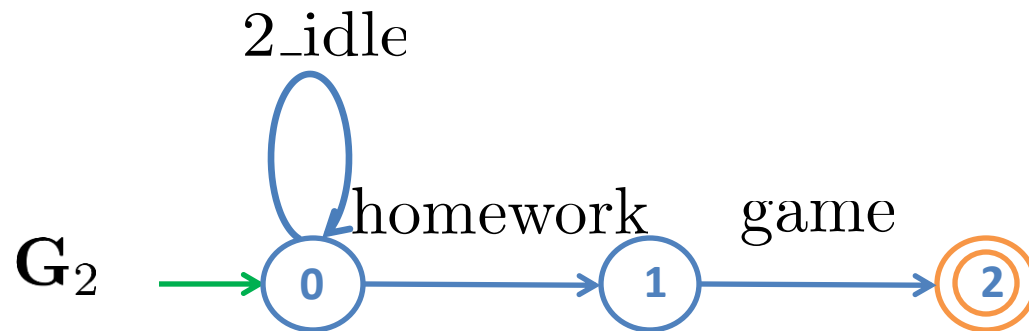
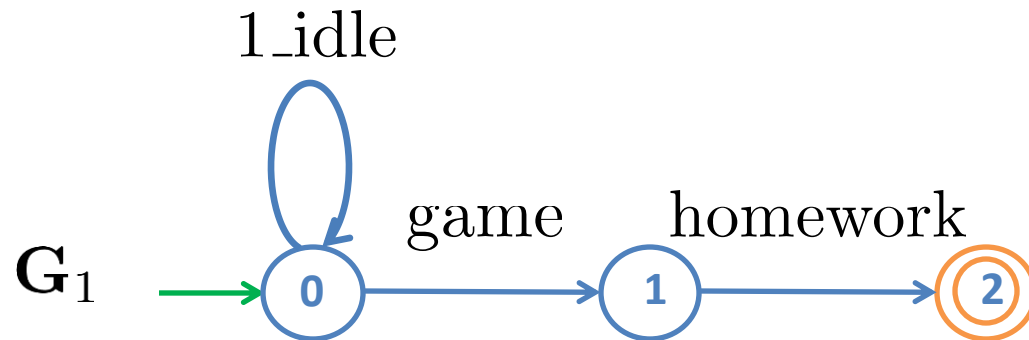
PRINTER



USER



Event blocking



$G_1 || G_2$

Synchronously nonconflicting

Let $\mathbf{G}_1 = (Q_1, \Sigma_1, \delta_1, q_{0,1}, Q_{m,1})$
and $\mathbf{G}_2 = (Q_2, \Sigma_2, \delta_2, q_{0,2}, Q_{m,2})$ be two automata

\mathbf{G}_1 and \mathbf{G}_2 are (synchronously) nonconflicting
if their synchronous product $\mathbf{G}_1 \parallel \mathbf{G}_2$ is nonblocking

PyTCT create

```
import pytct

pytct.init("mytct", overwrite=True)

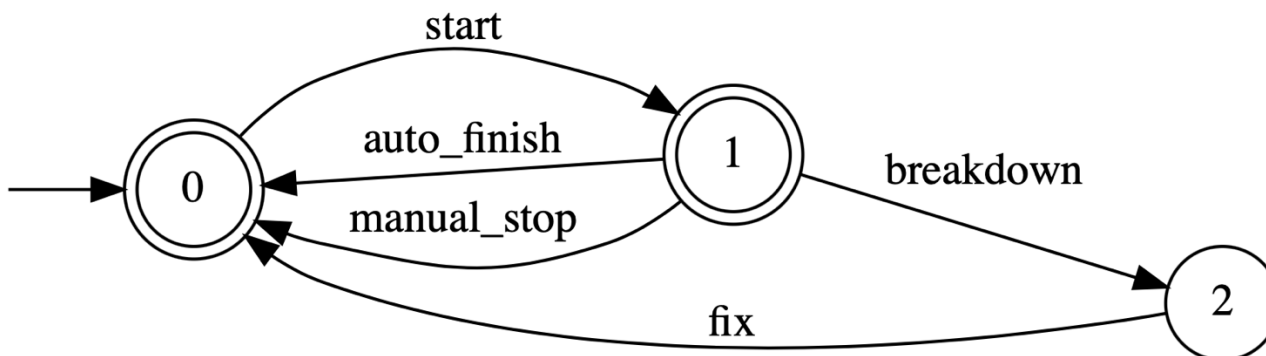
statenum=3 #number of states
#states are sequentially labeled 0,1,...,statenum
#initial state is labeled 0

trans=[(0,'start',1),
        (1,'auto_finish',0),
        (1,'manual_stop',0),
        (1,'breakdown',2),
        (2,'fix',0)] # set of transitions
#each triple is (exit state, event label, entering state)

marker = [0,1] #set of marker states

pytct.create('PRINTER', statenum, trans, marker)
#create automaton A

pytct.display_automaton('PRINTER')
```



PyTCT create

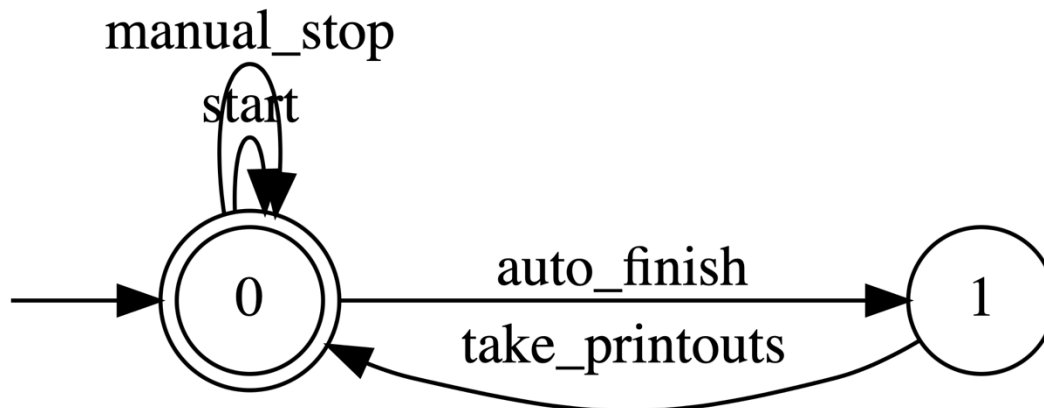
```
statenum=2 #number of states
#states are sequentially labeled 0,1,...,statenum
#initial state is labeled 0

trans=[(0,'start',0),
        (0,'auto_finish',1),
        (0,'manual_stop',0),
        (1,'take_printouts',0)] # set of transitions
#each triple is (exit state, event label, entering state)

marker = [0] #set of marker states

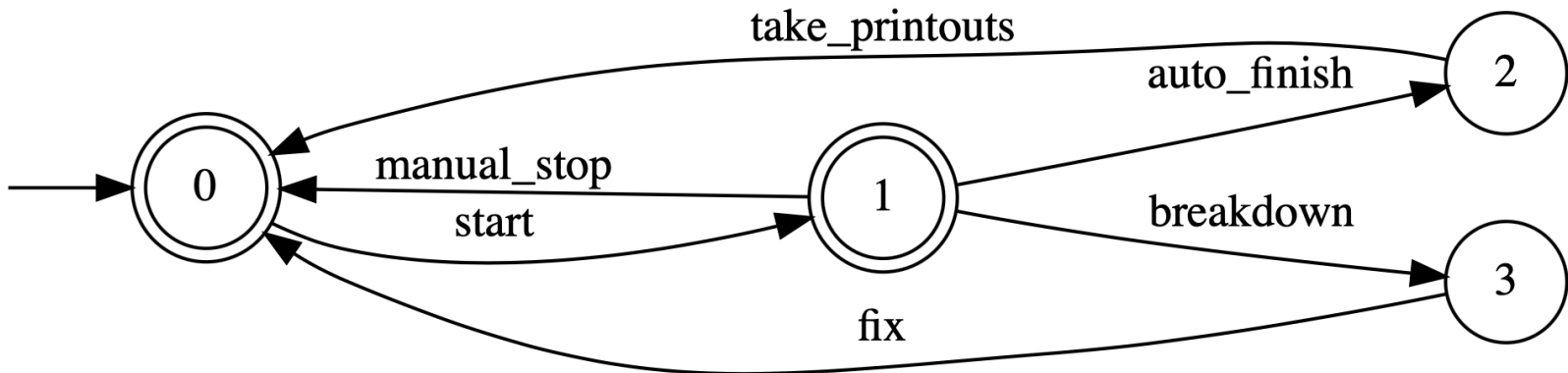
pytct.create('HUMAN', statenum, trans, marker)
#create automaton A

pytct.display_automaton('HUMAN')
```



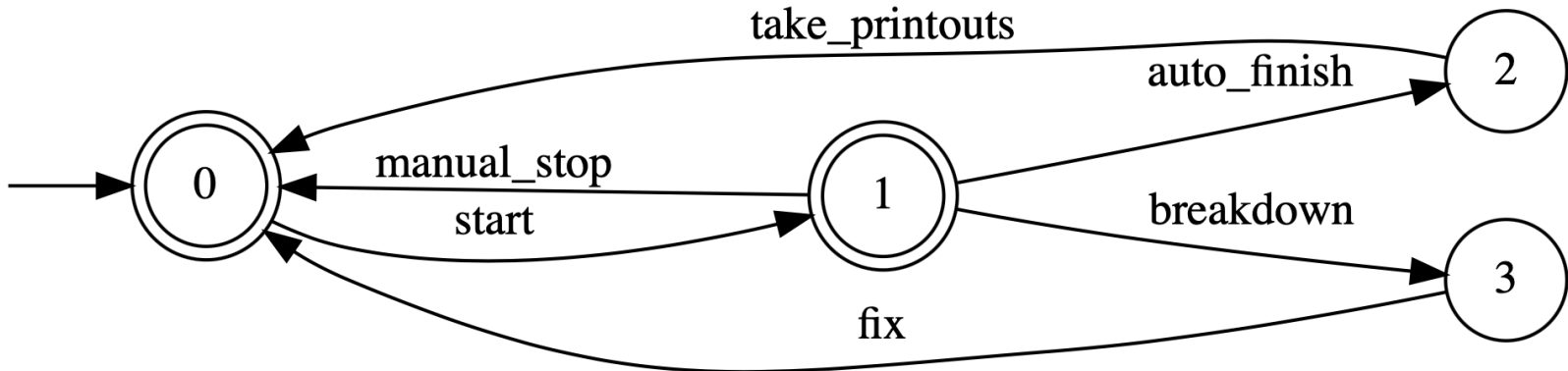
PyTCT sync

```
pytct.sync('PH_SYNC', 'PRINTER', 'HUMAN')  
pytct.display_automaton('PH_SYNC')
```



PyTCT sync

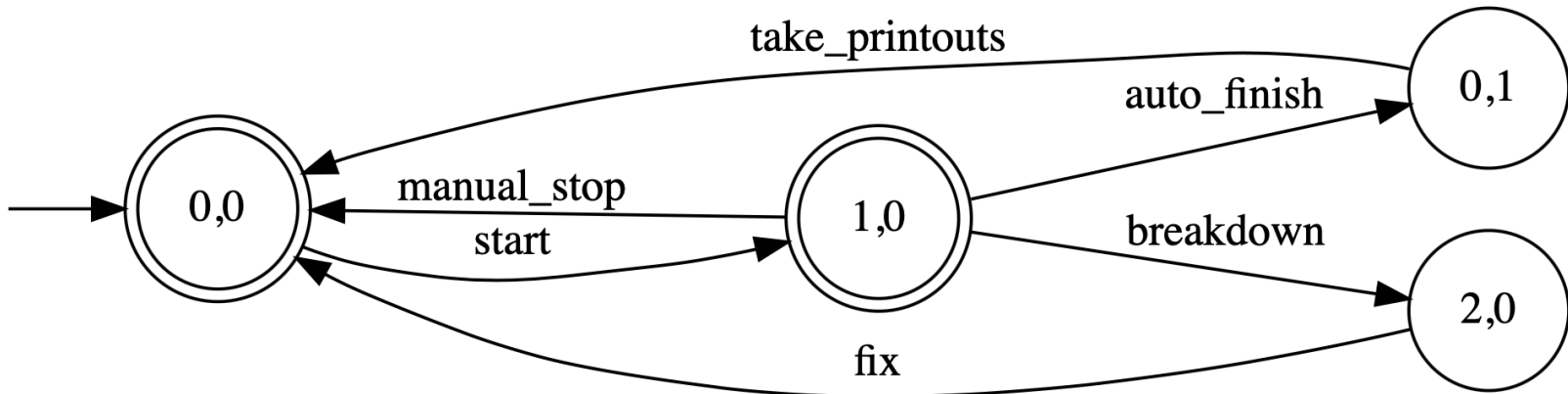
```
table = pytct.sync('PH', 'PRINTER', 'HUMAN', table=True)
print(table)
pytct.display_automaton('PH')
```



```
0: 0,0
1: 1,0
2: 0,1
3: 2,0
```

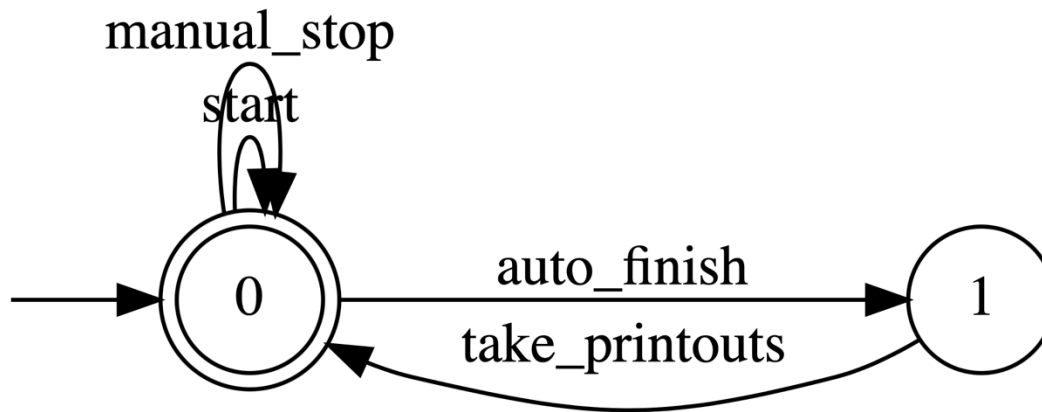
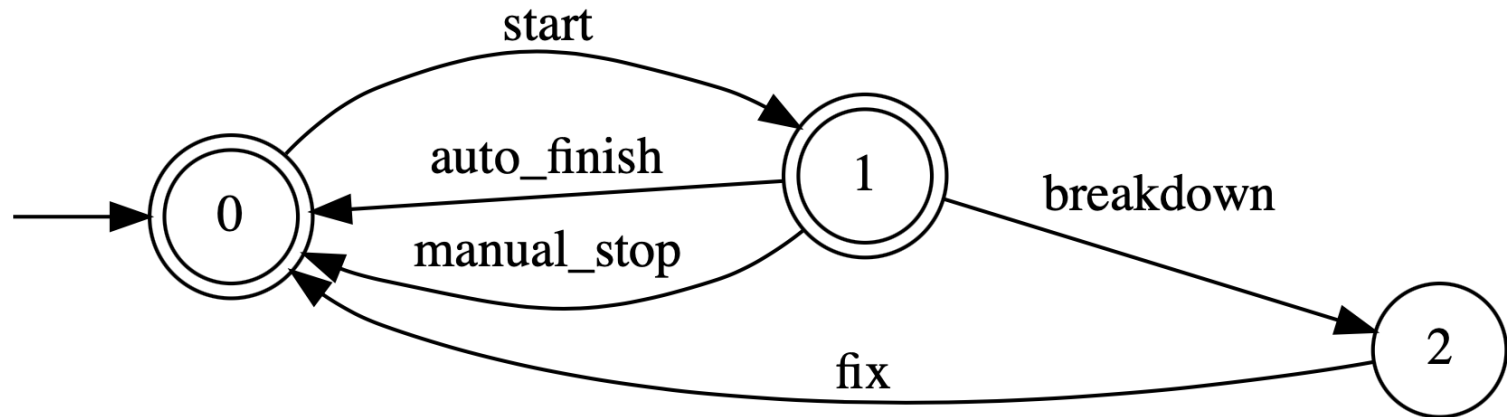
PyTCT sync

```
table = pytct.sync('PH', 'PRINTER', 'HUMAN', table=True, convert=True)
print(table)
pytct.display_automaton('PH')
```



```
0: 0,0
1: 1,0
2: 0,1
3: 2,0
```

PyTCT nonconflicting



```
pytct.sync('PH_SYNC', 'PRINTER', 'HUMAN')
```

```
pytct.is_nonblocking('PH_SYNC')
```

True

Recap

1. Create an automaton (create)
2. Properties of automaton (trim)
3. Synchronous product of automata (sync)
4. Feedback control loop
5. Controllability
6. Optimal supervisory control design

DES model

DES control

Feedback control loop

Supervisory control theory

Plant: target system to be controlled

modeled by automaton $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$

control technology: partition Σ such that $\Sigma = \Sigma_c \dot{\cup} \Sigma_u$

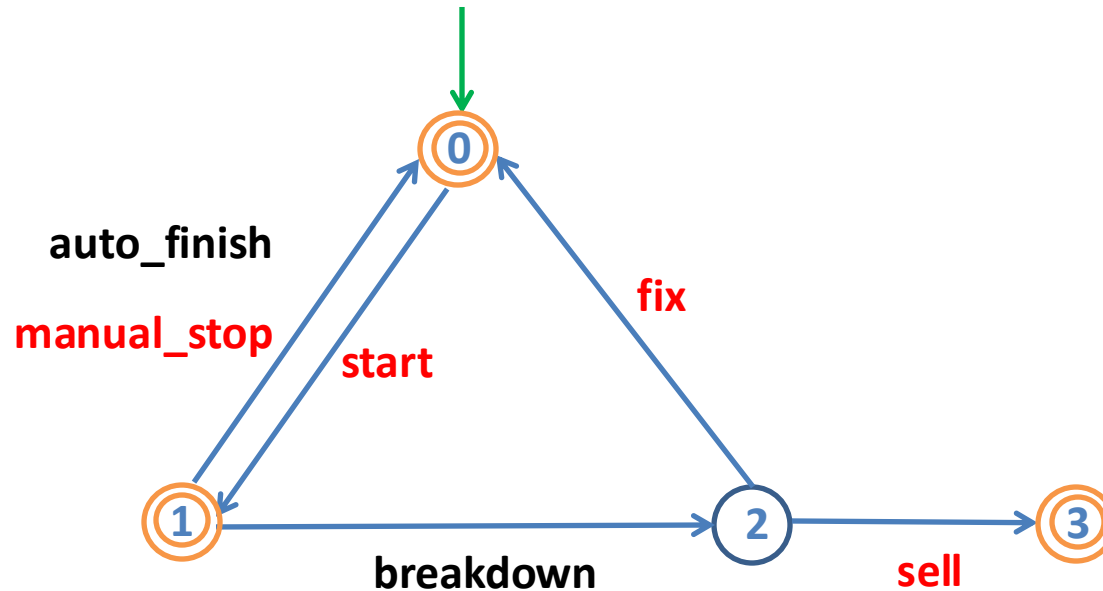
Σ_c : controllable event subset

Σ_u : uncontrollable event subset

Specification: control requirement

Supervisor: control rules that make plant satisfy specification

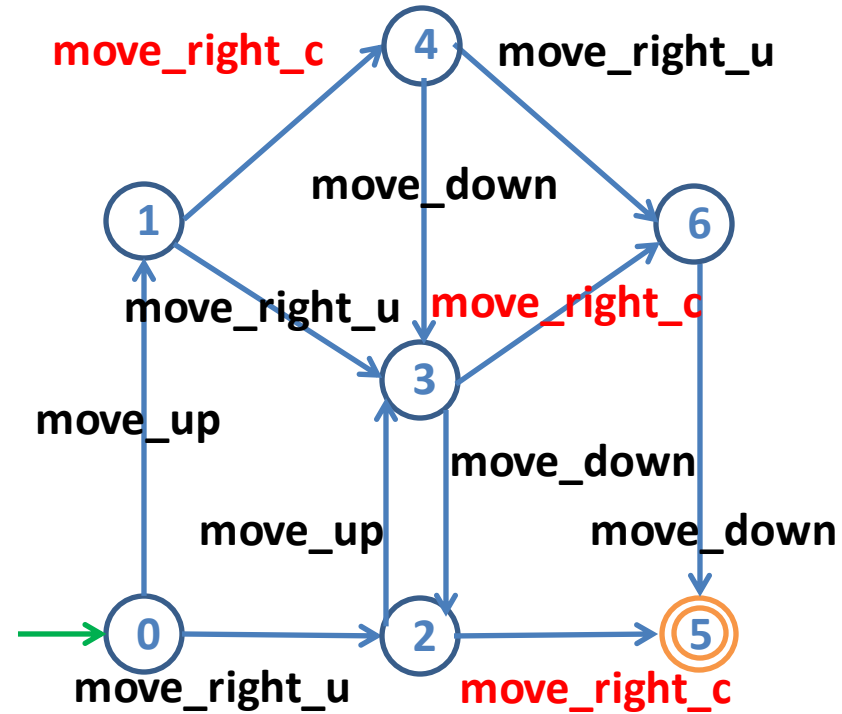
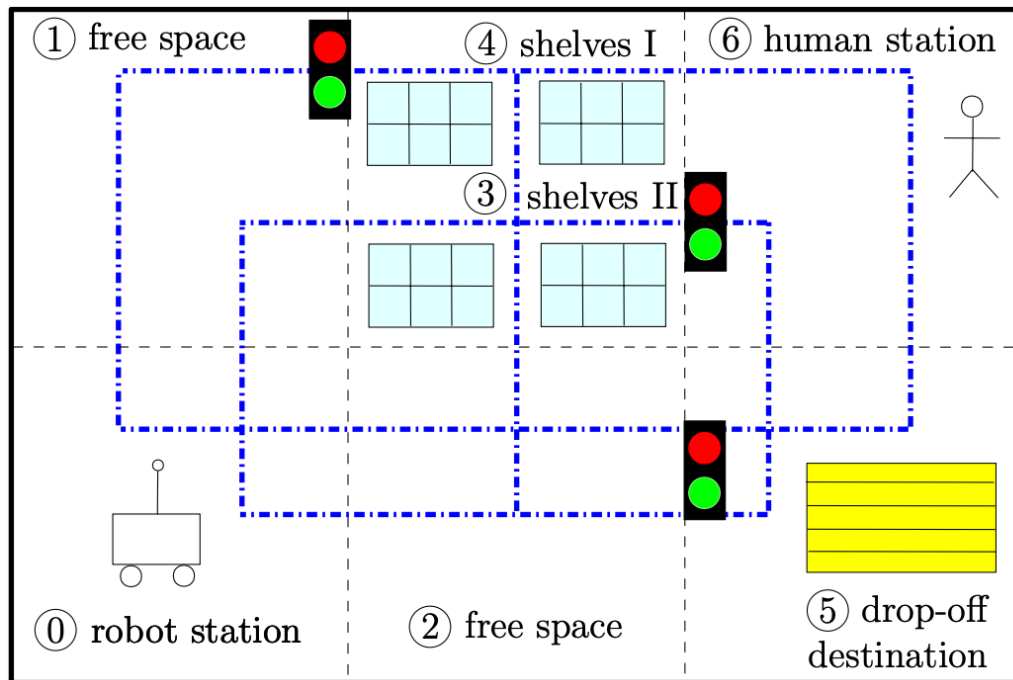
A printer with SOLD state



$$\Sigma_c = \{start, manual_stop, fix, sell\}$$

$$\Sigma_u = \{auto_finish, breakdown\}$$

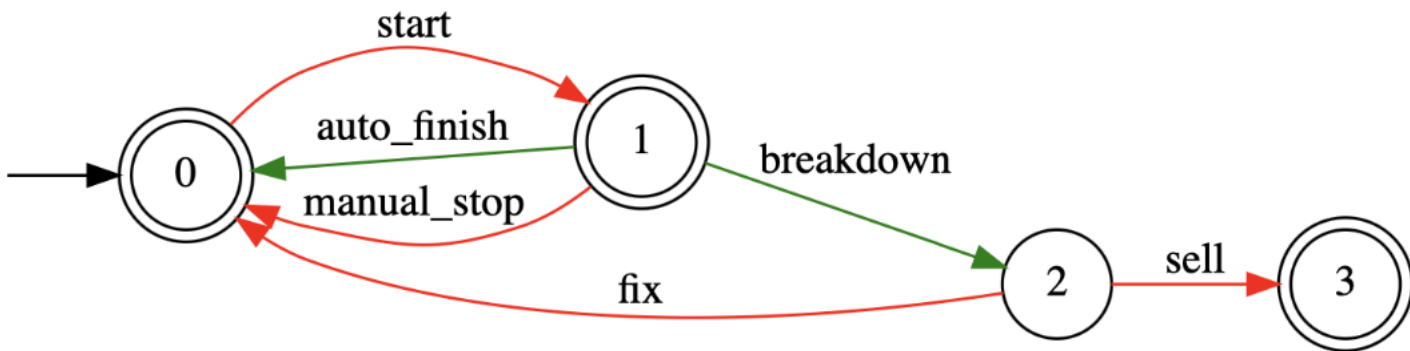
A warehouse with traffic lights



PyTCT create (controllable events)

```
1 statenum=4 #number of states
2 #states are sequentially labeled 0,1,...,statenum-1
3 #initial state is labeled 0
4
5 trans=[(0, 'start',1, 'c'),
6         (1, 'auto_finish',0, 'u'),
7         (1, 'manual_stop',0, 'c'),
8         (1, 'breakdown',2, 'u'),
9         (2, 'fixe',0, 'c')
10        (2, 'sell',3, 'c')] #set of transitions
11 #each triple is (exit state, event label, entering state)
12 #each event is either 'c' (controllable) or 'u' (
13     uncontrollable)
14
15
16 marker = [0,1,3] #set of marker states
17
18
19 pytct.create('PRINTER_SELL', statenum, trans, marker)
20 #create automaton PRINTER_SELL
21
22
23 pytct.display_automaton('PRINTER_SELL',color=True)
24 #plot PRINTER_SELL.DES with color coding
```

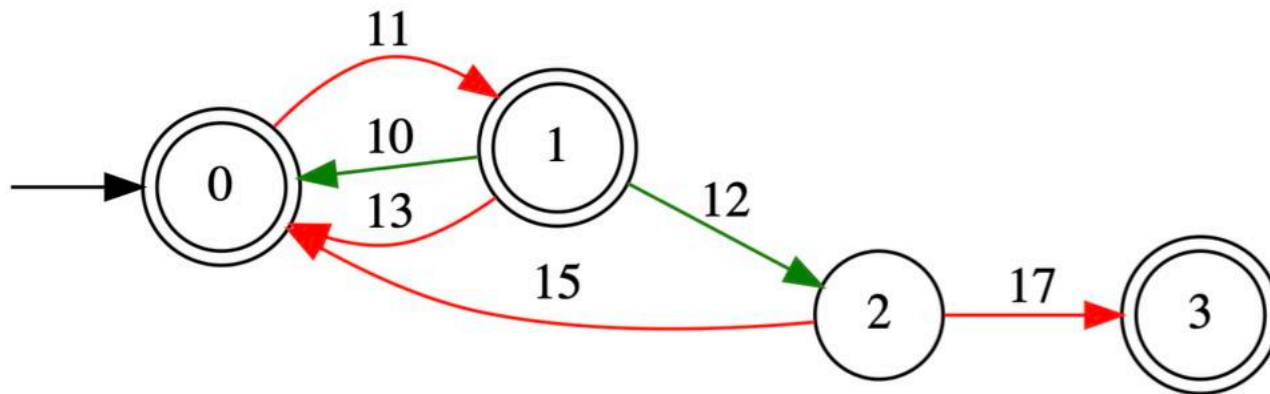
PyTCT display_automaton (color)



PyTCT create (controllable events)

```
1 statenum=4 #number of states
2 #states are sequentially labeled 0,1,...,statenum-1
3 #initial state is labeled 0
4
5 trans=[(0,11,1),
6         (1,10,0),
7         (1,13,0),
8         (1,12,2),
9         (2,15,0)] #set of transitions
10 #each triple is (exit state, event label, entering state)
11 #odd numbers for controllable events; even numbers for
    uncontrollable events
12
13 marker = [0,1,3] #set of marker states
14
15 pytct.create('PRINTER_SELL', statenum, trans, marker)
16 #create automaton PRINTER_SELL
17
18 pytct.display_automaton('PRINTER_SELL',color=True)
19 #plot PRINTER_SELL.DES with color coding
```

PyTCT display_automaton (color)



Specification: control requirement

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$

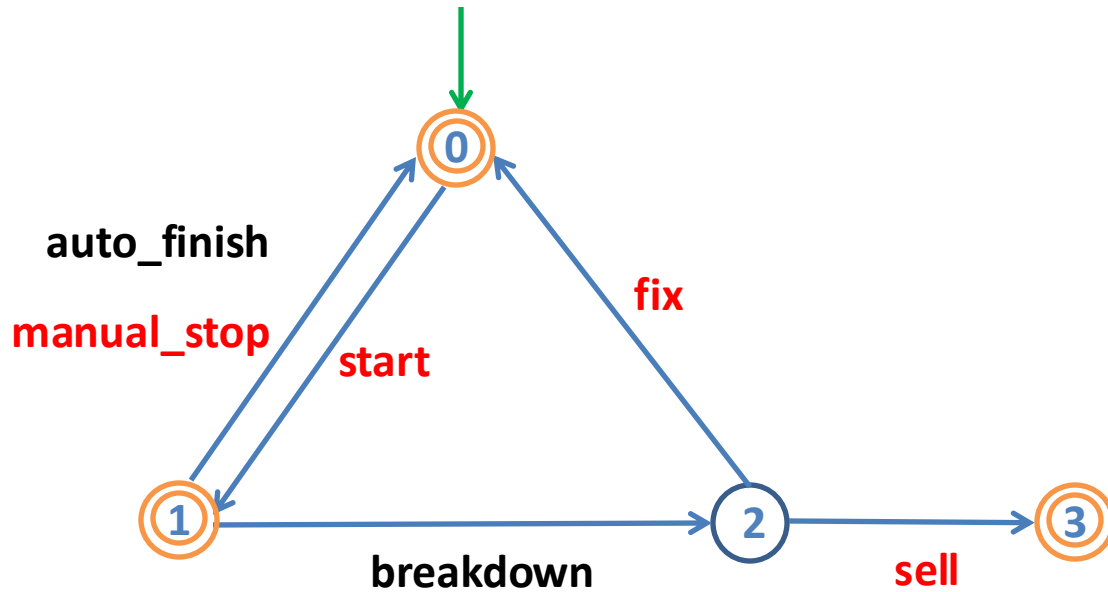
Specification \mathbf{S} : **subautomaton** of \mathbf{P}

$$\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$$

- $X_s \subseteq X$
- $\Sigma_s \subseteq \Sigma$
- $\xi_s \subseteq \xi$
- $X_{s,m} \subseteq X_m$

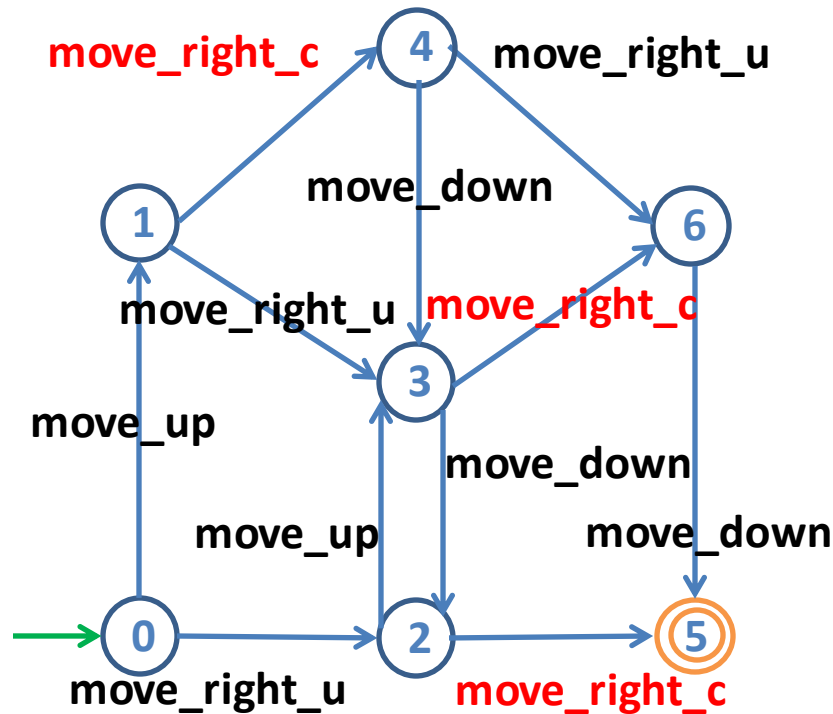
Specification: control requirement

PRINTER

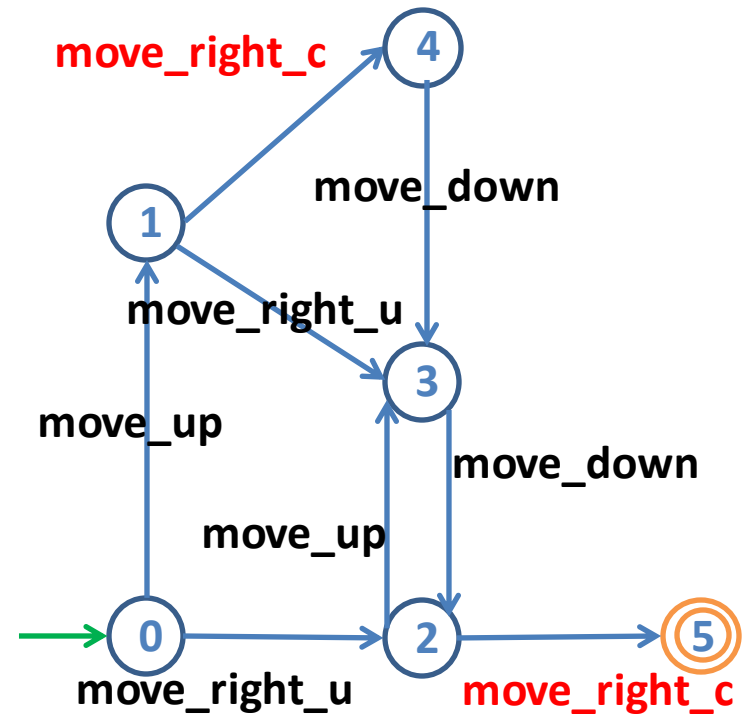


Specification: control requirement

ROBOT



Specification S



Supervisory controller

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$

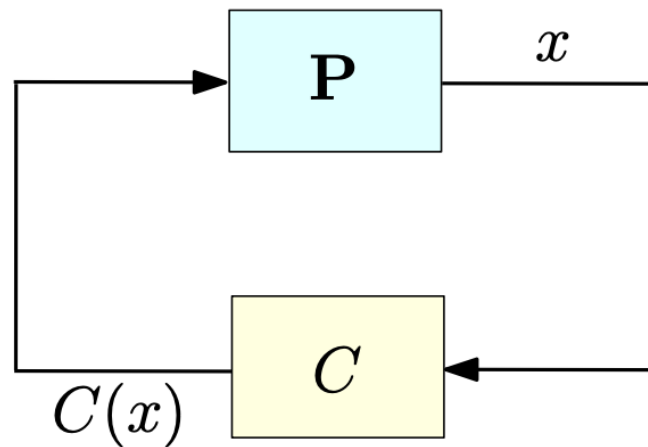
State-based supervisory controller $C : X \rightarrow 2^{\Sigma_c}$

(2^{Σ_c} : powerset of Σ_c)

Feedback control loop

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$

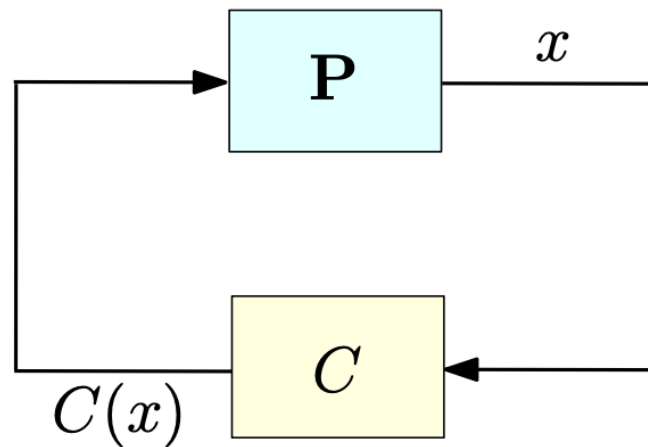
State-based supervisory controller $C : X \rightarrow 2^{\Sigma_c}$



Closed-loop system

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$

Closed-loop system C/\mathbf{P} : subautomaton of \mathbf{P}



Closed-loop system

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$

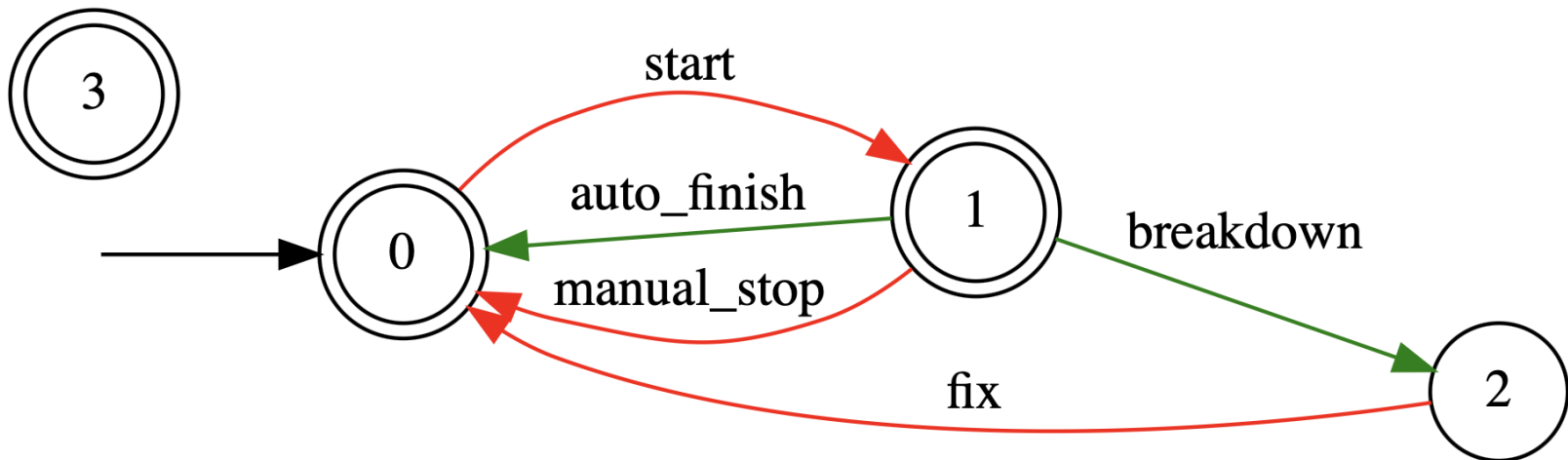
Closed-loop system C/\mathbf{P} : subautomaton of \mathbf{P}

Specification $\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$ is
also a subautomaton of \mathbf{P}

Key question: *does there exist C s.t. $C/\mathbf{P} = \mathbf{S}$?*

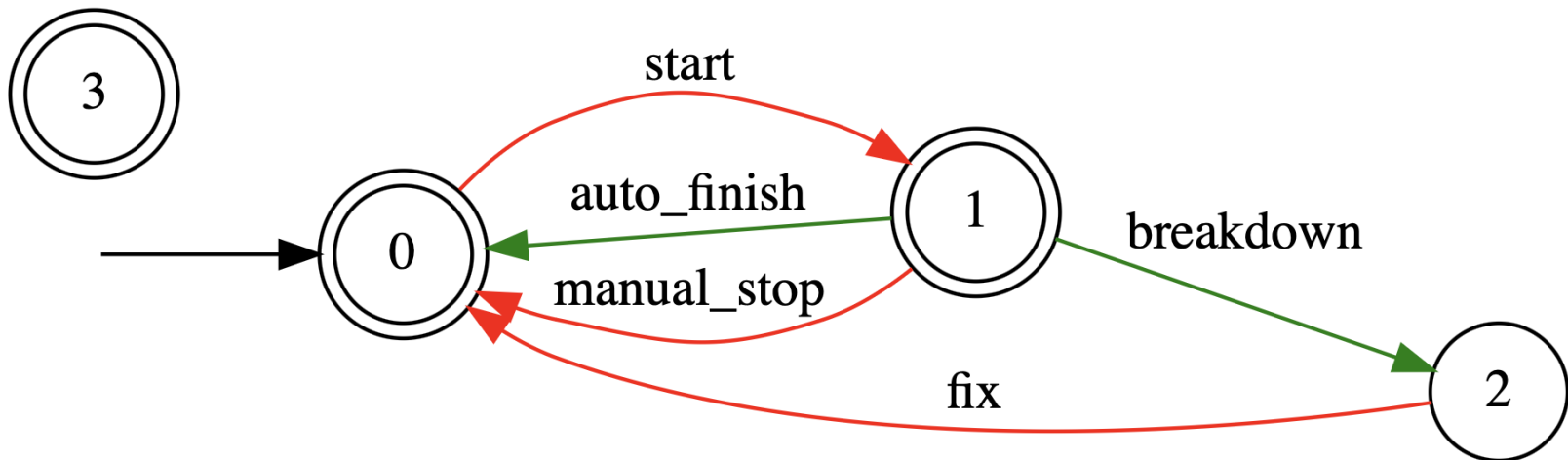
PyTCT subautomaton

```
pytct.subautomaton('S1', 'PRINTER_SELL', [3], [])  
#create subautomaton S1 by removing from PRINTER_SELL  
# [state list] and [transition list]  
  
pytct.display_automaton('S1', color=True)  
#plot S1.DES with color coding
```



PyTCT subautomaton

```
pytct.subautomaton('S2', 'PRINTER_SELL', [], [(2, 'sell', 3)])  
#create subautomaton S2 by removing from PRINTER_SELL  
# [state list] and [transition list]  
  
pytct.display_automaton('S2', color=True)  
#plot S2.DES with color coding
```



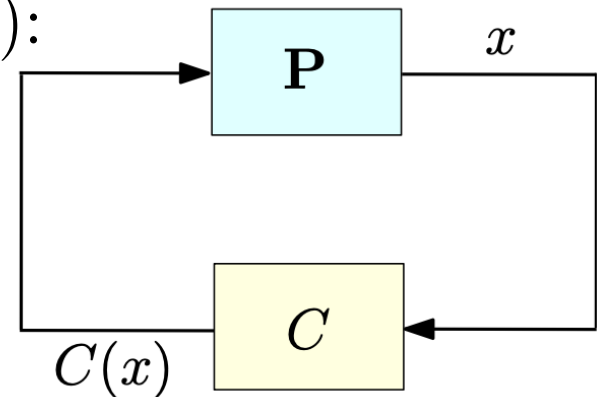
Controllability

Closed-loop system

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$, $\Sigma = \Sigma_c \cup \Sigma_u$

Specification $\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$:
subautomaton of \mathbf{P}

Supervisory controller $C : X \rightarrow 2^{\Sigma_c}$

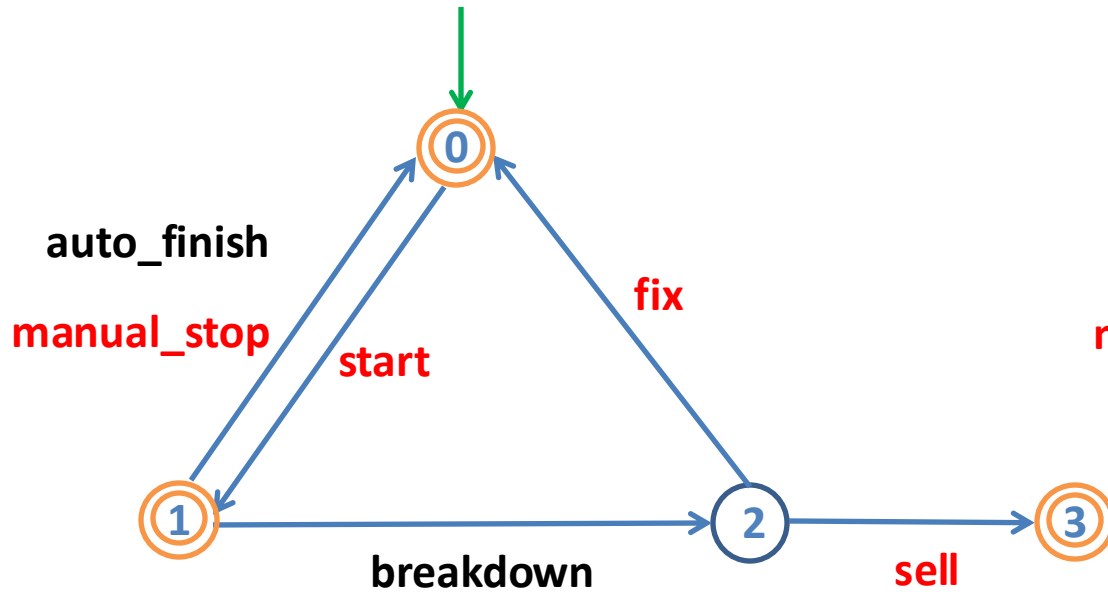


Closed-loop system C/\mathbf{P} : subautomaton of \mathbf{P}

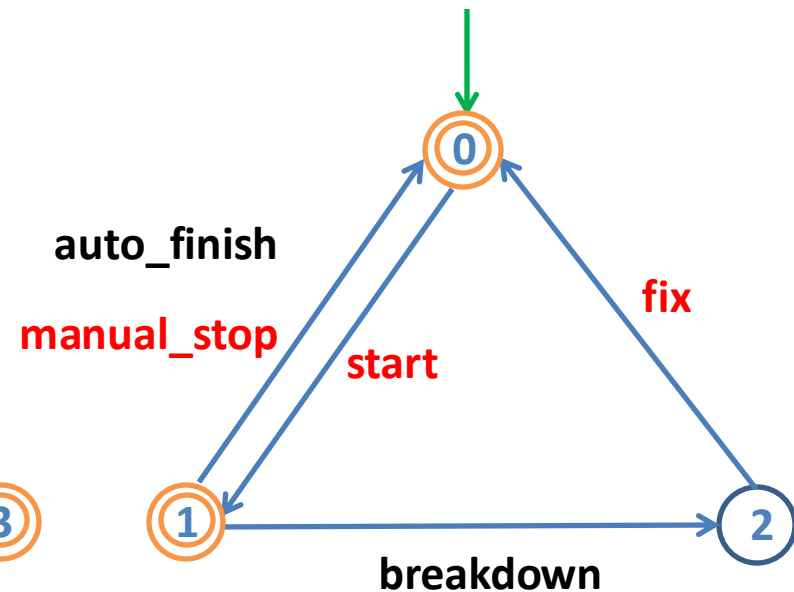
Key question: *does there exist C s.t. $C/\mathbf{P} = \mathbf{S}$?*

A printer

PRINTER



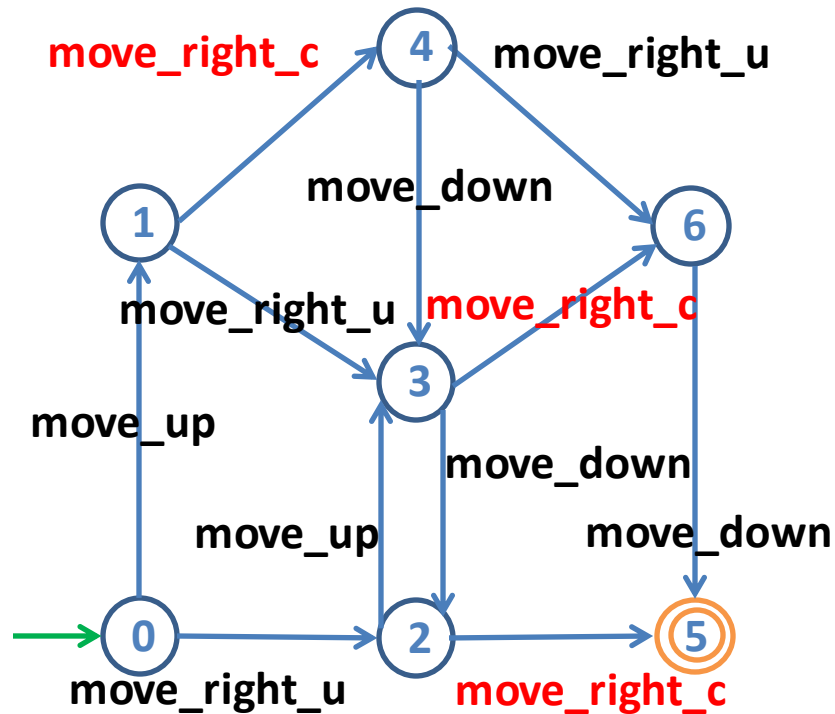
Specification S



$$C(2) = \{sell\}$$

A warehouse robot

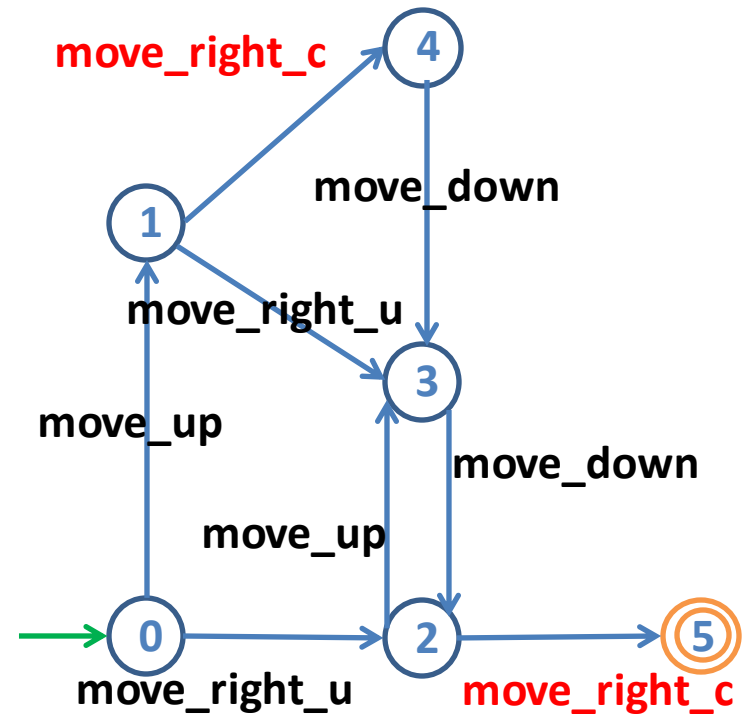
ROBOT



$$C(3) = \{move_right_c\}$$

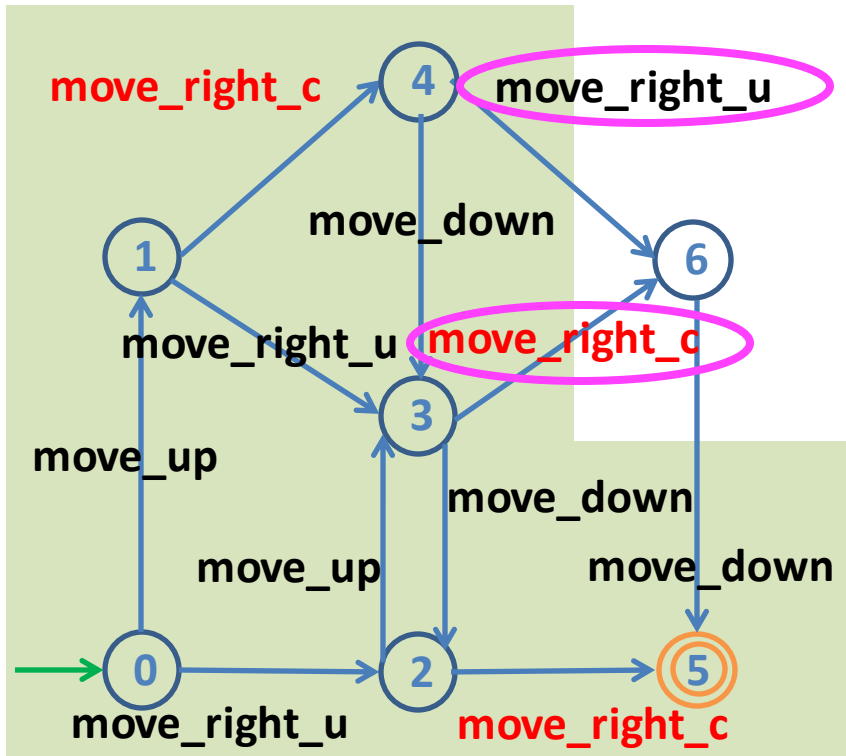
$$C(4) = \{move_right_u\} (?)$$

Specification S



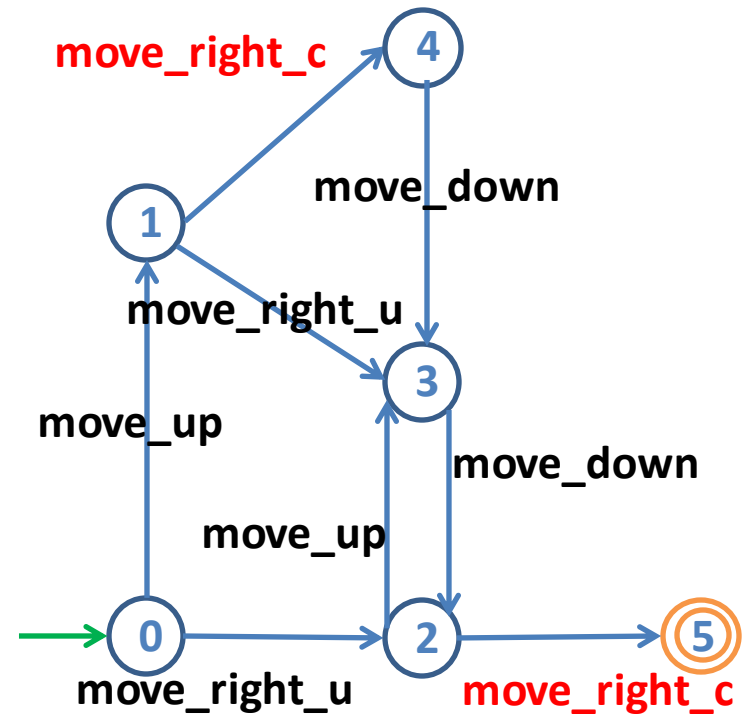
A warehouse robot

ROBOT



X_s

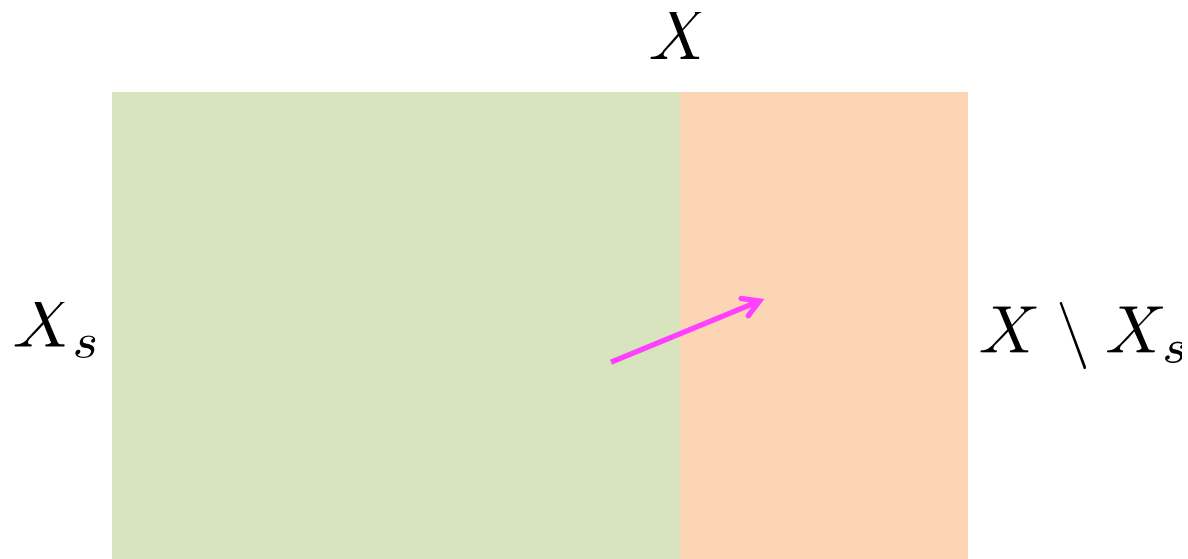
Specification S



Controllability

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$, $\Sigma = \Sigma_c \cup \Sigma_u$

Specification $\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$: subautomaton of \mathbf{P}

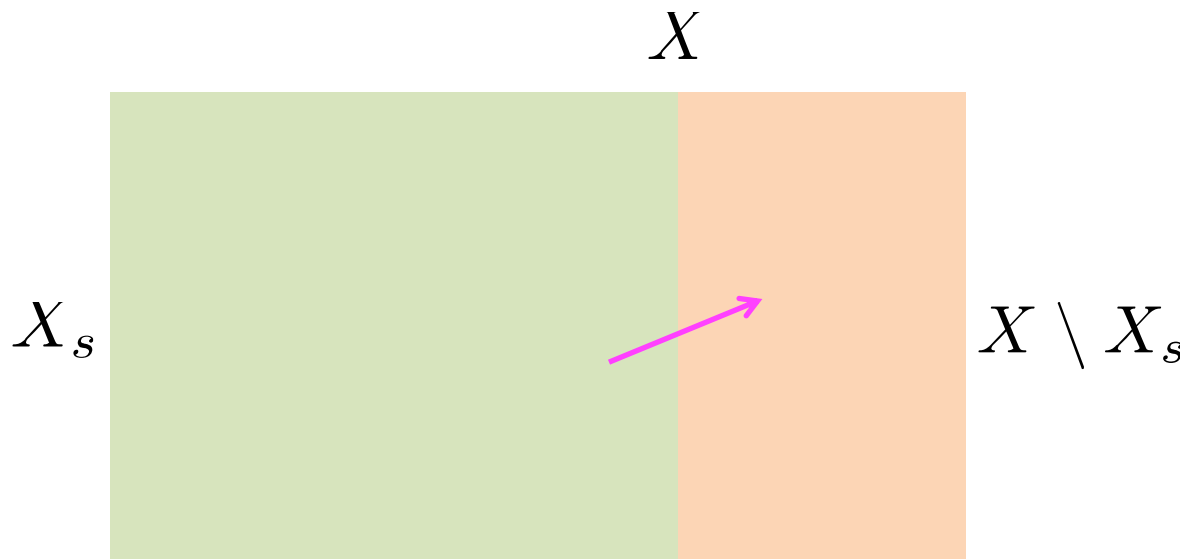


Key point: *is there an uncontrollable event existing X_s ?*

Controllability

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$, $\Sigma = \Sigma_c \cup \Sigma_u$

Specification $\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$: subautomaton of \mathbf{P}

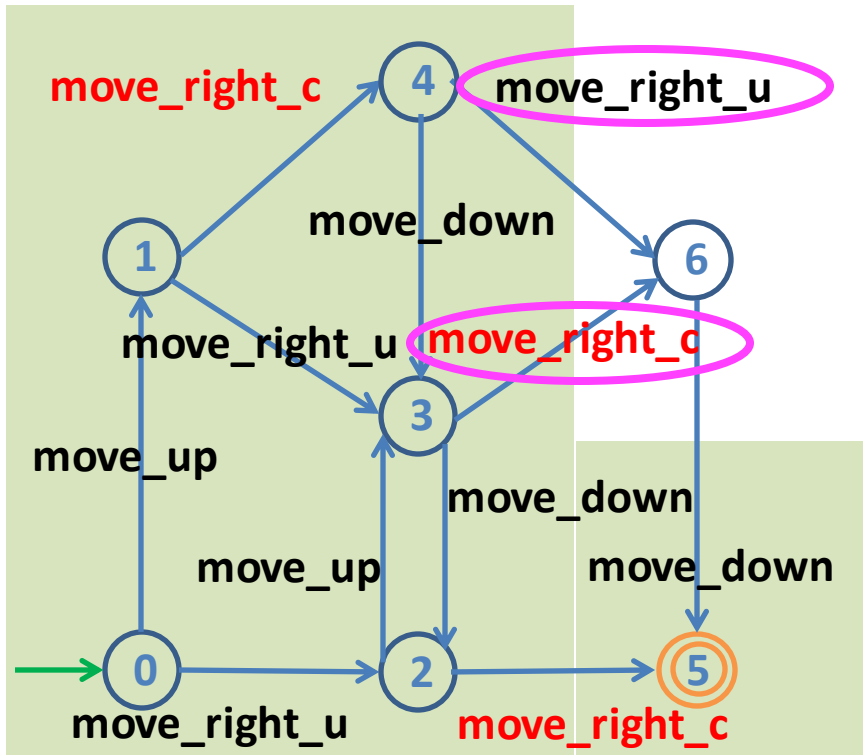


\mathbf{S} is controllable (wrt. \mathbf{P}):

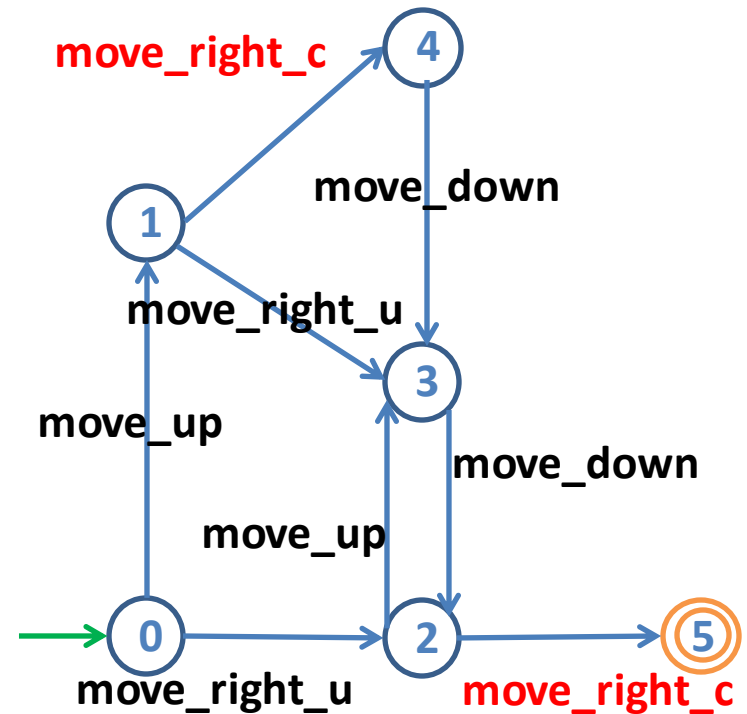
$$(\forall x \in X_s, \forall \sigma_u \in \Sigma_u) \xi(x, \sigma_u)! \Rightarrow \xi_s(x, \sigma_u)!$$

A warehouse robot

ROBOT



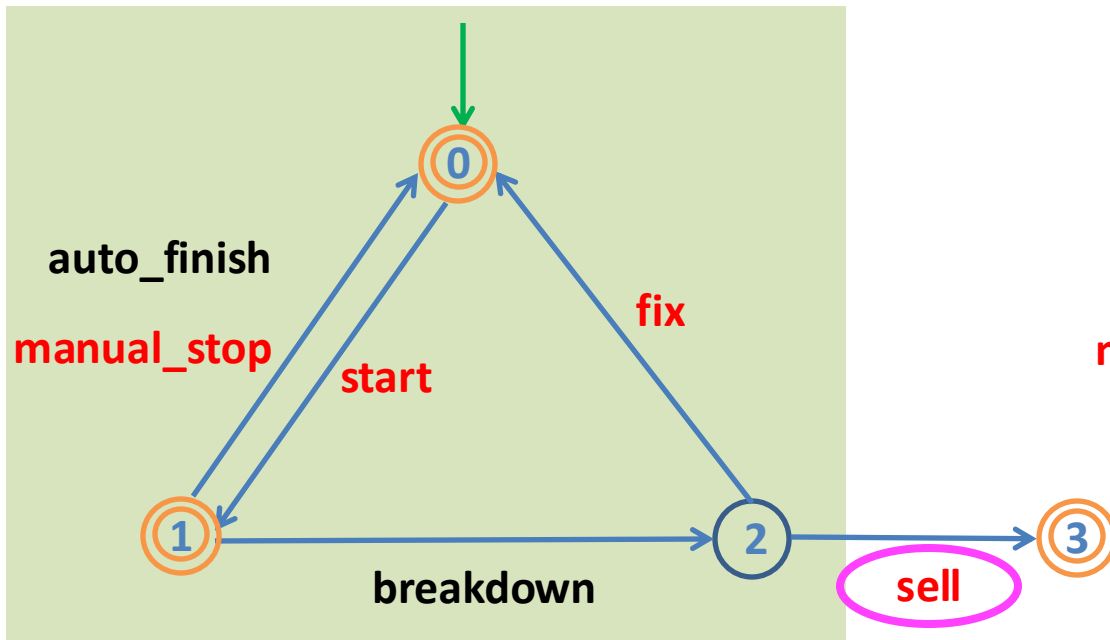
Specification S



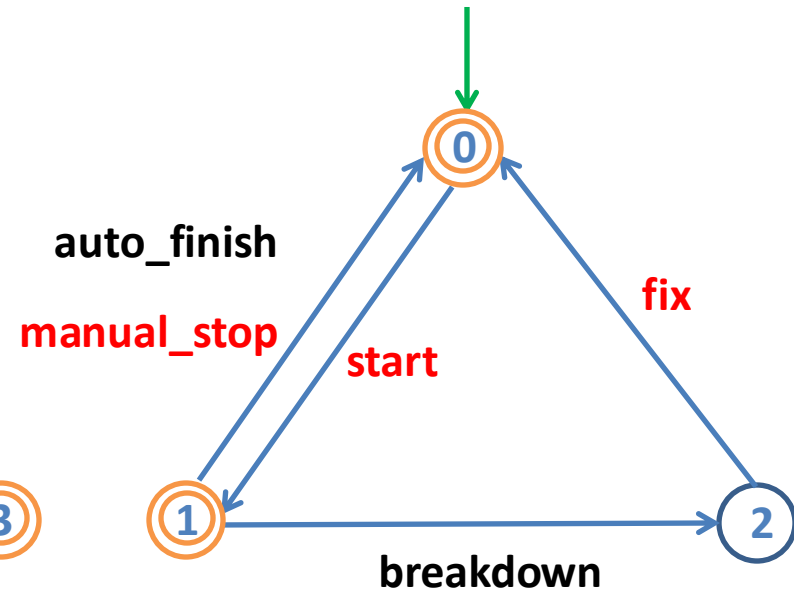
S is not controllable

A printer

PRINTER



Specification S



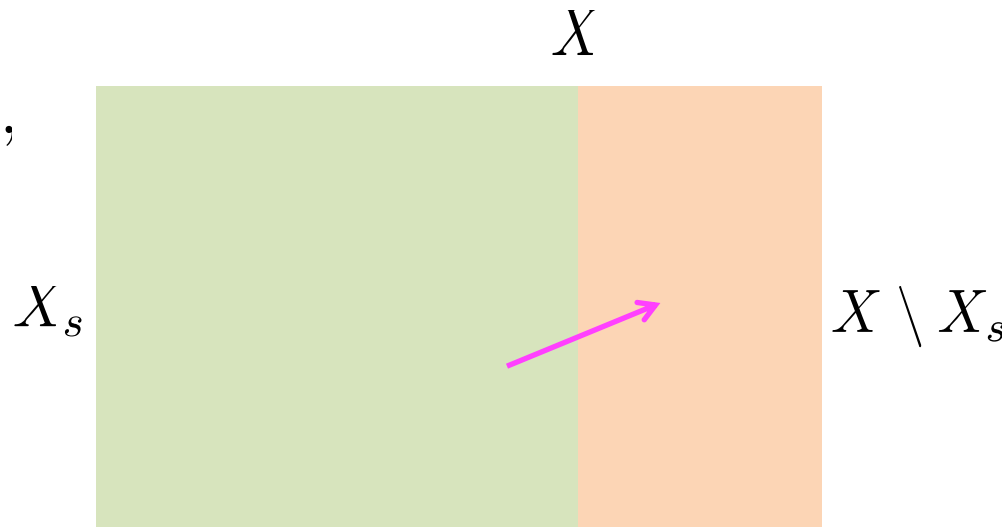
S is controllable

Controllability

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$,
 $\Sigma = \Sigma_c \cup \Sigma_u$

Specification

$\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$

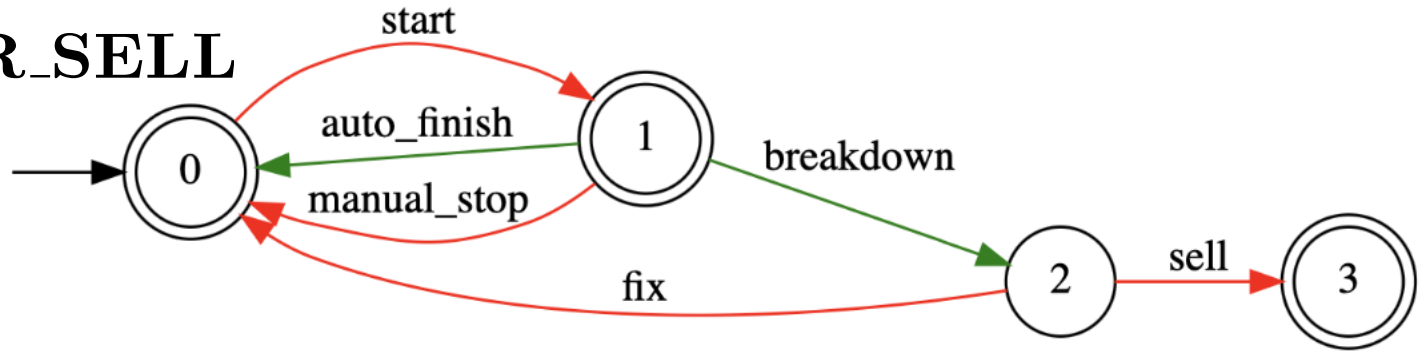


\mathbf{S} is controllable (wrt. \mathbf{P}) if and only if
there exists a controller $C : X \rightarrow 2^{\Sigma_c}$ s.t. $C/\mathbf{P} = \mathbf{S}$

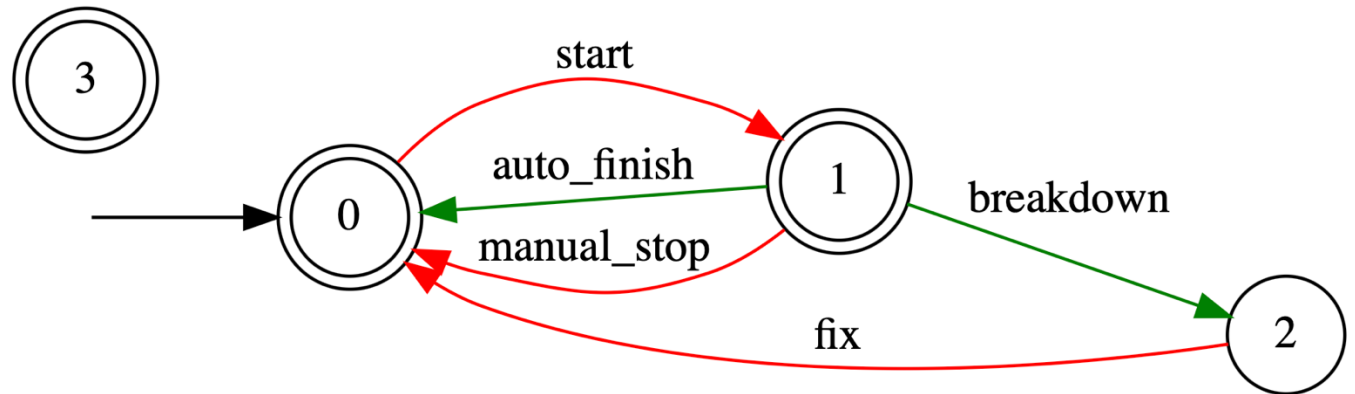
$$C(x) = \begin{cases} \{\sigma \in \Sigma_c \mid \xi(x, \sigma)! \ \& \ \neg \xi_s(x, \sigma)!\} & \text{if } x \in X_s \\ \emptyset & \text{if } x \in X \setminus X_s \end{cases}$$

PyTCT is_controllable

PRINTER_SELL



S

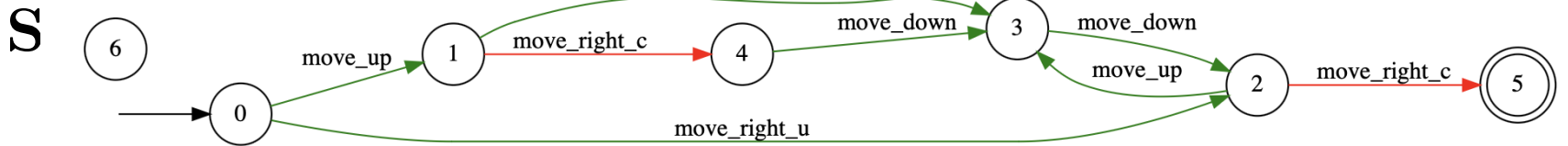
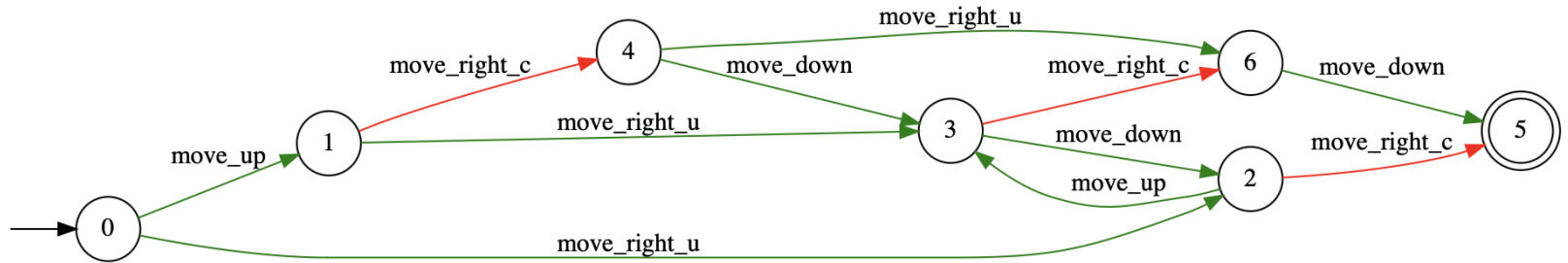


```
pytct.is_controllable('PRINTER_SELL', 'S')  
#check if S is controllable wrt. PRINTER_SELL
```

True

PyTCT is_controllable

ROBOT

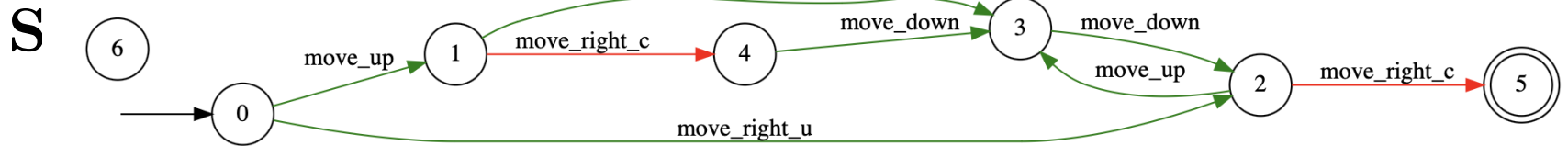
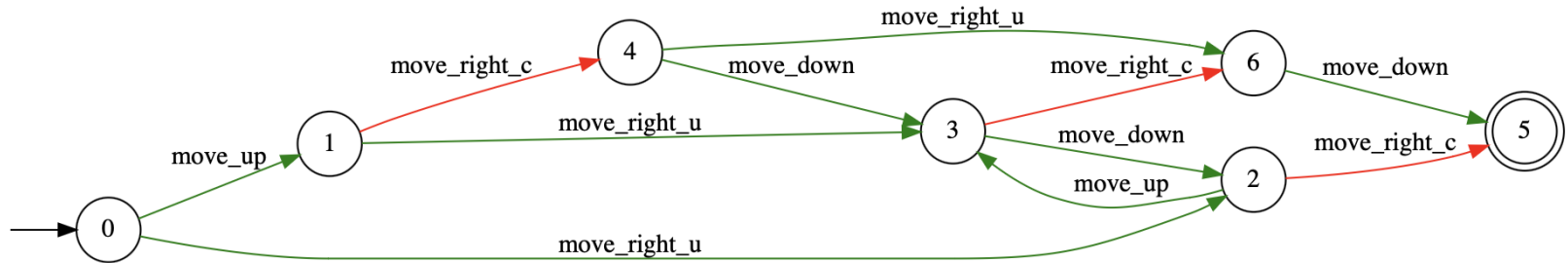


```
pytct.is_controllable('ROBOT', 'S')  
#check if S is controllable wrt. ROBOT
```

False

PyTCT uncontrollable_states

ROBOT

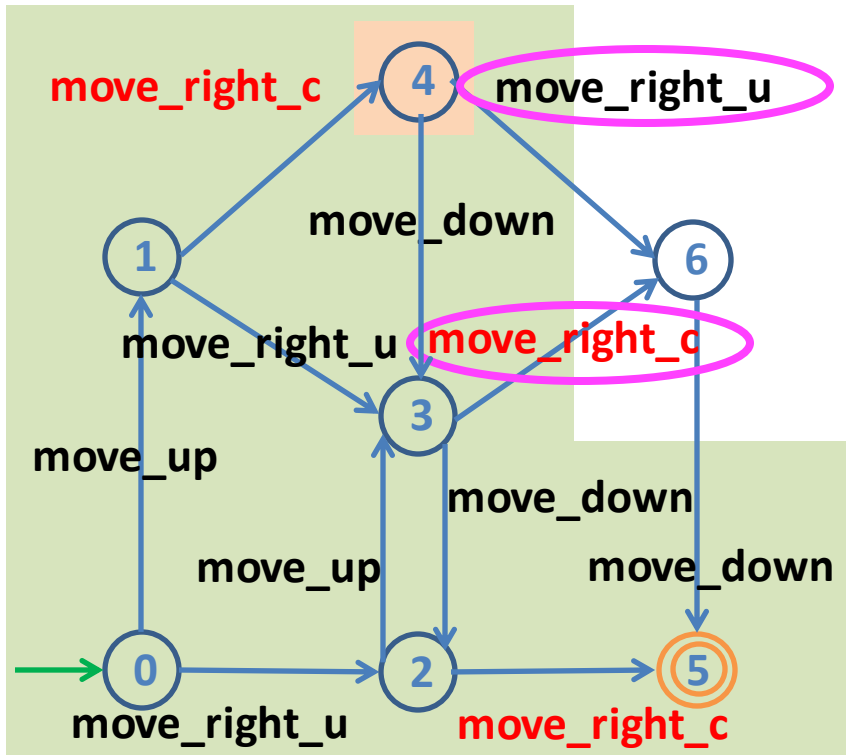


```
pytct.uncontrollable_states('ROBOT', 'S')  
#compute the set of uncontrollable states
```

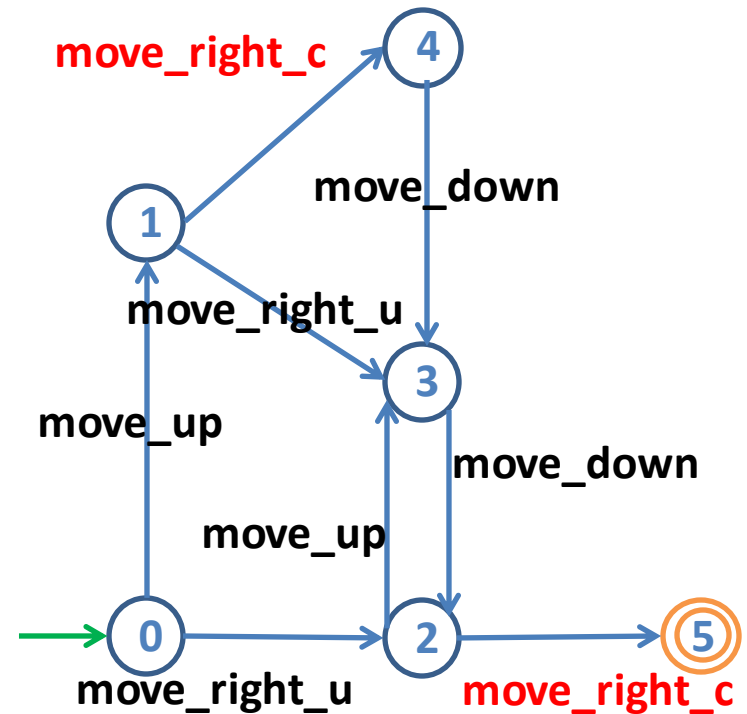
Optimal Supervisory Control

A warehouse robot

ROBOT



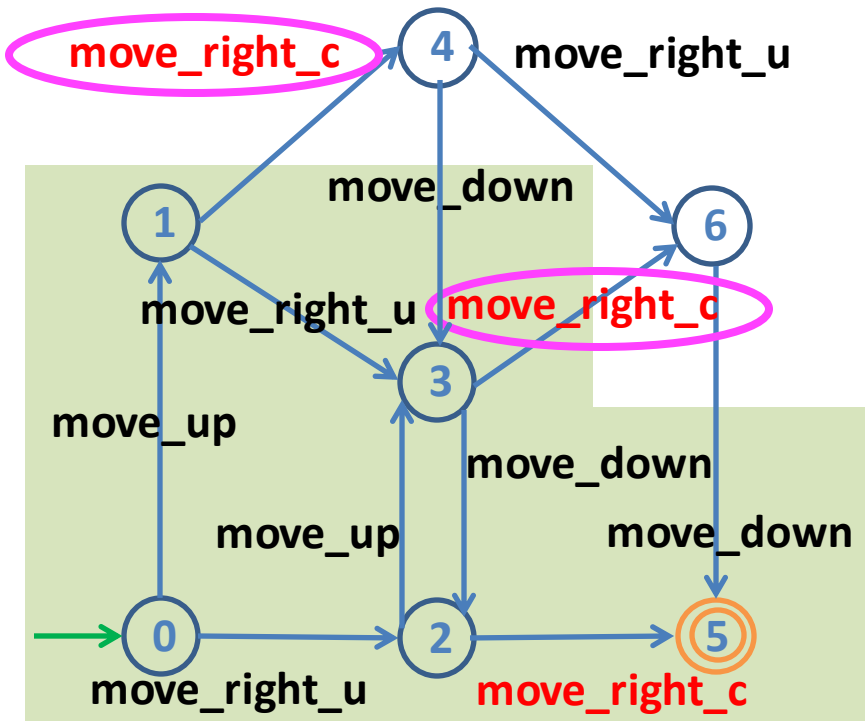
Specification S



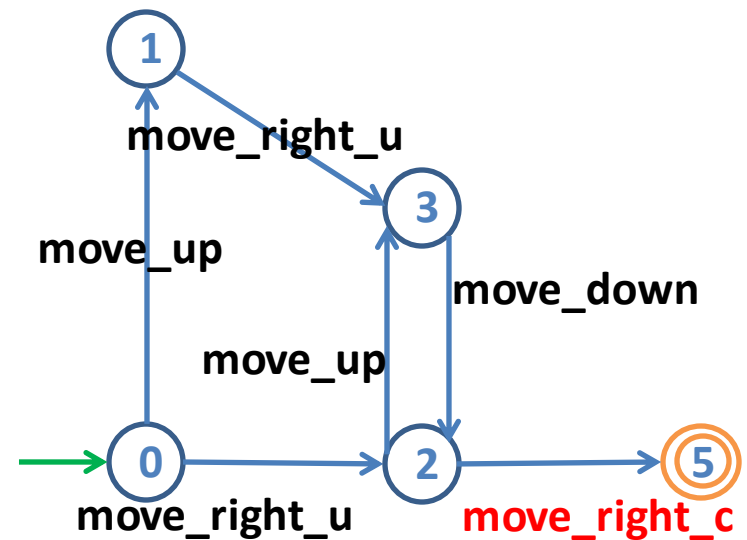
S is not controllable

A warehouse robot

ROBOT



Specification S'



S' is controllable

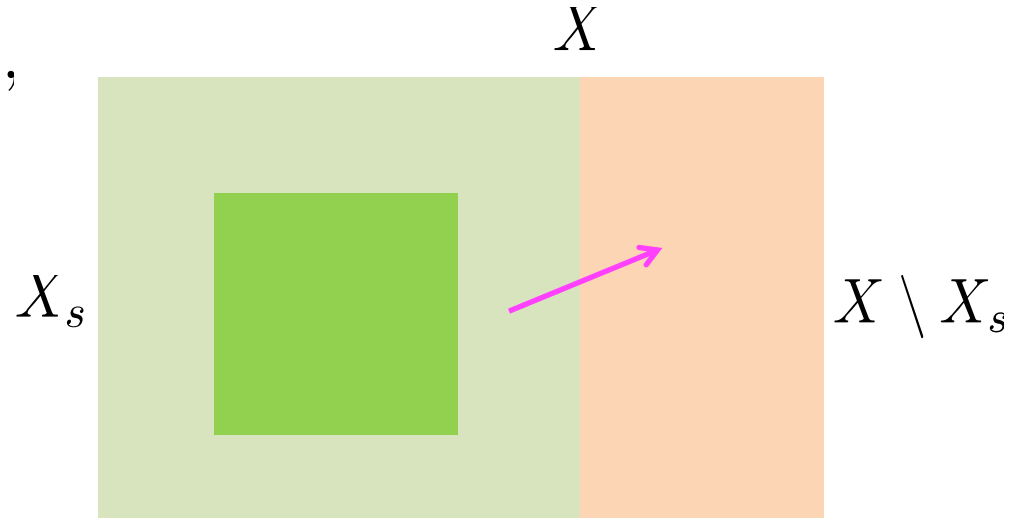
Controllable subspecification

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$,

$$\Sigma = \Sigma_c \cup \Sigma_u$$

Specification

$$\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$$



If \mathbf{S} is not controllable (wrt. \mathbf{P})

*can we find a nonblocking subspecification \mathbf{S}' of \mathbf{S}
that is controllable (wrt. \mathbf{P})?*

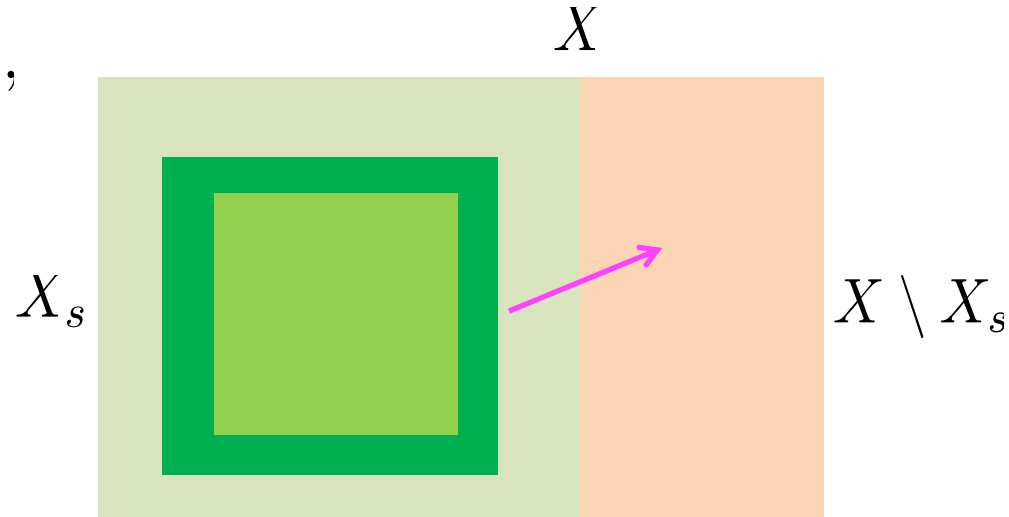
If so, there exists a controller $C' : X \rightarrow 2^{\Sigma_c}$ s.t. $C'/\mathbf{P} = \mathbf{S}'$

Largest controllable subspecification

Plant $\mathbf{P} = (X, \Sigma, \xi, x_0, X_m)$,
 $\Sigma = \Sigma_c \cup \Sigma_u$

Specification

$\mathbf{S} = (X_s, \Sigma_s, \xi_s, x_0, X_{s,m})$



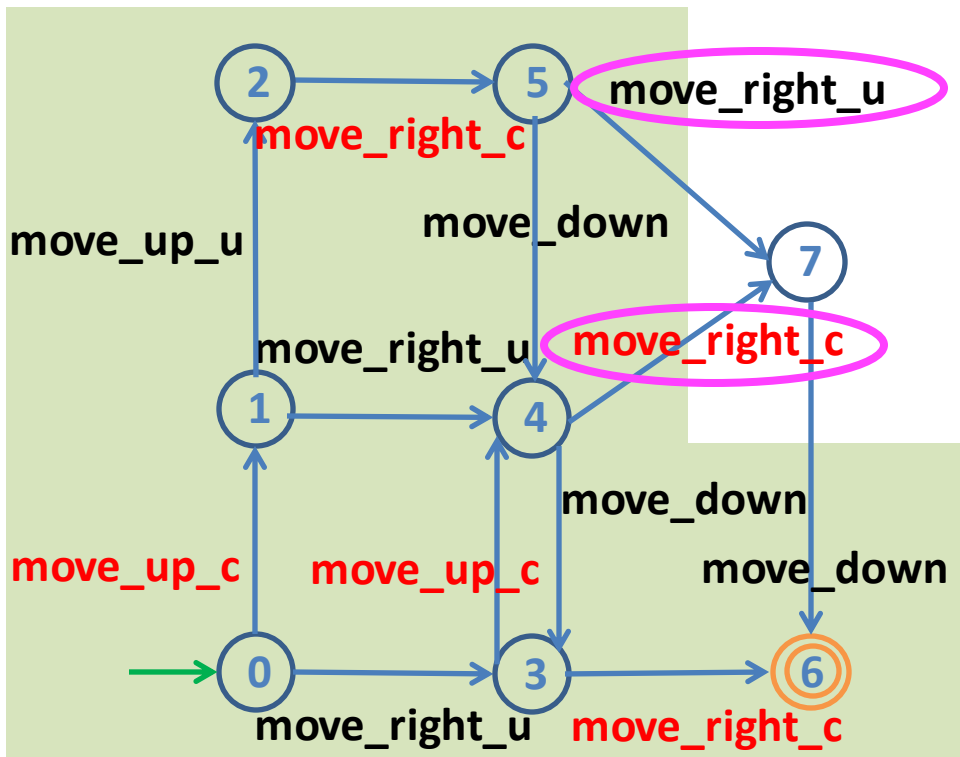
If \mathbf{S} is not controllable (wrt. \mathbf{P})

can we find the largest nonblocking subspecification \mathbf{S}^ of \mathbf{S} that is controllable (wrt. \mathbf{P})?*

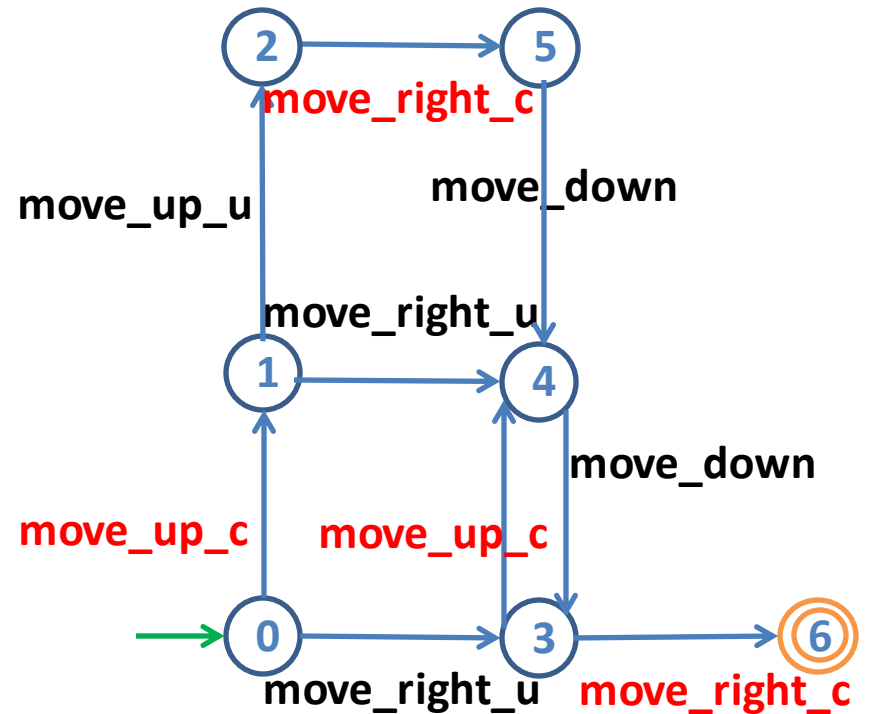
If so, the controller $C^* : X \rightarrow 2^{\Sigma_c}$ s.t. $C^*/\mathbf{P} = \mathbf{S}^*$ is optimal in that it is the **maximally permissive**

How to compute S^*

ROBOT



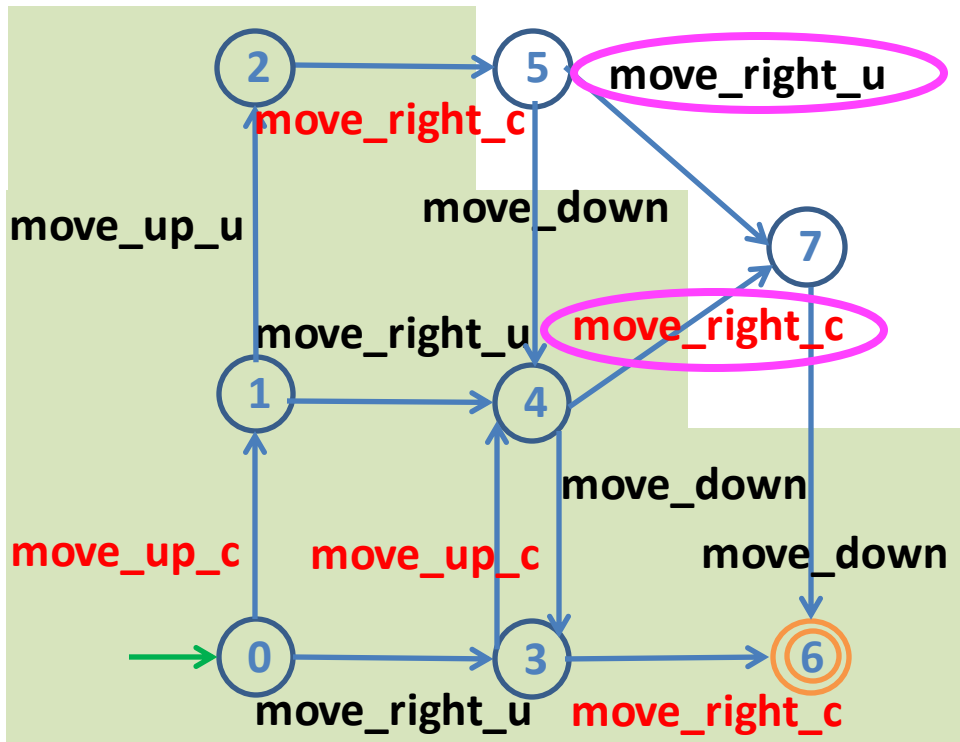
Specification S



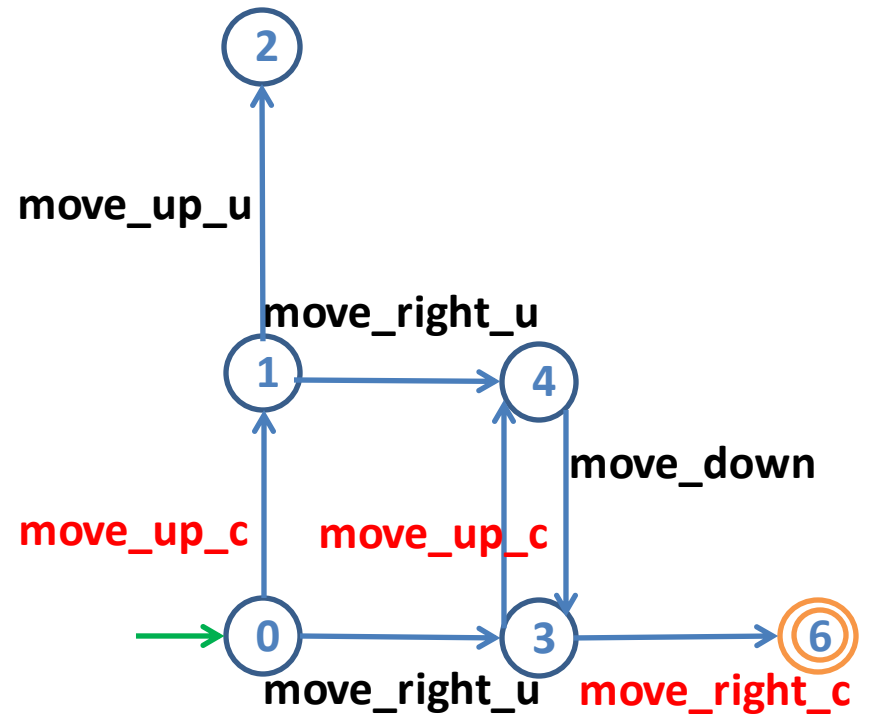
S is not controllable

How to compute S^*

ROBOT



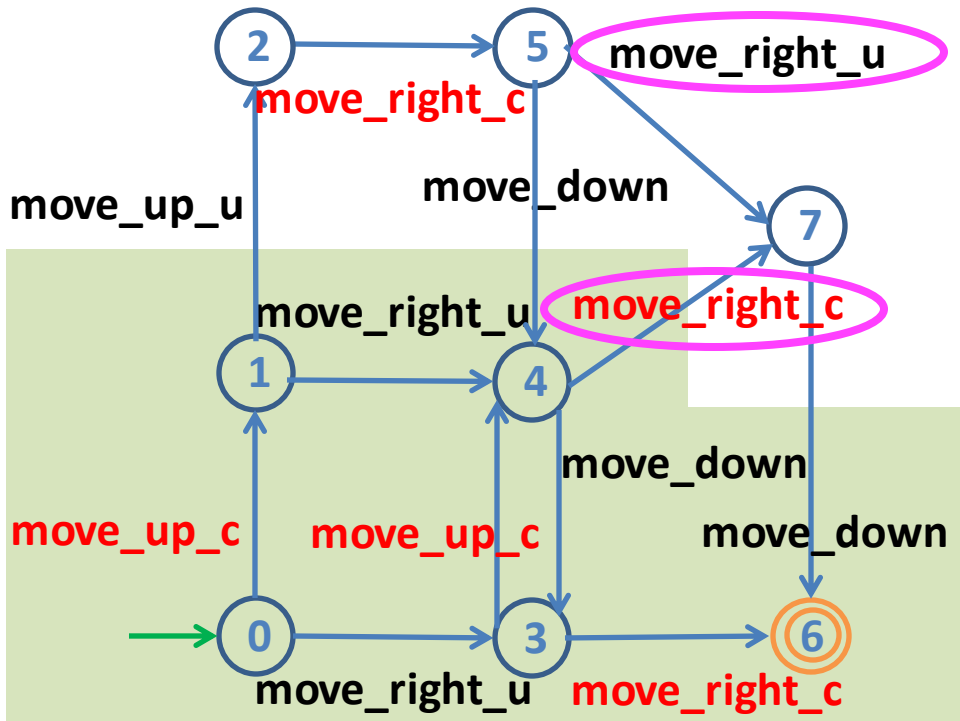
Specification S_1



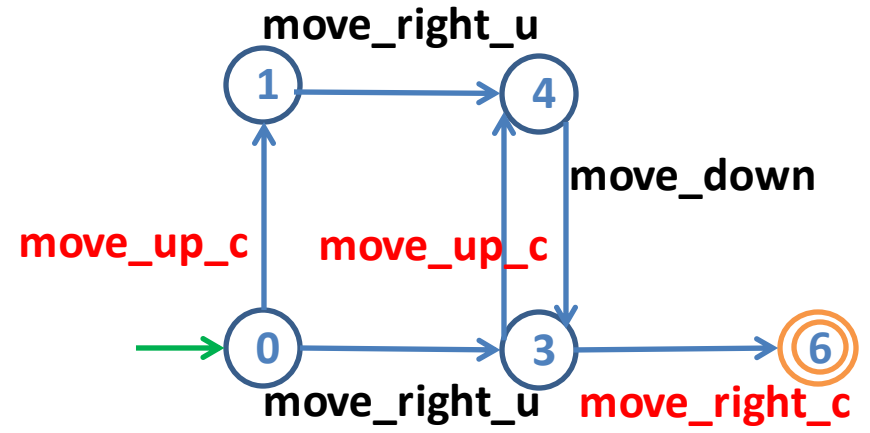
S_1 is controllable but blocking

How to compute S^*

ROBOT



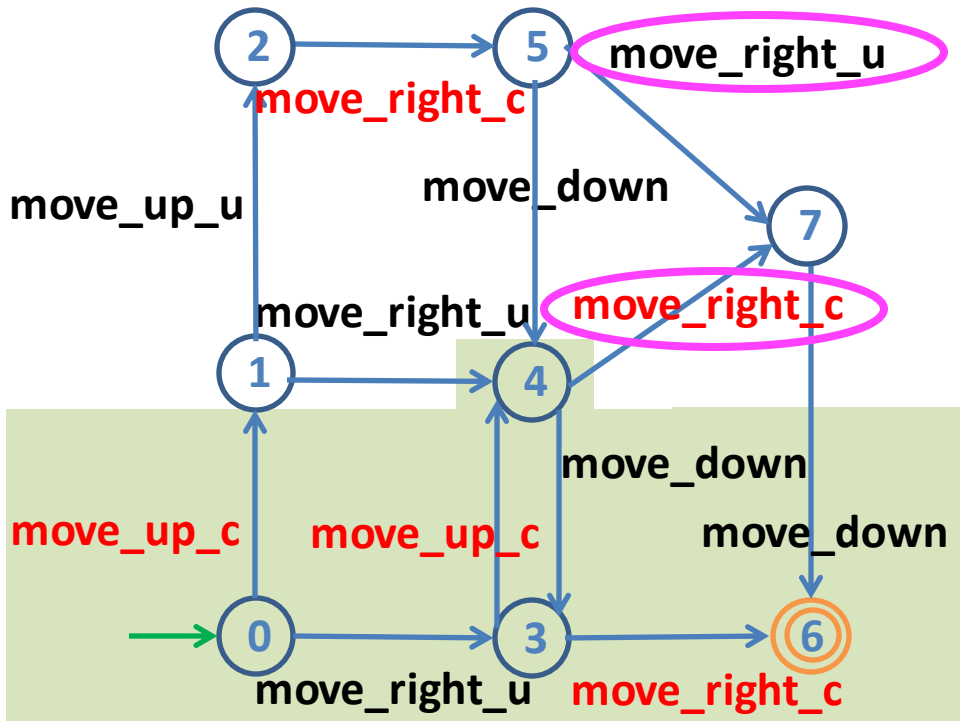
Specification S_2



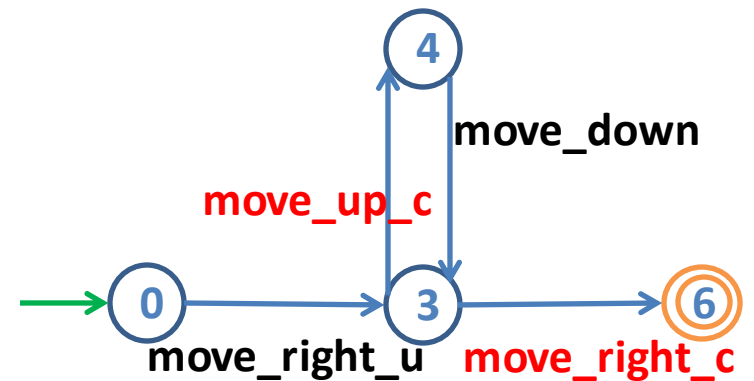
S_2 is not controllable

How to compute S^*

ROBOT



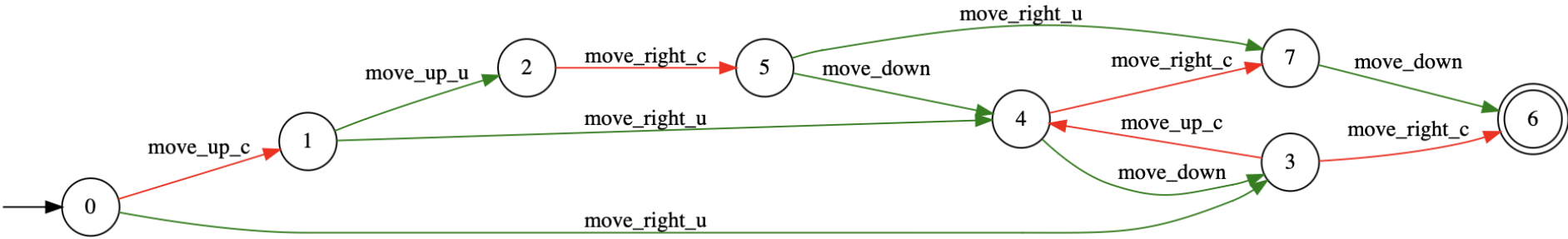
Specification S_3



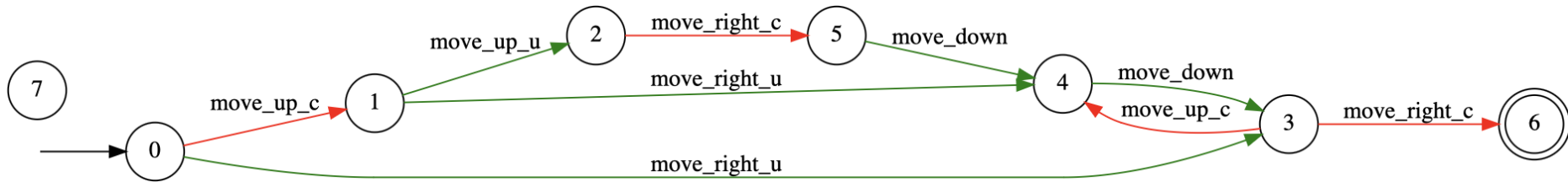
S_3 is controllable and nonblocking

PyTCT supcon

ROBOT

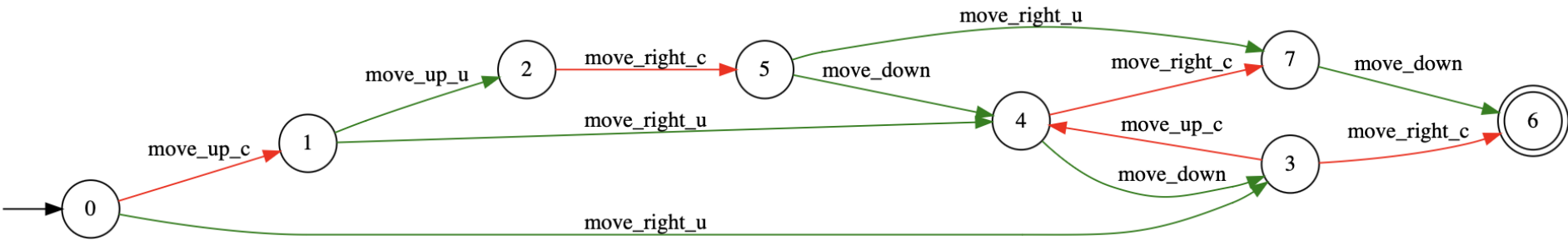


S

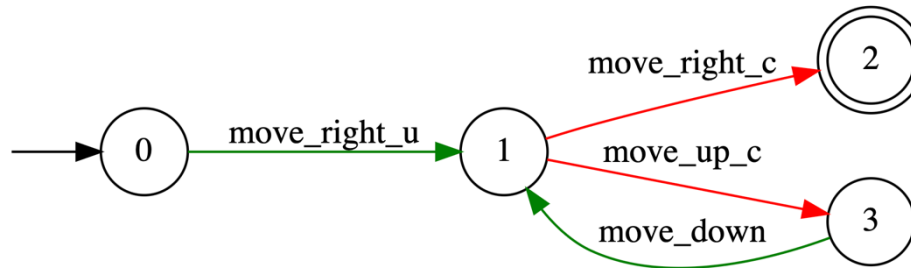


PyTCT supcon

ROBOT



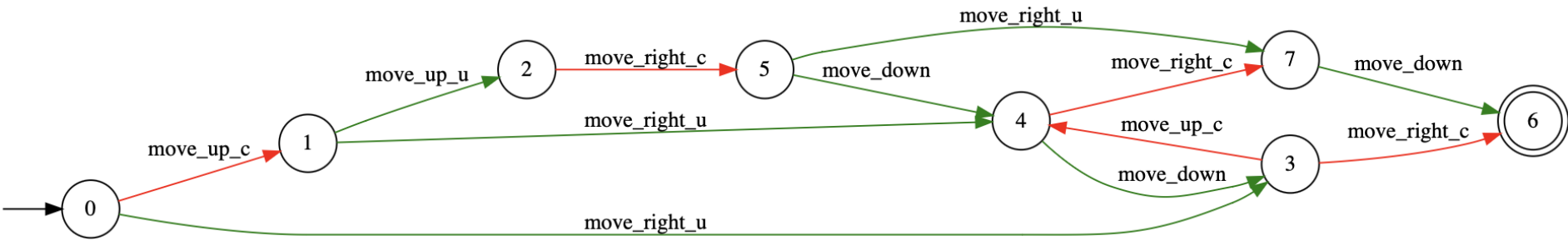
S^*



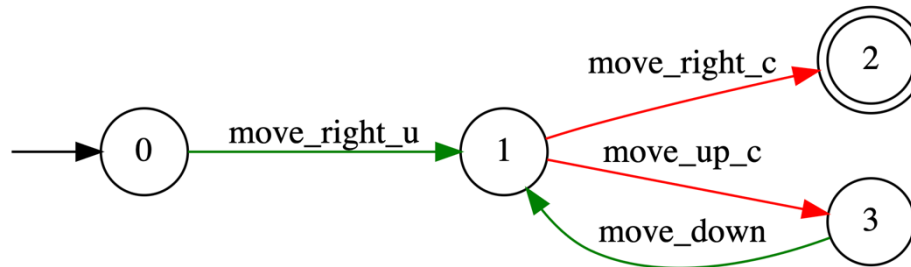
```
pytct.supcon('S_star', 'ROBOT', 'S')  
pytct.display_automaton('S_star', color=True)
```


PyTCT conact

ROBOT



S^*



```
ntable = pytct.conact('NROBOT', 'NS_star')
print(ntable)
```

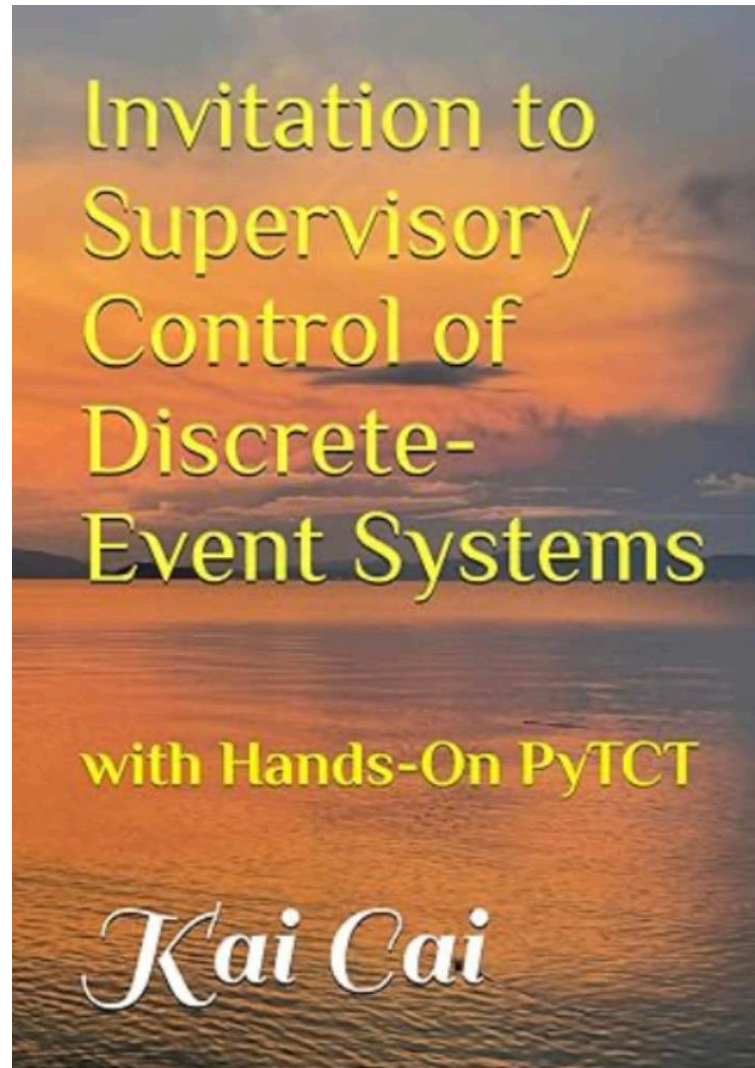
```
0: move_up_c
3: move_right_c
```

Recap

1. Create an automaton (create)
2. Properties of automaton (trim)
3. Synchronous product of automata (sync)
4. Feedback control loop (subautomaton)
5. Controllability (is_controllable)
6. Optimal supervisory control design (supcon)

DES model

DES control



Slides and codes are available:
caikai.org/invitation-scdes

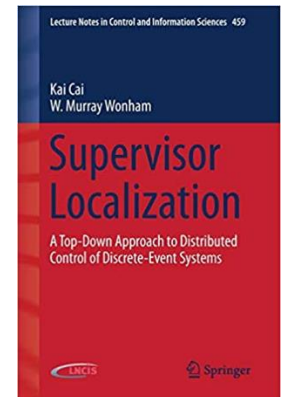
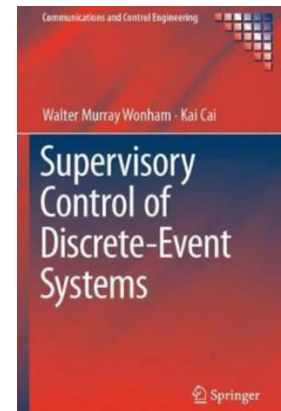
Further reading

Books

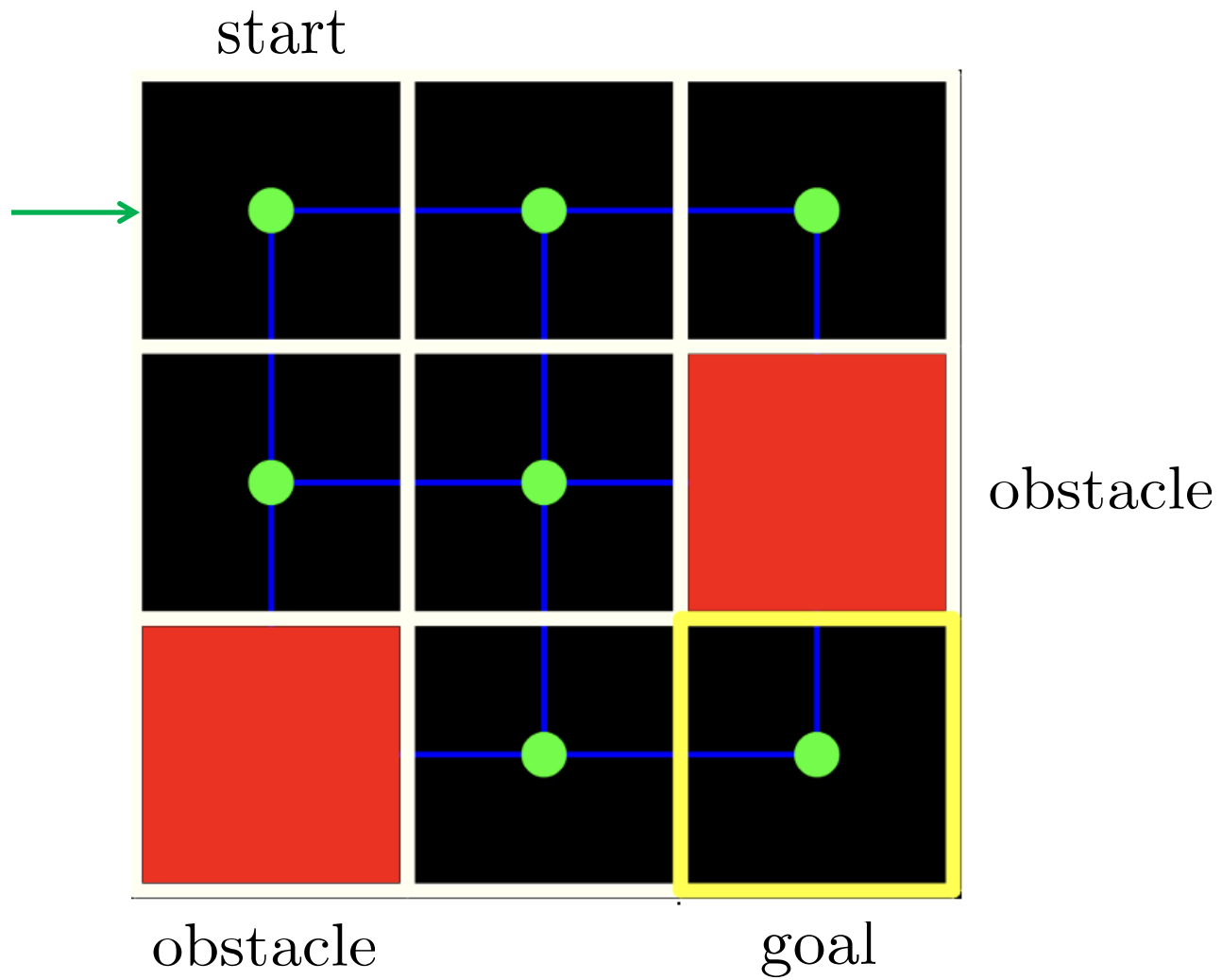
- Wonham and Cai, “Supervisory Control of Discrete-Event Systems”, [Springer](#), 2019
- Cai and Wonham, “Supervisor Localization”, [Springer](#), 2016

Tutorial papers

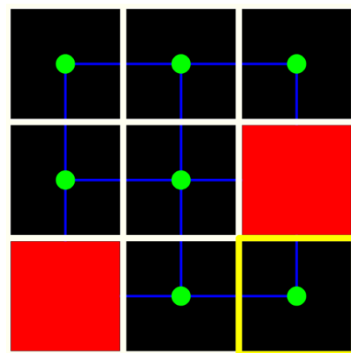
- Cai and Wonham, "Supervisory control of discrete-event systems", [Encyclopedia of Systems and Control](#), 2nd ed., Springer, 2020.
- Cai, "Supervispr localization", [Wiley Encyclopedia of Electrical and Electronics Engineering](#), 2019
- Wonham, Cai, and Rudie, "Supervisory control of discrete-event systems: a brief history", [Annual Reviews in Control](#), vol. 45, pp. 250-256, 2018.



Bonus

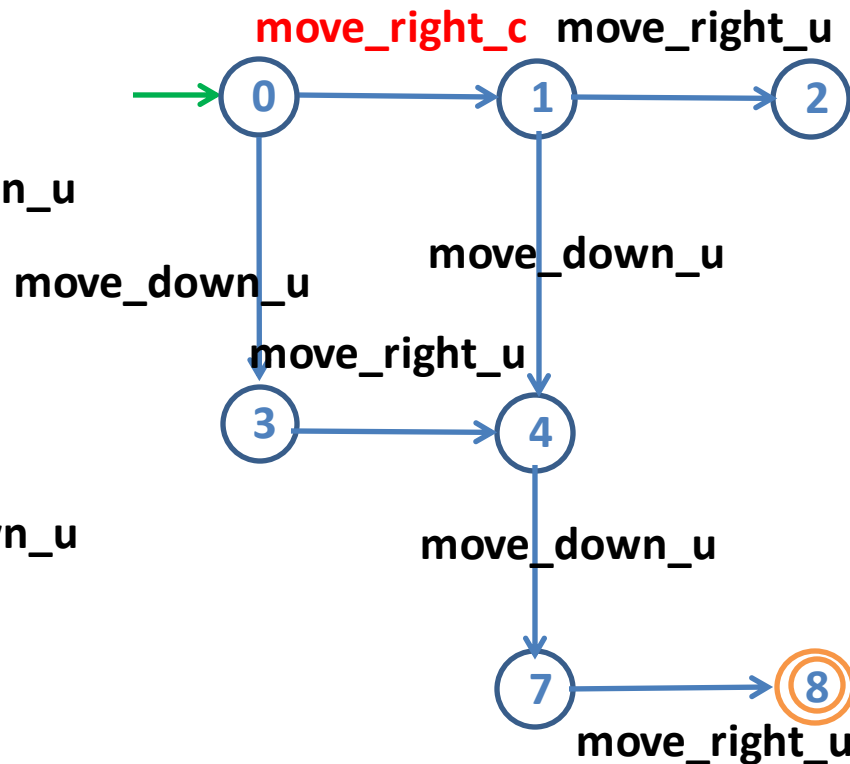
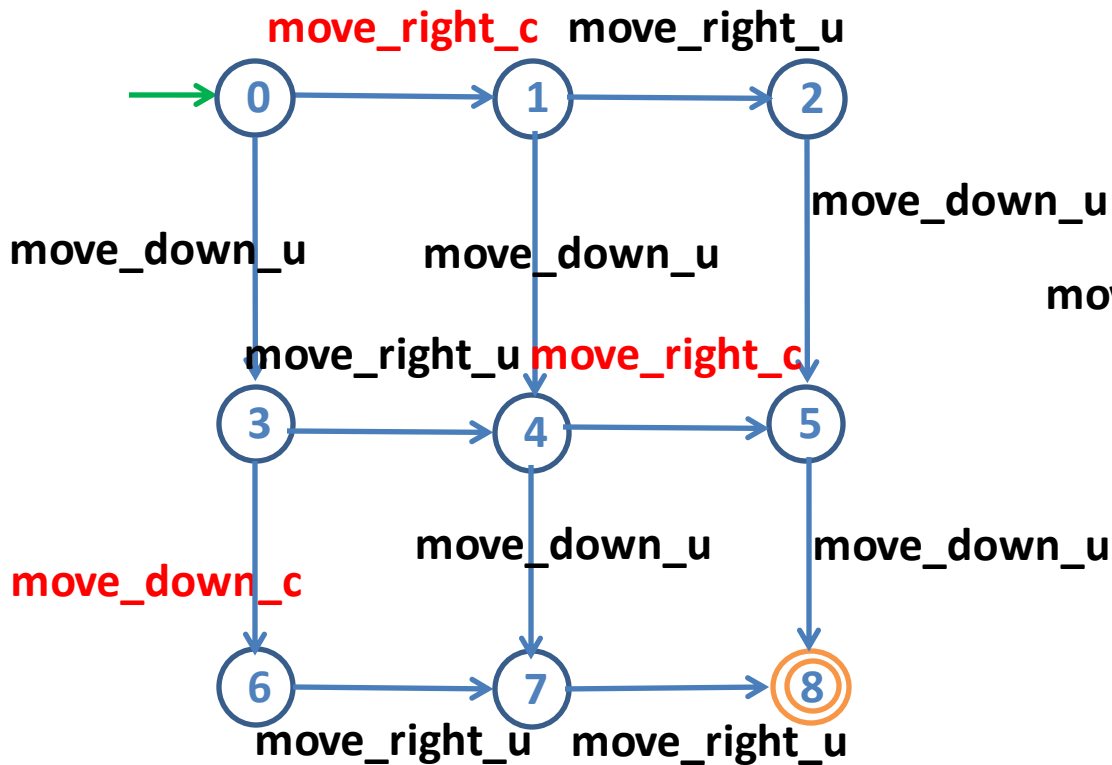


Bonus



ROBOT

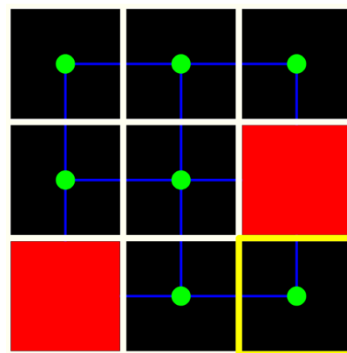
Specification S



create

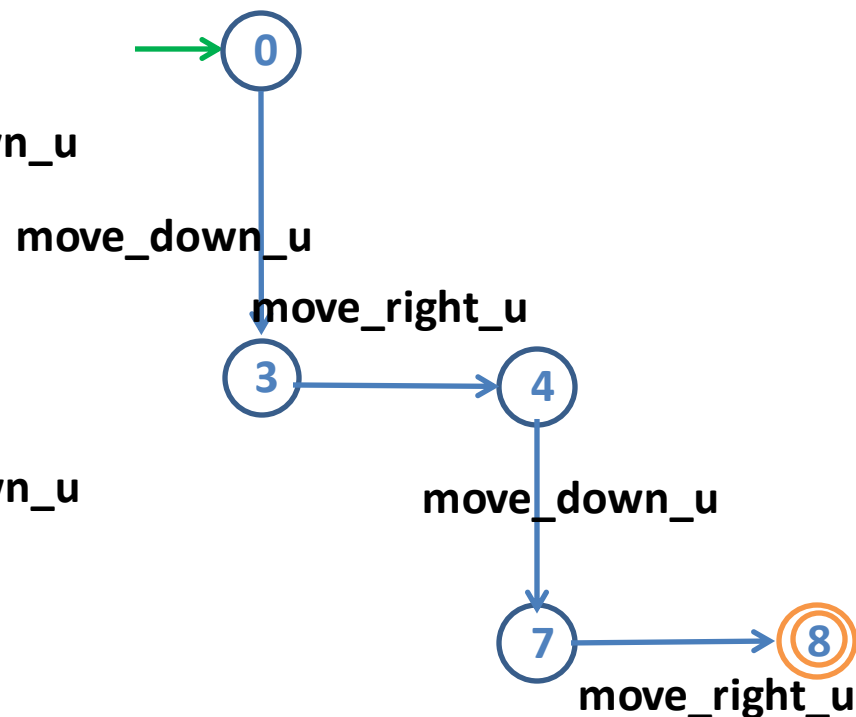
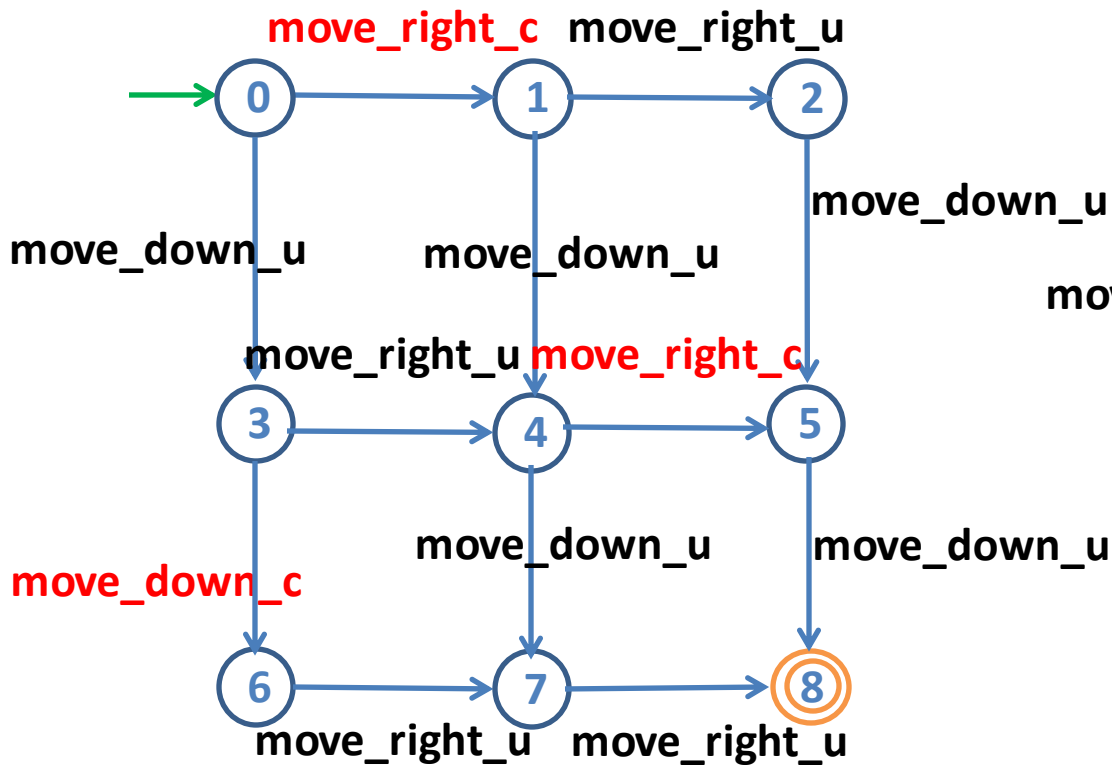
subautomaton

Bonus



ROBOT

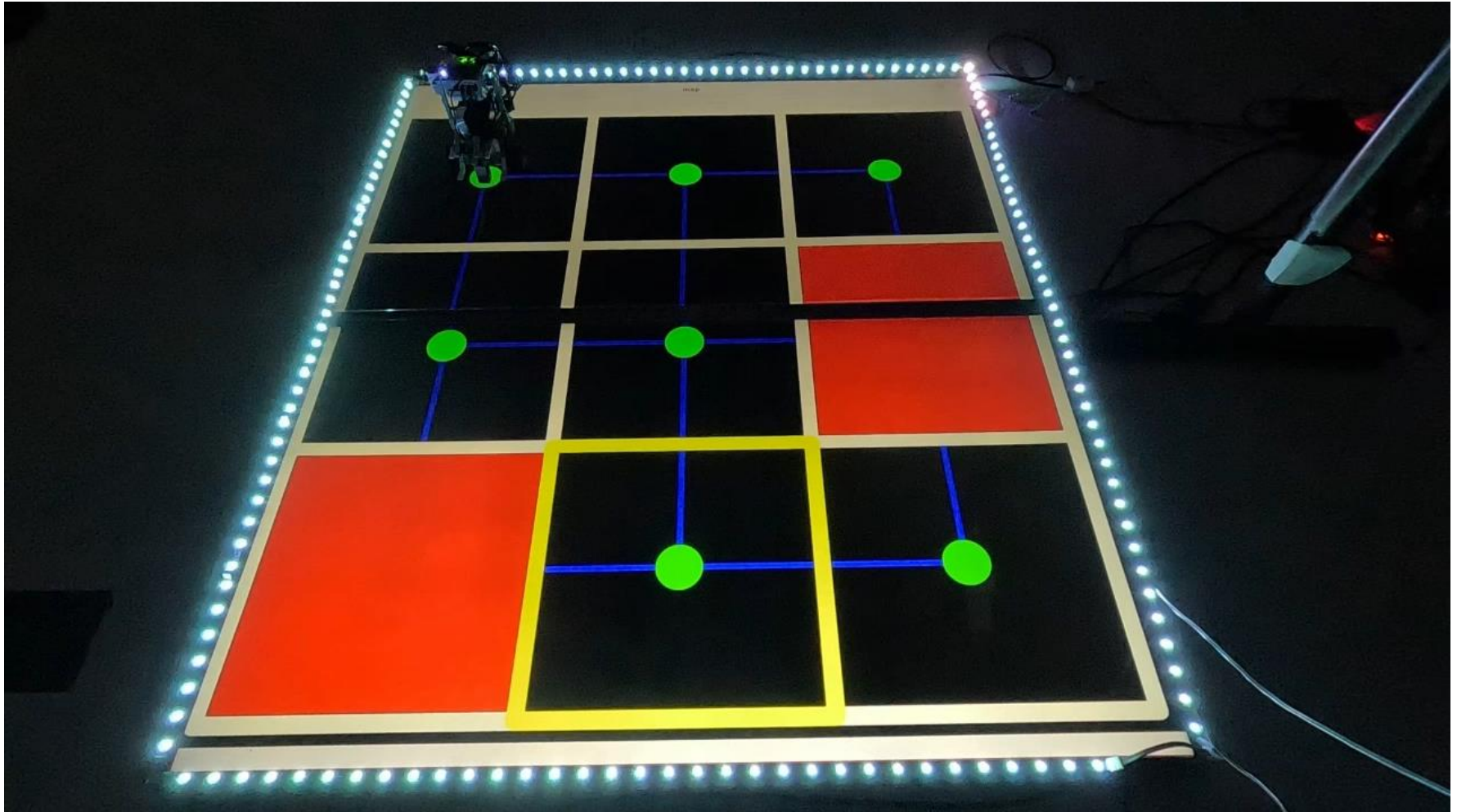
Controller S^*



create

supcon

Bonus

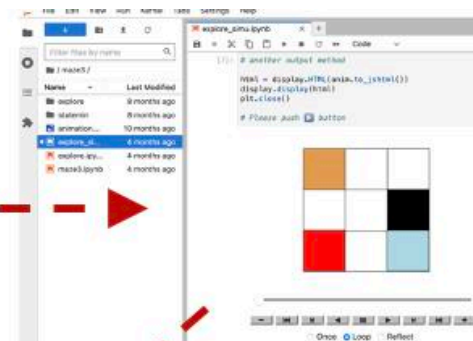


Bonus (remote lab to be available)



- Minimal environment
A profile with minimal Python configured.
- Datascience environment
A profile with data science libraries already installed.
- Deep Learning environment
A profile with GPU enabled for deep learning.

jupyter.caikai.org



シミュレーター



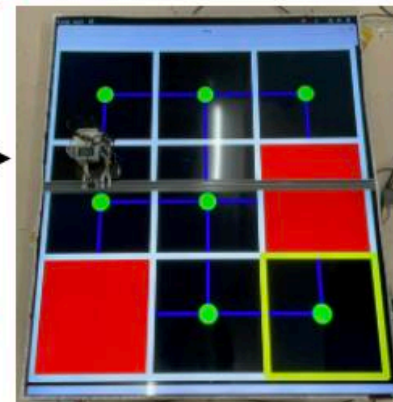
①実験の情報を送信

インターネット
(WWW)

GPU
サーバー



ロボット
実験用PC



②自動で実験、動画撮影

③撮影した動画を送信

ユーザー

Thank you for listening